

# **Explicit optimal Cosserat rotations**

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#### 1 Microstructure of materials

The optimal design of the microstructure of materials has enormous potential for industrial applications. In the emerging field of computational material design. mathematical modelling needs to reflect physical effects on micro- and nanoscales. Simple material models are insufficient for this task



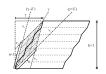


Figure 1: Example: Microbands and Glide planes in Copper [12] as modelled by Cosserat theory.

# 2 Finite Cosserat Theory (1909)

One of the possible models for microstructured materials is the finite-strain Cosserat model [2], which introduces a rotational (micropolar) microstructure **field**  $R \in SO(n)$  in addition to the deformation gradient  $F := \nabla \varphi \in GL^+(n)$ . The strain energy W(F, R)depends only on the quantities

$$\overline{U} := R^T F$$
 (first Cosserat deformation tensor),  
 $\mathfrak{k} := R^T D_x R$  (Cosserat curvature tensor).

Intriguing aspects of the Cosserat model include the decoupling of local lattice rotation in crystals as well as a regularized approximation of simple continua.

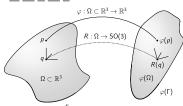
However, some fundamental questions remain; for example, the effect of the Cosserat couple modulus  $\mu_c$ (see below) is not yet completely understood [10].

## 3 Size-independent Cosserat hyperelasticity

In Cosserat hyperelasticity, the aim is to minimize the

$$I(\varphi,R) := \int_{\Omega} W(\overline{U}) + L_c^2 \|\mathfrak{k}\|^2 dV$$

over all admissible deformations  $\varphi\colon\Omega\to\mathbb{R}^n$  and micropolar rotation fields  $R: \Omega \to SO(n)$ . In general, this is a nonlinear and non-convex problem. Under quadratic constitutive assumptions, an exhaustive existence theory has been provided for appropriate boundary conditions [8, 10, 9, 13, 7].



Here, we restrict our attention to the size-independent

The elastic strain energy is closely connected to the change of length in a material. In particular, an isometry, i.e. a rigid body motion, has zero elastic energy. This basic observation suggests the characterization of elastic energy functions as distance measures to the special orthogonal group SO(n) of pure rotations.

# 4 Grioli's Theorem and the polar decomposition

The classical Euclidean distance of the deformation gradient  $F \in GL^+(n)$  to SO(n) is given by *Grioli's theorem* [6, 11]: Let  $F \in GL^+(n)$  and  $||X||^2 := tr(X^TX)$ . Then

$$\begin{aligned} & \underset{R \in \operatorname{SO}(n)}{\operatorname{argmin}} \|R - F\|^2 &= \underset{R \in \operatorname{SO}(n)}{\operatorname{argmin}} \|R^T F - \mathbb{1}\|^2 \\ &= \underset{R \in \operatorname{SO}(n)}{\operatorname{argmin}} \left\{ \left. \mathbb{1} \cdot \|\operatorname{sym}(R^T F - \mathbb{1})\|^2 + \mathbb{1} \cdot \|\operatorname{skew}(R^T F - \mathbb{1})\|^2 \right. \right\} \\ &= \left\{ \operatorname{R}_{\mathsf{p}}(F) \right\}, \end{aligned}$$

where  $R_p(F) \in SO(n)$  is the orthogonal part of the polar decomposition  $F = R_p(F) \cdot U$  with  $U = \sqrt{F^T F}$ . In order to find the optimal rotations that minimize the Cosserat shear-stretch density

$$\begin{split} W_{\mu,\mu_c}(F,R) &:= \mu \, \| \, \mathsf{sym} \big( R^T F - \mathbb{1} \big) \, \|^2 + \mu_c \, \| \mathsf{skew} \big( R^T F - \mathbb{1} \big) \|^2 \\ &= \mu \, \| \, \mathsf{sym} \big( \overline{U} - \mathbb{1} \big) \, \|^2 + \mu_c \, \| \mathsf{skew} \big( \overline{U} - \mathbb{1} \big) \|^2 \, , \end{split}$$

where  $\mu>0$  is the Lamé shear modulus and  $\mu_{c}\geq0$  is the Cosserat couple modulus, it is no longer sufficient to consider the classical (squared) Euclidean distance  $||X - Y||^2$  between  $X, \hat{Y} \in \mathbb{R}^{n \times n}$ .

### 5 Optimal Cosserat rotations

$$\begin{split} \operatorname{rpolar}_{\mu,\mu_c}(F) &:= \underset{R \,\in\, \operatorname{SO}(n)}{\operatorname{argmin}} \, W_{\mu,\mu_c}(F,R) \\ &= \mu \, \| \operatorname{sym}(R^T F - \mathbb{1}) \, \|^2 + \mu_c \, \| \operatorname{skew}(R^T F - \mathbb{1}) \|^2 \, . \end{split}$$

Then in the classical regime  $\mu_c \ge \mu > 0$ , Grioli's Theorem is still applicable: the unique minimizing rotation is  $R_p(F)$ . However, in the non-classical regime  $\mu>\mu_c\geq$  0, a generalization of the theorem is necessary in order to find  $\operatorname{rpolar}_{\mu,\mu_c}(F)$ .

This task is simplified by two reductions: First, rescaling the deformation gradient F to  $\widetilde{F}_{\mu,\mu_c}:=\frac{\mu-\mu_c}{\mu}F$  allows us to reduce the case  $\mu>\mu_c\geq 0$  to the basic case  $\mu=1,\ \mu_c=0$  [3]. Second, F can be assumed to be in diagonal form [4, 1], i.e. we can replace F by  $D := \operatorname{diag}(\nu_1, \dots, \nu_n), \overline{\text{ where }} \nu_1 > \dots > \nu_n \text{ are the }$ singular values of F.

The problem of determining  $\operatorname{rpolar}_{\mu,\mu_{\operatorname{c}}}(F)$  requires the characterization of all (possibly nonsymmetric) real square roots of a matrix  $S \in \operatorname{Sym}(n)$ , i.e. of all  $X \in \mathbb{R}^{n \times n}$  such that  $X^2 = S$  [1]. It turns out that pitchfork bifurcations between classical and nonclassical solutions appear [1, 3] if

$$u_{2i-1} + \nu_{2i} \ge \frac{2\mu}{\mu - \mu_c}$$

for the singular values  $\nu_k$  of F. Furthermore, the global minimizers  $\operatorname{rpolar}_{\mu,\mu_{\operatorname{c}}}(F)$  are pairwise symmetric to the polar factor  $R_p(F)$ .

#### 6 The relaxed-polar mechanism in 3D

Let  $Q=(q_1|q_2|q_3)\in SO(3)$  denote an eigenframe for  $U=\sqrt{F^TF}$ . Then the optimal rotation *relative to*  $\mathsf{R}_{\scriptscriptstyle \mathsf{D}}(F)$  is a rotation around the axis  $q_3$ , which is the eigenvector of U corresponding to its smallest eigenvalue  $\nu_3$ , and the angle of rotation is [4]

$$\alpha_{1,0}^{\pm} = \begin{cases} \pm \arccos\left(\frac{2}{\nu_1 + \nu_2}\right) & : \quad \frac{\nu_1 + \nu_2}{2} \geq 1 \\ 0 & : \quad \text{otherwise} \end{cases}$$

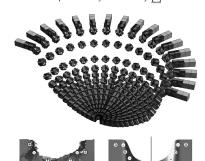


Figure 2: The plane  $\mathsf{P}^{\mathsf{ms}}(F) := \mathsf{span}\left(\{q_1,q_2\}\right) \subset \mathit{T}_{\mathsf{x}}\Omega$  of maximal stretch as defined in [4].

#### 7 Example: Idealized nanoindentation



A possible application of finite Cosserat Theory is the modelling of nanoindentations in copper, which were shown by 3D-EBSD to exhibit counter-rotations of the crystal lattice. The optimal Cosserat rotation  $\operatorname{rpolar}_{10}^{\pm}(F)$  can reproduce such non-classical counterrotations in a qualitatively similar way [5].



These results on the explicit nature of the microrotations in a specific Cosserat model are among the first ones since 1909.

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