

# A Numerical Solution Method for an Infinitesimal Elasto-Plastic Cosserat Model

Patrizio Neff<sup>1</sup>, Krzysztof Chelmiński<sup>2</sup>,  
Wolfgang Müller<sup>3</sup>, Christian Wiener<sup>3</sup>

<sup>1</sup> Department of Mathematics, AG 6  
Technische Universität Darmstadt

<sup>2</sup> Faculty of Mathematics,  
Technical University Warsaw, Poland

<sup>3</sup> Institute of Applied and Numerical Mathematics  
Universität Karlsruhe (TH)

Warsaw, December 5, 2006

# A micro-polar extension to infinitesimal elasticity

- ▶ We present a geometrically linear generalized continua of Cosserat micro-polar type in the elasto-plastic case.
- ▶ Starting with linear elasticity we postulate independent infinitesimal micro-rotations of the material. Thus, as a consequence of balance of angular momentum, Cauchy stresses  $\sigma$  are not symmetric any more.
- ▶ Cosserat regularize the mesh size dependence of localization computations where shear failure mechanisms play a dominant role.
- ▶ Models are of engineering interest: Diebels/Ehlers, Iordache/William, Dietsche/Steinmann/William, Ristinmaa/Vecchi, de Borst, ...
- ▶ We restrict Cosserat micro-rotations to the elastic response of the material. Inelasticity is formulated as in Prandtl-Reuß plasticity. The elasto-plastic Cosserat problem is well-posed (Neff/Chełmiński Appl.Math.Opti06, PRSE05).

# The Elastic Static Cosserat Model

Compute displacement  $\mathbf{u}$  and infinitesimal rotations  $\text{id} + \bar{\mathbf{A}}$  with  $\bar{\mathbf{A}}^T = -\bar{\mathbf{A}}$ , such that

$$\int_{\Omega} W(D\mathbf{u}, \bar{\mathbf{A}}, D\bar{\mathbf{A}}) - \ell(\mathbf{u}) \longrightarrow \min \quad \text{w.r.t. } (\mathbf{u}, \bar{\mathbf{A}})$$

where the stored energy is

$$\begin{aligned} W(D\mathbf{u}, \bar{\mathbf{A}}, D\bar{\mathbf{A}}) &= \mu |\text{sym}(D\mathbf{u} - \bar{\mathbf{A}})|^2 + \frac{\lambda}{2} \text{tr}(\text{sym}(D\mathbf{u} - \bar{\mathbf{A}}))^2 \\ &\quad + \mu_c |\text{skew}(D\mathbf{u} - \bar{\mathbf{A}})|^2 + \mu L_c^2 |D\bar{\mathbf{A}}|^2. \end{aligned}$$

## Remark:

Coercive without Korn's first inequality!

# Linear Elastic Static Cosserat Model - Equations

We want to determine  
displacements

$$\mathbf{u}: \bar{\Omega} \times [0, T] \longrightarrow \mathbb{R}^d,$$

infinitesimal micro-rotations

$$\bar{\mathbf{A}}: \Omega \times [0, T] \longrightarrow \mathfrak{so}(d),$$

*non-symmetric* stresses

$$\boldsymbol{\sigma}: \Omega \times [0, T] \longrightarrow \mathbf{R}^{d,d},$$

satisfying the essential boundary conditions, the equilibrium equations

$$\begin{aligned} -\operatorname{div} \boldsymbol{\sigma}(\mathbf{x}, t) &= \mathbf{b}(\mathbf{x}, t), & (\mathbf{x}, t) \in \Omega \times [0, T], \\ \boldsymbol{\sigma}(\mathbf{x}, t) \mathbf{n}(\mathbf{x}) &= \mathbf{t}_N(\mathbf{x}, t), & (\mathbf{x}, t) \in \Gamma_N \times [0, T], \\ -\mu_c L_c^2 \Delta \bar{\mathbf{A}}(\mathbf{x}, t) &= \mu_c (\operatorname{skew}(D\mathbf{u}(\mathbf{x}, t)) - \bar{\mathbf{A}}(\mathbf{x}, t)), & (\mathbf{x}, t) \in \Omega \times [0, T], \\ D\bar{\mathbf{A}}(\mathbf{x}, t) \cdot \mathbf{n}(\mathbf{x}) &= \mathbf{0}, & (\mathbf{x}, t) \in \Gamma_N \times [0, T], \end{aligned}$$

and the constitutive relation

$$\begin{aligned} \boldsymbol{\sigma}(\mathbf{x}, t) &= 2\mu (\operatorname{sym}(D\mathbf{u}(\mathbf{x}, t))) + \lambda \operatorname{div}(\mathbf{u})(\mathbf{x}, t) \mathbf{I} \\ &\quad + 2\mu_c (\operatorname{skew}(D\mathbf{u}(\mathbf{x}, t)) - \bar{\mathbf{A}}(\mathbf{x}, t)), \quad (\mathbf{x}, t) \in \Omega \times [0, T]. \end{aligned}$$

# Infinitesimal Elastic Cosserat Model - Data

Let  $\Omega \subset \mathbb{R}^d$  ( $d = 2, 3$ ) be the reference configuration, and let  $\Gamma_D \cup \Gamma_N = \partial\Omega$  be a decomposition of the boundary. We fix a time interval  $[0, T]$ .

Given data:

$$\begin{array}{ll} \text{displacement vector} & \mathbf{u}_D: \Gamma_D \times [0, T] \longrightarrow \mathbb{R}^d, \\ \text{suitable infinitesimal micro-rotations} & \bar{\mathbf{A}}_D: \Gamma_D \times [0, T] \longrightarrow \mathfrak{so}(d), \end{array}$$

where  $\mathfrak{so}(d) = \{\boldsymbol{\tau} \in \mathbb{R}^{d,d} : \boldsymbol{\tau}^T = -\boldsymbol{\tau}\}$  is the Lie algebra of skew-symmetric matrices, and a load functional

$$\ell(t, \mathbf{v}) = \int_{\Omega} \mathbf{b}(t) \cdot \mathbf{v} \, d\mathbf{x} + \int_{\Gamma_N} \mathbf{t}_N(t) \cdot \mathbf{v} \, d\mathbf{a}$$

depending on traction force densities  $\mathbf{t}_N$  and body force densities  $\mathbf{b}$ .

The material is described by a linear elastic response depending on the Lamé constants  $\lambda, \mu > 0$  and Cosserat constants  $L_C > 0$  and  $\mu_C \geq 0$ .

# Infinitesimal Elasto-Plastic Cosserat Model - Flow rule

Inelastic material behavior is modeled by a convex function

$$\phi: \text{Sym}(d) \longrightarrow \mathbb{R}$$

determining the convex set of admissible symmetric stresses

$\mathbf{K} = \{\boldsymbol{\tau} \in \text{Sym}(d) : \phi(\boldsymbol{\tau}) \leq 0\}$ . We assume that  $\phi$  is smooth for  $\boldsymbol{\tau} \neq \mathbf{0}$ , and we assume  $\phi(\mathbf{0}) < 0$ . In a first approach we choose the von Mises yield criterion  $\phi(\boldsymbol{\tau}) = |\text{dev}(\boldsymbol{\tau})| - K_0$  for a given yield stress  $K_0 > 0$ . Here  $\text{dev}: \text{Sym}(d) \longrightarrow \text{Sym}(d)$  with  $\text{dev } \boldsymbol{\tau} = \boldsymbol{\tau} - \frac{1}{d} \text{tr } \boldsymbol{\tau}$ .

We have  $P_{\mathbf{K}}(\boldsymbol{\theta}) = \boldsymbol{\theta} - \max\{0, \gamma\} \frac{\text{dev}(\boldsymbol{\theta})}{|\text{dev}(\boldsymbol{\theta})|}$ .

We use the following realization  $\mathbf{C}(\boldsymbol{\theta}) \in \partial P_{\mathbf{K}}(\boldsymbol{\theta})$ , where  $P_{\mathbf{K}}(\boldsymbol{\theta})$  is the multi-valued derivative of the projection defined by  $\mathbf{C}(\boldsymbol{\theta}) = \text{id}$  for  $|\text{dev}(\boldsymbol{\theta})| \leq K_0$  and

$$\mathbf{C}(\boldsymbol{\theta}) = \frac{1}{d} \mathbf{I} \otimes \mathbf{I} + \frac{K_0}{|\text{dev}(\boldsymbol{\theta})|} \left( \left( \text{id} - \frac{1}{d} \mathbf{I} \otimes \mathbf{I} \right) - \frac{\text{dev}(\boldsymbol{\theta})}{|\text{dev}(\boldsymbol{\theta})|} \otimes \frac{\text{dev}(\boldsymbol{\theta})}{|\text{dev}(\boldsymbol{\theta})|} \right) \text{ for } |\text{dev}(\boldsymbol{\theta})| > K_0.$$

# Infinitesimal Elasto-Plastic Cosserat Model - Equations

We want to determine  
displacements

$$\mathbf{u}: \quad \bar{\Omega} \times [0, T] \longrightarrow \mathbb{R}^d,$$

infinitesimal micro-rotations

$$\bar{\mathbf{A}}: \quad \Omega \times [0, T] \longrightarrow \mathfrak{so}(d),$$

*non-symmetric* stresses

$$\boldsymbol{\sigma}: \quad \Omega \times [0, T] \longrightarrow \mathbf{R}^{d,d},$$

*symmetric* plastic strains

$$\varepsilon_p: \quad \Omega \times [0, T] \longrightarrow \text{Sym}(d) \text{ with } \varepsilon_p(0) = \mathbf{0},$$

and a plastic multiplier

$$\Lambda: \quad \Omega \times [0, T] \longrightarrow \mathbb{R},$$

satisfying the essential boundary conditions and the equilibrium equations

$$\begin{aligned} -\operatorname{div} \boldsymbol{\sigma}(\mathbf{x}, t) &= \mathbf{b}(\mathbf{x}, t), & (\mathbf{x}, t) \in \Omega \times [0, T], \\ \boldsymbol{\sigma}(\mathbf{x}, t) \mathbf{n}(\mathbf{x}) &= \mathbf{t}_N(\mathbf{x}, t), & (\mathbf{x}, t) \in \Gamma_N \times [0, T], \\ -\mu L_C^2 \Delta \bar{\mathbf{A}}(\mathbf{x}, t) &= \mu_C (\operatorname{skew}(D\mathbf{u}(\mathbf{x}, t)) - \bar{\mathbf{A}}(\mathbf{x}, t)), & (\mathbf{x}, t) \in \Omega \times [0, T], \\ D\bar{\mathbf{A}}(\mathbf{x}, t) \cdot \mathbf{n}(\mathbf{x}) &= \mathbf{0}, & (\mathbf{x}, t) \in \Gamma_N \times [0, T], \end{aligned}$$

the constitutive relation

$$\begin{aligned} \boldsymbol{\sigma}(\mathbf{x}, t) &= 2\mu (\operatorname{sym}(D\mathbf{u}(\mathbf{x}, t)) - \varepsilon_p(\mathbf{x}, t)) + \lambda \operatorname{div}(\mathbf{u})(\mathbf{x}, t) \mathbf{I} \\ &\quad + 2\mu_C (\operatorname{skew}(D\mathbf{u}(\mathbf{x}, t)) - \bar{\mathbf{A}}(\mathbf{x}, t)), \quad (\mathbf{x}, t) \in \Omega \times [0, T], \end{aligned}$$

# Infinitesimal Elasto-Plastic Cosserat Model - Equations

the complementary conditions for the yield criterion

$$\Lambda(\mathbf{x}, t)\phi(T_E(\mathbf{x}, t)) = 0, \quad \Lambda(\mathbf{x}, t) \geq 0, \quad \phi(T_E(\mathbf{x}, t)) \leq 0, \quad (\mathbf{x}, t) \in \Omega \times [0, T].$$

and the flow rule

$$\frac{d}{dt}\varepsilon_p(\mathbf{x}, t) = \Lambda(\mathbf{x}, t)D\phi(T_E(\mathbf{x}, t)), \quad (\mathbf{x}, t) \in \Omega \times [0, T],$$

depending on  $T_E(\mathbf{x}, t) = 2\mu(\text{sym}(D\mathbf{u}(\mathbf{x}, t)) - \varepsilon_p(\mathbf{x}, t))$ .

For given material history  $\varepsilon_p(t)$  at fixed time  $t$ , the displacement and the micro-rotations are determined by minimizing the total elastic energy

$$\mathcal{I}(\mathbf{u}, \bar{\mathbf{A}}, \varepsilon_p) = \mathcal{E}(\varepsilon(\mathbf{u}), \bar{\mathbf{A}}, \varepsilon_p) - \ell(t, \mathbf{u}),$$

$$\begin{aligned} \text{with } \mathcal{E}(\varepsilon, \bar{\mathbf{A}}, \varepsilon_p) = & \mu \int_{\Omega} |\text{sym}(\varepsilon) - \varepsilon_p|^2 d\mathbf{x} + \frac{\lambda}{2} \int_{\Omega} \text{tr}(\varepsilon)^2 d\mathbf{x} \\ & + \mu_c \int_{\Omega} |\text{skew}(\varepsilon) - \bar{\mathbf{A}}|^2 d\mathbf{x} + \mu L_c^2 \int_{\Omega} |D\bar{\mathbf{A}}|^2 d\mathbf{x}. \end{aligned}$$

# Infinitesimal Model - subdifferential formulation

$$\begin{aligned} -\operatorname{div} \boldsymbol{\sigma} &= \mathbf{b}, \\ -\mu L_C^2 \Delta \bar{\mathbf{A}} &= \mu_c (\operatorname{skew}(D\mathbf{u}) - \bar{\mathbf{A}}), \\ \boldsymbol{\sigma} &= 2\mu (\operatorname{sym}(D\mathbf{u}) - \varepsilon_p) + \lambda \operatorname{div}(\mathbf{u}) \mathbf{I} + 2\mu_c (\operatorname{skew}(D\mathbf{u}) - \bar{\mathbf{A}}), \\ \frac{d}{dt} \varepsilon_p &\in \partial \chi(T_E), \\ T_E &= 2\mu (\operatorname{sym}(D\mathbf{u}) - \varepsilon_p) \end{aligned}$$

where  $\chi$  is the indicator function of the elastic domain  $\mathbf{K}$ .

## Discretization in space

Let  $h$  be a mesh size parameter, and let  $\mathbf{V}_h \subset C^{0,1}(\Omega, \mathbb{R}^d)$  and  $W_h \subset C^{0,1}(\Omega, \mathfrak{sl}(d))$  be finite element spaces, and set

$$\mathbf{V}_h(\mathbf{u}_D) = \{\mathbf{v} \in \mathbf{V}_h: \mathbf{v}(\mathbf{x}) = \mathbf{u}_D(\mathbf{x}) \text{ for } \mathbf{x} \in D_h\},$$

$$W_h(A_D) = \{B \in W_h: B(\mathbf{x}) = A_D(\mathbf{x}) \text{ for } \mathbf{x} \in D'_h\}$$

where  $D_h, D'_h \subset \Gamma_D$  are the sets of all nodal points on  $\Gamma_D$  for  $\mathbf{u}$  and  $A$ .

Let  $\Xi_h \subset \Omega$  be quadrature points and let  $\omega_\xi$  be corresponding quadrature weights such that

$$\int_{\Omega} \mathbf{v} \cdot \mathbf{w} \, d\mathbf{x} = \sum_{\xi \in \Xi_h} \omega_\xi \mathbf{v}(\xi) \cdot \mathbf{w}(\xi), \quad \mathbf{v}, \mathbf{w} \in \mathbf{V}_h.$$

We set

$$\mathbf{\Lambda} = \{\mathbf{\Lambda}: \Xi_h \longrightarrow \mathbb{R}\},$$

$$\mathbf{\Sigma}_h = \{\boldsymbol{\tau}: \Xi_h \longrightarrow \mathbb{R}^{d,d}\},$$

$$\text{and } \mathbf{E}_h^p = \{\boldsymbol{\tau}: \Xi_h \longrightarrow \mathfrak{sl}(d) \cap \text{Sym}(d)\},$$

where  $\mathfrak{sl}(d) = \{\boldsymbol{\tau} \in \mathbb{R}^{d,d}: \text{tr}(\boldsymbol{\tau}) = 0\}$  is the Lie algebra of trace-free matrices.

# Discretization in space

Determine

displacements $\mathbf{u}$ :	$[0, T] \longrightarrow \mathbf{V}_h,$
stresses $\boldsymbol{\sigma}$ :	$[0, T] \longrightarrow \boldsymbol{\Sigma}_h,$
micro-rotations $\bar{\mathbf{A}}$ :	$[0, T] \longrightarrow \mathbf{W}_h,$
plastic strains $\boldsymbol{\varepsilon}_p$ :	$[0, T] \longrightarrow \mathbf{E}_h^p,$
and a plastic multiplier $\Lambda$ :	$[0, T] \longrightarrow \boldsymbol{\Lambda}$

satisfying

- ▶ the equilibrium equations,

$$\int_{\Omega} \boldsymbol{\sigma} : D\mathbf{v} \, d\mathbf{x} = \ell(\cdot, \mathbf{v}), \quad \mathbf{v} \in \mathbf{V}_h(\mathbf{0}),$$
$$\mu_c L_c^2 \int_{\Omega} D\bar{\mathbf{A}} \cdot D\bar{\mathbf{B}} \, d\mathbf{x} = \mu_c \int_{\Omega} (\text{skew}(D\mathbf{u}) - \bar{\mathbf{A}}) : \bar{\mathbf{B}} \, d\mathbf{x}, \quad \bar{\mathbf{B}} \in \mathbf{W}_h(\mathbf{0})$$

- ▶ the essential boundary conditions,
- ▶ the constitutive relation,
- ▶ the complementary conditions (Kuhn-Tucker),
- ▶ and the flow rule.

## Discretization in time

The model of incremental infinitesimal plasticity is obtained by a decomposition  $0 = t_0 < t_1 < \dots < t_N = T$  of the time interval and backward Euler scheme. For  $n = 1, 2, 3, \dots$  the next increment depends on the material history described by  $\varepsilon_p^{n-1}$ , the new load  $\ell^n[\mathbf{v}] = \ell(t_n, \mathbf{v})$ , and the new Dirichlet boundary values  $\mathbf{u}_D^n = \mathbf{u}_D(t_n)$  and  $\bar{A}_D^n = \bar{A}_D(t_n)$ .

We compute the displacement vector  $\mathbf{u}^n \in \mathbf{V}_h(\mathbf{u}_D^n)$ , the stresses  $\sigma^n \in \boldsymbol{\Sigma}_h$ , the micro-rotations  $\bar{A} \in W_h(\bar{A}_D^n)$ , the plastic strains  $\varepsilon_p^n \in \mathbf{E}_h^p$ , and the plastic multiplier  $\Lambda^n \in \boldsymbol{\Lambda}$  satisfying additionally the discrete flow-rule:

$$\frac{1}{t_n - t_{n-1}} \left( \varepsilon_p^n(\boldsymbol{\xi}) - \varepsilon_p^{n-1}(\boldsymbol{\xi}) \right) = \Lambda^n(\boldsymbol{\xi}) D\phi(T_E^n(\boldsymbol{\xi})), \quad \boldsymbol{\xi} \in \Xi_h,$$

depending on  $T_E^n(\boldsymbol{\xi}) = 2\mu(\text{sym}(D\mathbf{u}^n(\boldsymbol{\xi})) - \varepsilon_p^n(\boldsymbol{\xi}))$ .

Since the problem is rate-independent, we define  $\gamma^n = (t_n - t_{n-1})\Lambda^n \in \boldsymbol{\Lambda}$ .

# Fully discrete elasto-plastic problem

Together, we can state the fully discrete elasto-plastic Cosserat problem.

For given  $\varepsilon_p^{n-1} \in \mathbf{E}_h^p$  find  $\sigma^n, T_E^n \in \Sigma_h$ ,  $\mathbf{u}^n \in \mathbf{V}_h(\mathbf{u}_D^n)$ ,  $\bar{A} \in W_h(\bar{A}_D^n)$  and  $\gamma^n \in \Lambda$  such that

$$T_E^n(\xi) = 2\mu \left( \text{sym}(D\mathbf{u}^n(\xi)) - \varepsilon_p^{n-1}(\xi) - \gamma^n(\xi) D\phi(T_E^n(\xi)) \right), \quad \xi \in \Xi_h,$$

$$\phi(T_E^n(\xi)) \leq 0, \quad \gamma^n(\xi) \phi(T_E^n(\xi)) = 0, \quad \gamma^n(\xi) \geq 0, \quad \xi \in \Xi_h,$$

$$\sigma^n(\xi) = T_E^n(\xi) + \lambda \text{div}(\mathbf{u}^n)(\xi) \mathbf{I} + 2\mu_c (\text{skew}(D\mathbf{u}^n(\xi)) - \bar{A}^n(\xi)), \quad \xi \in \Xi_h,$$

$$\int_{\Omega} \sigma^n : D\mathbf{v} \, d\mathbf{x} = \ell^n[\mathbf{v}], \quad \mathbf{v} \in \mathbf{V}_h(\mathbf{0}),$$

$$\mu L_c^2 \int_{\Omega} D\bar{A}^n \cdot D\bar{B} \, d\mathbf{x} = \mu_c \int_{\Omega} (\text{skew}(D\mathbf{u}^n) - \bar{A}^n) : \bar{B} \, d\mathbf{x}, \quad \bar{B} \in W_h(\mathbf{0}).$$

# Discrete formulation of the Elasto-Plastic Model

## Lemma:

The fully discrete elasto-plastic problem is equivalent to the following nonlinear variational problem. For given  $\varepsilon_p^{n-1}$  find  $(\mathbf{u}^n, \bar{\mathbf{A}}^n) \in \mathbf{V}_h(\mathbf{u}_D^n) \times W_h(\bar{\mathbf{A}}_D^n)$  such that

$$\int_{\Omega} P_{\mathbf{K}}(2\mu(\text{sym}(D\mathbf{u}^n) - \varepsilon_p^{n-1})) : D\mathbf{v} \, d\mathbf{x} + \lambda \int_{\Omega} \text{div}(\mathbf{u}^n) \text{div}(\mathbf{v}) \, d\mathbf{x} \\ + 2\mu_c \int_{\Omega} (\text{skew}(D\mathbf{u}^n) - \bar{\mathbf{A}}^n) : D\mathbf{v} \, d\mathbf{x} = \ell^n[\mathbf{v}], \quad \mathbf{v} \in \mathbf{V}_h(\mathbf{0}),$$

$$\mu L_c^2 \int_{\Omega} D\bar{\mathbf{A}}^n \cdot D\bar{\mathbf{B}} \, d\mathbf{x} = \mu_c \int_{\Omega} (\text{skew}(D\mathbf{u}^n) - \bar{\mathbf{A}}^n) : \bar{\mathbf{B}} \, d\mathbf{x}, \quad \bar{\mathbf{B}} \in W_h(\mathbf{0}).$$

# Variational Formulation of the discrete problem

## Lemma:

Any minimizer  $(\mathbf{u}^n, \bar{\mathbf{A}}^n) \in \mathbf{V}_h(\mathbf{u}_D^n) \times W_h(\bar{\mathbf{A}}_D^n)$  of the functional

$$\mathcal{I}_{\text{incr}}^n(\mathbf{u}, \bar{\mathbf{A}}) = \mathcal{E}_{\text{incr}}(\varepsilon(\mathbf{u}), \bar{\mathbf{A}}, \varepsilon_p^{n-1}) - \ell_n[\mathbf{u}]$$

solves the nonlinear variational update problem. Here  $\mathcal{E}_{\text{incr}}$  denotes the free energy of the incremental update problem defined by

$$\begin{aligned} \mathcal{E}_{\text{incr}}(D\mathbf{u}, \bar{\mathbf{A}}, \varepsilon_p) &= \frac{1}{2\mu} \int_{\Omega} \psi_{\mathbf{K}}(2\mu(\text{sym}(D\mathbf{u}) - \varepsilon_p)) \, d\mathbf{x} + \frac{\lambda}{2} \int_{\Omega} \text{tr}(D\mathbf{u})^2 \, d\mathbf{x} \\ &\quad + \mu_c \int_{\Omega} |\text{skew}(D\mathbf{u}) - \bar{\mathbf{A}}|^2 \, d\mathbf{x} + \mu L_c^2 \int_{\Omega} |D\bar{\mathbf{A}}|^2 \, d\mathbf{x}, \end{aligned}$$

$$\psi_{\mathbf{K}}(\boldsymbol{\theta}) = \begin{cases} \frac{1}{2} |\boldsymbol{\theta}|^2 & |\text{dev}(\boldsymbol{\theta})| \leq K_0, \\ \frac{1}{2} \left( \frac{1}{d} \text{tr}(\boldsymbol{\theta})^2 + 2K_0 |\text{dev}(\boldsymbol{\theta})| - K_0^2 \right) & |\text{dev}(\boldsymbol{\theta})| > K_0. \end{cases}$$

If  $\varepsilon_p^{n-1} = 0$  and  $\mu_c = 0 \rightarrow$  classical Hencky-Problem.

# The Dual Formulation

## Lemma:

Let  $\varepsilon_p^{n-1} \in L_2(\Omega, \text{Sym}(3))$  with  $\text{tr}(\varepsilon_p^{n-1}) = 0$  be given.

Then,  $(\sigma^n, \bar{A}^n) \in L_2(\Omega, \mathbf{R}^{3,3}) \times W$  is uniquely determined by minimizing the dual functional

$$\begin{aligned} \mathcal{D}_{\text{incr}}^n(\sigma, \bar{A}) &= \int_{\Omega} \sigma : \varepsilon_p^{n-1} \, d\mathbf{x} + \frac{1}{4\mu} \int_{\Omega} |\text{dev}(\text{sym}(\sigma))|^2 \, d\mathbf{x} \\ &+ \frac{1}{6(2\mu + 3\lambda)} \int_{\Omega} \text{tr}(\sigma)^2 \, d\mathbf{x} + \frac{1}{4\mu_c} \int_{\Omega} |\text{skew}(\sigma)|^2 \, d\mathbf{x} + \mu L_c^2 \int_{\Omega} |D\bar{A}|^2 \, d\mathbf{x}. \end{aligned}$$

subject to the plastic constraint  $\phi(\text{sym}(\sigma^n)) \leq 0$  and the equilibrium constraint

$$\begin{aligned} \int_{\Omega} \sigma^n : D\mathbf{v} \, d\mathbf{x} &= \ell^n[\mathbf{v}], \quad \mathbf{v} \in \mathbf{V} \\ 2\mu L_c^2 \int_{\Omega} D\bar{A}^n \cdot D\bar{B} \, d\mathbf{x} &= \int_{\Omega} \text{skew}(\sigma^n) : \bar{B} \, d\mathbf{x}, \quad \bar{B} \in W. \end{aligned}$$

# The Dual Formulation

For the limit case  $\mu_c = 0$ , we have to assume that the convex set

$$\mathbf{K}^n = \left\{ \boldsymbol{\tau} \in L^2(\Omega, \text{Sym}(3)) : \boldsymbol{\tau} \in \mathbf{K} \text{ a. e. in } \Omega, \int_{\Omega} \boldsymbol{\tau} : D\mathbf{v} \, d\mathbf{x} = \ell^n[\mathbf{v}], \mathbf{v} \in \mathbf{V} \right\}$$

is not empty (weak safe-load assumption).

## Lemma:

If  $\boldsymbol{\eta}^n \in \mathbf{K}^n$  exists, we have

$$\| \text{skew}(\boldsymbol{\sigma}^n) \|_{L^2(\Omega)}^2 \leq 4\mu_c \mathcal{D}_{\text{incr}}^n(\boldsymbol{\eta}^n, \mathbf{0})$$

and

$$\| D\bar{A}^n \|_{L^2(\Omega)}^2 \leq \frac{C_1^2}{\mu^2 L_c^4} \mu_c \mathcal{D}_{\text{incr}}^n(\boldsymbol{\eta}^n, \mathbf{0}).$$

## Theorem:

If  $\boldsymbol{\eta}^n \in \mathbf{K}^n$  exists, we have

$$\lim_{\mu_c \rightarrow 0} \| \text{sym}(\boldsymbol{\sigma}_{\mu_c}^n) - \boldsymbol{\sigma}_0^n \|_{L^2(\Omega)} = 0.$$

# The FEM convergence

## Theorem:

For pure dirichlet data on  $\mathbf{u}$  we have.

$$\|\mathbf{v}\|_{H^1(\Omega)}^2 \leq \bar{C} \left( \|\operatorname{div} \mathbf{v}\|_{L^2(\Omega)}^2 + \|\operatorname{curl} \mathbf{v}\|_{L^2(\Omega)}^2 \right), \quad \mathbf{v} \in \mathbf{V}.$$

Thus,

$$\|(\mathbf{u} - \mathbf{u}_h, \bar{A} - \bar{A}_h)\|_{\mathbf{v} \times W} \leq \frac{C}{\mu_C} \inf_{(\mathbf{v}_h, \bar{B}_h) \in \mathbf{V}_h \times W_h} \|(\mathbf{u} - \mathbf{v}_h, \bar{A} - \bar{B}_h)\|_{\mathbf{v} \times W}.$$

$C$  is independent of  $\mu_C \in (0, \mu]$ .

## Remark :

For  $\mu_C > 0$  we observe  $(\mathbf{u}_{\mu_C}, \bar{A}_{\mu_C}) \in H^2(\Omega)$  (Neff/Knees), thus

$$\|(\mathbf{u}_{\mu_C} - \mathbf{u}_{\mu_C}^h, \bar{A}_{\mu_C} - \bar{A}_{\mu_C}^h)\|_{H^1(\Omega)} \leq \frac{C}{\mu_C} h \|(\mathbf{u}_{\mu_C}, \bar{A}_{\mu_C})\|_{H^2(\Omega)}$$

for isoparametric Q1 elements.

Balance  $\mu_C$  with  $h$ !

# Numerical Solution Algorithm

We formulate a semi-smooth Newton method for the nonlinear variational problem

$$(\mathbf{u}^n, \bar{A}^n) \in \mathbf{V}_h(\mathbf{u}_D^n) \times W_h(\bar{A}_D^n): \quad F^n(\mathbf{u}^n, \bar{A}^n) = 0$$

in every time step  $n$ , where  $F^n$  is the first variation of  $\mathcal{I}_{\text{incr}}^n$  defined by

$$F^n(\mathbf{u}, \bar{A})[\mathbf{v}, \bar{B}] = D\mathcal{I}_{\text{incr}}^n(\mathbf{u}, \bar{A})[\mathbf{v}, \bar{B}], \quad (\mathbf{v}, \bar{B}) \in \mathbf{V}_h(\mathbf{0}) \times W_h(\mathbf{0}).$$

The functional  $F^n$  is semi-smooth, and the second variation  $\partial^2 \mathcal{I}_{\text{incr}}^n = \partial F^n$  is multi-valued. Thus, the corresponding semi-smooth Newton method can be formally written as

$$0 \in F^n(\mathbf{u}^{n,k}, \bar{A}^{n,k}) + \partial F^n(\mathbf{u}^{n,k}, \bar{A}^{n,k})[\mathbf{u}^{n,k+1} - \mathbf{u}^{n,k}, \bar{A}^{n,k+1} - \bar{A}^{n,k}].$$

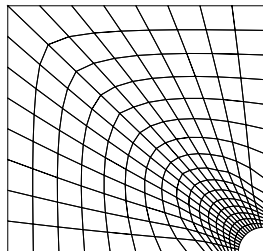
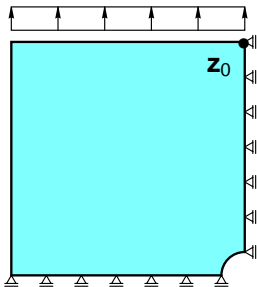
We consider the special case of the von Mises flow rule. The Newton increment is realized using the consistent linearization  $\mathbf{C}(\theta)$ .

# Benchmark problem for parameter study of $\mu_c$

Let  $\Omega = (0, 10) \times (0, 10) \setminus B_1(10, 0)$ . We use Q1 discretization and present results for 198147 unknowns on uniform refinement level 4. We have chosen the parameters  $K_0 = 450$ ,  $\lambda \approx 1.1 \cdot 10^6$ ,  $\mu \approx 8.0 \cdot 10^5$  and  $L_c \approx 0.02$ .

And apply traction force by Neumann boundary condition according to:

$$\ell(t, \mathbf{v}) = 100t \int_0^{10} \mathbf{v}(x_1, 10) dx_1.$$



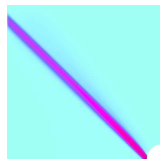
Geometry, boundary conditions and coarse mesh.

# Numerical Experiment with M++

Cosserat Model ( $\mu_c = \mu$ ) : Effective plastic strain



Prandtl-Reuß ( $\mu_c = 0$ ) : Effective plastic strain



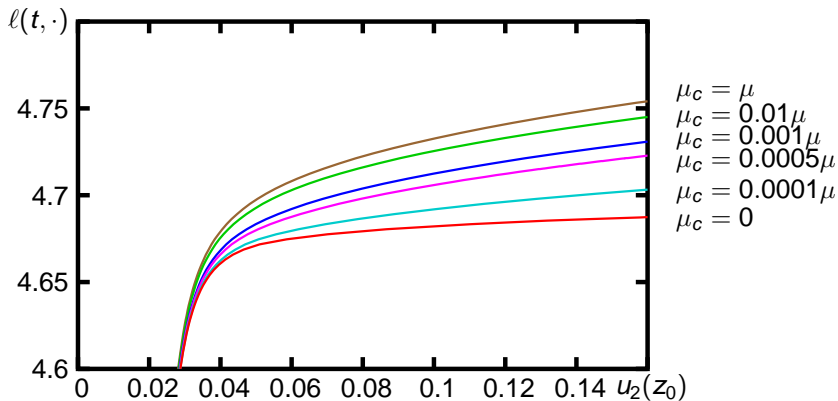
$t = 4.00$

$t = 4.40$

$t = 4.69$

no difference for  $t < 4.50$

## Numerical Experiment with M++



Load-displacement curve: displacement  $\mathbf{u}$  is evaluated at special point  $\mathbf{z}_0$ .

# Summary and Outlook

- ▶ The Elasto-Plastic Cosserat Model with pure Dirichlet data is well-posed. Solution exists globally in time.
- ▶ This Elasto-Plastic Cosserat Model is a regularization for classical perfect plasticity (shear failure mechanisms).
- ▶ Complete finite element analysis (depending on  $\mu_c$ ) is available: to appear in Math. Meth. Mod. Appl. Sci.
- ▶ Compared to gradient plasticity: no change of plasticity formulation needed! Classical variational concepts carry over.
- ▶ Future work will be the analysis and implementation of geometrically nonlinear elasto-plastic Cosserat Models.
- ▶ And a comparison with implementations of higher gradient plasticity models.
- ▶  $\mu_c$  measures rotational inhomogeneity
- ▶ no Cosserat effects in uniaxial tests (unlike hardening or viscosity)