

A CONSTRUCTION OF A QUOTIENT TENSOR CATEGORY

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ABSTRACT. Let $f : G \rightarrow A$ be a surjective homomorphism of transitive groupoid schemes and let L denote the kernel of f . The exact sequence of groupoid schemes $1 \rightarrow L \rightarrow G \rightarrow A \rightarrow 1$ induces a sequence of functors between the categories of finite representations of these groupoid schemes $\text{Rep}_f(A) \rightarrow \text{Rep}_f(G) \rightarrow \text{Rep}_f(L)$. We show that the category $\text{Rep}_f(L)$ is a quotient category of $\text{Rep}_f(G)$ by $\text{Rep}_f(A)$ in an appropriate sense. We also generalize this setting to the framework where the tensor categories are not necessarily Tannaka categories (i.e. not of the form $\text{Rep}_f(G)$ for some groupoid scheme G), where we show under certain assumption the uniqueness of the quotient tensor category.

INTRODUCTION

Let \mathcal{T} be a Tannaka category over k with fiber functor ω to vect_K , where $K \supset k$ and let \mathcal{S} be a full tensor subcategory of \mathcal{T} which is closed under taking sub- and quotient objects. The natural inclusion $\mathcal{S} \rightarrow \mathcal{T}$ induces a surjective homomorphism of k -groupoids acting upon K

$$(0.1) \quad G(\mathcal{T}) \rightarrow G(\mathcal{S})$$

In [4] it is shown that the kernel of this homomorphism is a discrete K -groupoid which can therefore be identified with a K -group (scheme). Let L denote this K -group, \mathcal{Q} the category of its (finite dimensional) representation and $\mathfrak{q} : \mathcal{T} \rightarrow \mathcal{Q}$ the restriction functor. For the sequence of functors

$$(0.2) \quad \mathcal{S} \rightarrow \mathcal{T} \xrightarrow{\mathfrak{q}} \mathcal{Q}$$

it is shown that

- (i) An object of \mathcal{T} is isomorphic (in \mathcal{T}) to an object from \mathcal{S} iff its image under \mathfrak{q} is trivial (i.e. isomorphic to the direct sum of copies of the unit object) in \mathcal{Q} ,
- (ii) Each object in \mathcal{Q} is isomorphic (in \mathcal{Q}) to a subobject of the image under \mathfrak{q} of an object from \mathcal{T} .

The problem we want to address in this work is to give an abstract description of the quotient category \mathcal{Q} . This question was posed by P. Deligne in connection with our description of the representation category of L given in [4]. While considering this problem we realize that, with some “technical assumptions”, one can assume \mathcal{T} merely to be a rigid tensor category. On the other hand, as already noticed by Milne in [5] for the existence of a quotient \mathcal{Q}

the category \mathcal{S} is necessarily a Tannaka category. Let us start by the definition of a (normal) quotient category of a rigid tensor category \mathcal{T} .

A (normal) K -quotient of \mathcal{T} is by definition a pair $(\mathcal{Q}, \mathfrak{q} : \mathcal{T} \rightarrow \mathcal{Q})$ consisting of a K -linear rigid tensor category \mathcal{Q} and k -linear exact tensor functor \mathfrak{q} (the k -linear structure over \mathcal{Q} is induced from the inclusion $k \subset K$), such that:

- (i) for an object $X \in \mathcal{T}$ the largest trivial subobject of $\mathfrak{q}(X)$ is isomorphic to the image under \mathfrak{q} of a subobject of X ;
- (ii) each object of \mathcal{Q} is isomorphic to a subobject of $\mathfrak{q}(X)$ with $X \in \mathcal{T}$.

Given a K -quotient $(\mathcal{Q}, \mathfrak{q})$ of \mathcal{T} , let \mathcal{S} denote the full subcategory of \mathcal{T} consisting of those objects of \mathcal{T} whose images in \mathcal{Q} are trivial (i.e. isomorphic to a direct sum of the unit object). Thus \mathcal{S} is a tensor subcategory and is closed under taking sub- and quotient objects. We shall call \mathcal{S} the *invariant subcategory* with respect to the quotient $(\mathcal{Q}, \mathfrak{q})$. \mathcal{S} is a Tannaka category with fiber functor to \mathbf{vect}_K (Lemma 3.3).

For each object $X \in \mathcal{T}$ let $X_{\mathcal{S}}$ denote the maximal subobject of X which is isomorphic to an object of \mathcal{S} . We refer to 4.11, 5.3, 5.7 for the condition that \mathcal{T} is flat over \mathcal{S} and over K . Our main results are:

Let \mathcal{T} be a rigid tensor category over k and \mathcal{S} be a full tensor subcategory which is closed under taking sub- and quotient objects.

- (i) *Assume that \mathcal{S} is a Tannaka category with fiber functor ω to \mathbf{vect}_K , and \mathcal{T} is flat over K and over \mathcal{S} . Then if a K -quotient $(\mathcal{Q}, \mathfrak{q})$ of \mathcal{T} by \mathcal{S} exists, it is equivalent to the category, whose objects are triples $(X, Y, f \in \omega(X^{\vee} \otimes Y)_{\mathcal{S}})$, and morphisms are appropriately defined. Consequently $(\mathcal{Q}, \mathfrak{q})$ is uniquely determined, up to a tensor equivalence.*
- (ii) *If \mathcal{T} is a Tannaka category, then it is flat over any full tensor subcategory which is closed under sub- and quotient objects, and the quotient of \mathcal{T} with respect to such a subcategory exists.*

The work is organized as follows. We first recall some basic fact about an exact sequence of algebraic group schemes $1 \rightarrow L \rightarrow G \rightarrow A \rightarrow 1$. The construction recalled here will be generalized in the subsequent sections. In section 2 we first define the kernel L of a morphism of transitive groupoid schemes $f : G \rightarrow A$ and provide some basic properties of L , for instance, the transitivity. Then using the kernel we describe the base changes of a groupoid scheme. After that we provide a description of the representation category of L generalize the one mentioned in section 1 for group schemes. In section 3 we introduce the notion of quotient tensor category of a rigid tensor category \mathcal{T} by a Tannaka subcategory \mathcal{S} which is closed under taking sub- and quotient objects. In sections 4 and 5 we give a description of this category. Section 4 is devoted to the case when \mathcal{S} is a neutral Tannaka category and section 5 is devoted to the general case. Results of section 2 show in particular that $\mathbf{Rep}_f(L)$ (the category of finite dimensional representation of L) is the quotient of $\mathbf{Rep}_f(G)$ by $\mathbf{Rep}_f(A)$. A consequence of this result which might be useful is a criterion 5.11 for a sequence of groupoid schemes to be exact. Unfortunately

our description of the quotient category depends on an assumption about the flatness (of \mathcal{T} over \mathcal{S} and K), which we cannot check when \mathcal{T} is not Tannaka category. Nevertheless we believe that the assumptions have the potential to hold true. To this end some open questions are mentioned in 5.12.

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1. PRELIMINARIES

Consider a homomorphism $f : G \rightarrow A$ of affine group schemes (not necessarily of finite type) over a field k , which we shall call groups for short. Let L denote the kernel of f , which is then a normal subgroup of G . We collect here some known information on the relationship between these groups.

1.1. We shall use the notation $\mathcal{O}(G)$ to denote the function algebra over G , which is a Hopf k -algebra. The reader is referred to [6] for details on the structure of $\mathcal{O}(G)$. We shall use the following notations for defining the structure maps on $\mathcal{O}(G)$:

- $m : \mathcal{O}(G) \otimes \mathcal{O}(G) \rightarrow \mathcal{O}(G)$ for the multiplication;
- $u : k \rightarrow \mathcal{O}(G)$ for the unit element map;
- $\epsilon : \mathcal{O}(G) \rightarrow k$ for the counit map (which is induced from the unit element e of G);
- $\Delta : \mathcal{O}(G) \rightarrow \mathcal{O}(G) \otimes \mathcal{O}(G)$ for the coproduct (which is induced from the product on G);
- ι for the antipode map (which is induced from the inverse element map on G).

We shall adopt the Sweedler notation for the coproduct:

$$\Delta(h) = \sum_{(h)} h_{(1)} \otimes h_{(2)}$$

1.2. The category $\text{Rep}(G)$ of k -linear representation of G is equivalent to the category $\mathcal{O}(G)\text{-Comod}$ of $\mathcal{O}(G)$ comodules, which consists of pairs $(V, \rho_V : V \rightarrow V \otimes \mathcal{O}(G))$, where V is a vector space and ρ_V is a k -linear map satisfying

the following commutative diagrams:

$$\begin{array}{ccc}
 V & \xrightarrow{\rho_V} & V \otimes \mathcal{O}(G) \\
 \rho_V \downarrow & & \downarrow \rho_V \otimes \text{id} \\
 V \otimes \mathcal{O}(G) & \xrightarrow{\text{id} \otimes \Delta} & V \otimes V \otimes \mathcal{O}(G)
 \end{array}
 \qquad
 \begin{array}{ccc}
 V & \xrightarrow{\rho_V} & V \otimes_t \mathcal{O} \\
 \text{id} \searrow & & \downarrow \text{id} \otimes \epsilon \\
 & & V
 \end{array}$$

The coproduct $\Delta : \mathcal{O}(G) \rightarrow \mathcal{O}(G) \otimes \mathcal{O}(G)$ defines a right coaction (as well as a left coaction) of $\mathcal{O}(G)$ on itself, this coaction corresponds to the right (resp. the left) regular representation of G in $\mathcal{O}(G)$. The terminologies: G -equivariant and $\mathcal{O}(G)$ -colinear are equivalent.

A homomorphism $f : G \rightarrow A$ is the same as a homomorphism of Hopf algebras $f^* : \mathcal{O}(A) \rightarrow \mathcal{O}(G)$. The fundamental theorem of algebraic group theory claims that $\mathcal{O}(G)$ is faithfully flat over its subalgebra $f^*(\mathcal{O}(A))$, cf. [3].

1.3. Let $q : L \rightarrow G$ be the kernel of f . We notice that L can be defined as the fiber product over A of G with $\text{Spec}(k)$, where the morphism $e : \text{Spec}(k) \rightarrow A$ is given by the unit element of A . Thus we have

$$(1.1) \quad \mathcal{O}(L) \cong \mathcal{O}(G) \otimes_{\mathcal{O}(A)} k$$

The homomorphism $q^* : \mathcal{O}(G) \rightarrow \mathcal{O}(L)$ is just the projection $\mathcal{O}(G) \rightarrow \mathcal{O}(G) \otimes_{\mathcal{O}(A)} k$ obtained by tensoring $\mathcal{O}(G)$ with the map $\epsilon : \mathcal{O}(A) \rightarrow k$. We shall assume from now on that $\mathcal{O}(A)$ is a Hopf subalgebra of $\mathcal{O}(G)$.

1.4. The homomorphism $q : L \rightarrow G$ induces a tensor functor $\text{res}^q : \text{Rep}(G) \rightarrow \text{Rep}(L)$, which restricts a representation of G in a vector space V through q to a representation of L . The functor $\text{res}^q : \text{Rep}(G) \rightarrow \text{Rep}(L)$ admits a right adjoint, which is the induced representation functor $\text{ind}_q : \text{Rep}(L) \rightarrow \text{Rep}(G)$, that is we have a functorial isomorphism

$$(1.2) \quad \text{Hom}_L(\text{res}^q(V), U) \cong \text{Hom}_G(V, \text{ind}_q(U)), \quad V \in \text{Rep}(G), U \in \text{Rep}(L)$$

The functoriality yields a canonical L -linear map

$$\varepsilon_U : \text{res}^q \text{ind}_q(U) \rightarrow U$$

The functor ind_q can be explicitly computed in terms of the invariant space functor $(-)^L$. We prefer here the following Hopf algebraic description, which will be exploited in the next sections.

For an L -representation U , denote by $U \square_{\mathcal{O}(L)} \mathcal{O}(G)$ the equalizer of the following maps

$$(1.3) \quad \begin{array}{ccc}
 & \xrightarrow{\rho_U \otimes \text{id}} & \\
 U \otimes \mathcal{O}(G) & \xrightarrow{\text{id} \otimes \Delta} & U \otimes \mathcal{O}(G) \otimes \mathcal{O}(G) \xrightarrow{\text{id} \otimes q^* \otimes \text{id}} U \otimes \mathcal{O}(L) \otimes \mathcal{O}(G)
 \end{array}$$

$U \square_{\mathcal{O}(L)} \mathcal{O}(G)$ is called the cotensor product over $\mathcal{O}(L)$ of U with $\mathcal{O}(G)$. Then we have a functorial isomorphism

$$(1.4) \quad \text{ind}_q(U) \cong U \square_{\mathcal{O}(L)} \mathcal{O}(G)$$

For the cotensor product there is the following key isomorphism first considered by Takeuchi [7]

$$(1.5) \quad (U \square_{\mathcal{O}(L)} \mathcal{O}(G)) \otimes_{\mathcal{O}(A)} \mathcal{O}(G) \cong U \otimes \mathcal{O}(G), \quad u \otimes g \otimes h \mapsto \sum_{(u)} u_{(0)} \otimes u_{(1)} g h,$$

which together with the faithful flatness of $\mathcal{O}(G)$ over $\mathcal{O}(A)$ (cf. 1.2) shows in particular that the functor $\text{ind}_q = (-) \square_{\mathcal{O}(L)} \mathcal{O}(G)$ is faithfully exact.

A direct consequence of (1.5) is the following isomorphism

$$(1.6) \quad k \square_{\mathcal{O}(L)} \mathcal{O}(G) \cong \mathcal{O}(A)$$

For a representation V of G , we have the following G -equivariant isomorphism

$$(1.7) \quad V \otimes \mathcal{O}(G) \rightarrow (V) \otimes \mathcal{O}(G) = \mathcal{O}(G)^{\oplus \dim_k V}, \quad v \otimes h \mapsto \sum_{(v)} v_{(0)} \otimes v_{(1)} h$$

Therefore, considering V as an $\mathcal{O}(L)$ -comodule, (1.6) and (1.7) imply a G -equivariant isomorphism (where G acts diagonally on the right object)

$$(1.8) \quad V \square_{\mathcal{O}(L)} \mathcal{O}(G) \cong V \otimes \mathcal{O}(A)$$

In other words we have $\text{ind}_q \text{res}^q(V) \cong V \otimes \mathcal{O}(A)$. Note that $\mathcal{O}(A)$ acts on $V \otimes \mathcal{O}(A)$ through the action on the second component.

1.5. In general, for any L -representation U there exists an $\mathcal{O}(A)$ module structure $\mu_U : \mathcal{O}(A) \otimes \text{ind}_q(U) \rightarrow \text{ind}_q(U)$ on $\text{ind}_q(U)$, induced from the inclusion of $\mathcal{O}(A)$ in $\mathcal{O}(G)$. The action μ_U is G -equivariant where G acts diagonally on $\mathcal{O}(A) \otimes \text{ind}_q(U)$. The functor ind_q thus factors through a functor to the category $\text{Mod}_{\mathcal{O}(A)}^{\mathcal{O}(G)}$ of the so-called $(\mathcal{O}(G)\text{-}\mathcal{O}(A))$ -Hopf modules. By definition, an $(\mathcal{O}(G)\text{-}\mathcal{O}(A))$ -Hopf module is a k -vector space M together with a coaction ρ_M of $\mathcal{O}(G)$ and an action μ_M of $\mathcal{O}(A)$ which are compatible in the sense that μ_M is $\mathcal{O}(G)$ -colinear where $\mathcal{O}(G)$ coacts diagonally on $M \otimes \mathcal{O}(A)$. The category $\text{Mod}_{\mathcal{O}(A)}^{\mathcal{O}(G)}$ is in fact a tensor category with respect to the tensor product over $\mathcal{O}(A)$. It follows from the various isomorphisms above that ind_q defines an equivalence of tensor categories between $\text{Rep}(L)$ and $\text{Mod}_{\mathcal{O}(A)}^{\mathcal{O}(G)}$. In particular, the isomorphism in (1.8) is $\mathcal{O}(A)$ -linear.

The equivalence mentioned above can be reformulated in the following more geometric language: there exists an equivalence between L -representations and G -equivariant vector bundles over A . This was pointed out to the author by P. Deligne.

1.6. A new consequence of the classical facts in 1.1-1.6 is the following, cf. [4]. It follows from the faithful exactness of ind_q that the canonical homomorphism $\varepsilon_U : \text{res}^q \text{ind}_q(U) \rightarrow U$ is surjective. Assume that U has finite dimension over k then we can find a finite dimensional G -subrepresentation V of $\text{ind}_q(U)$ which still maps surjectively on U . Thus U is a quotient of the restriction to L of a finite dimensional representation of G . Consequently, U can also be embedded

in to the restriction to L of a finite dimensional G -representation. Thus each finite dimensional representation U of L can be put (in a non-canonical way) in to a sequence $\text{res}^q(V) \xrightarrow{\pi} U \xrightarrow{\iota} \text{res}^q(W)$, where V, W are finite dimensional G -representations. In other words, U is equivalent as an L -representation to the image of an L -linear map $g : \text{res}^q(V) \rightarrow \text{res}^q(W)$. Using the equivalence between $\text{Rep}(L)$ and $\text{Mod}_{\mathcal{O}(A)}^{\mathcal{O}(G)}$ and the isomorphism (1.8) we can show that such g are in a 1-1 correspondence with morphisms $\bar{g} : V \otimes \mathcal{O}(A) \rightarrow W \otimes \mathcal{O}(A)$ in $\text{Mod}_{\mathcal{O}(A)}^{\mathcal{O}(G)}$. Since \bar{g} is $\mathcal{O}(A)$ linear, it is uniquely determined by a G -equivariant map $f : V \rightarrow W \otimes \mathcal{O}(A)$.

Let \mathcal{Q} be the category, whose objects are triples $(V, W, f : V \rightarrow W \otimes \mathcal{O}(A))$, where V, W are finite dimensional representations of G and f is G -equivariant, and whose morphisms are defined in an adequate way. Composing f with the morphism $\text{id} \otimes \epsilon : W \otimes \mathcal{O}(A) \rightarrow W \otimes k \cong W$ we obtain a map $f_0 : V \rightarrow W$ which is L -linear. We define a functor $\mathcal{Q} \rightarrow \text{Rep}(L)$, sending a triple (V, W, f) to the image of f_0 . It follows from the discussion of this paragraph that this functor is an equivalence of categories between \mathcal{Q} and the category $\text{Rep}_f(L)$ of finite dimensional representations of L .

2. GROUPOIDS

2.1. Groupoids and their representations. We refer to [2, §1.14] for the definition of an affine groupoid scheme, which we shall call here simply groupoid. Fix a field k and let K be another field containing k . A k -groupoid acting upon $\text{Spec } K$ will usually be denoted like G_k^K . G_k^K is called transitive if it acts transitively upon $\text{Spec } K$, which means that G_k^K is flat over $\text{Spec } K \times_k \text{Spec } K$ with respect to the source and target map $(s, t) : G_k^K \rightarrow \text{Spec } K \times_k \text{Spec } K$. The category of K -representation of G_k^K is denoted by $\text{Rep}(G_k^K)$, its subcategory of finite dimensional (over K) representations is denoted by $\text{Rep}_f(G_k^K)$.

2.2. Homomorphisms of groupoids. Assume we are given the following field extensions

$$(2.1) \quad k_0 \subset k \subset K_0 \subset K$$

and transitive groupoids $G = G_k^K$ and $A = A_{k_0}^{K_0}$. A k_0 -morphism $f : G \rightarrow A$ is called a groupoid homomorphism if for any k -scheme S , f induces a functor

$$(2.2) \quad f_S : (G(S), K(S)) \rightarrow (A(S), K_0(S))$$

of abstract groupoids, where, on objects, f_S is given by the inclusion of fields

$$(2.3) \quad \begin{array}{ccc} & S & \\ a \swarrow & & \searrow f_S(a) \\ \text{Spec } K & \longrightarrow & \text{Spec } K_0 \end{array}$$

and on morphism f_S is given by

$$(2.4) \quad \begin{array}{ccc} & S & \\ \phi \swarrow & & \searrow f_S(\phi) \\ G & \xrightarrow{f} & A \end{array}$$

That is, we have the following diagram

$$(2.5) \quad \begin{array}{ccc} S & \xrightarrow{f_S(\phi)} & A \\ (a,b) \downarrow & \searrow (f_S(a), f_S(b)) & \downarrow \\ \text{Spec } K \times_k \text{Spec } K & \longrightarrow & \text{Spec } K_0 \times_{k_0} \text{Spec } K_0 \end{array}$$

Setting $S = G$, $\phi = \text{id}$, we obtain the following commutative diagram

$$(2.6) \quad \begin{array}{ccc} G & \longrightarrow & A \\ \downarrow & & \downarrow \\ \text{Spec } K \times_k \text{Spec } K & \longrightarrow & \text{Spec } K_0 \times_{k_0} \text{Spec } K_0 \end{array}$$

Similarly, f should be compatible with the groupoid structure on G and A which are related to each other by this diagram.

The *kernel* of f is defined as the fiber product

$$(2.7) \quad \begin{array}{ccc} L & \longrightarrow & \text{Spec } K_0 \\ \downarrow & \square & \downarrow e \\ G & \xrightarrow{f} & A \end{array}$$

where $e : \text{Spec } K_0 \rightarrow A$ is given by the unite element of A .

Lemma 2.3. *L is a K_0 -groupoid acting transitively upon $\text{Spec } K$.*

Proof. The fiber product of $\text{Spec } K_0$ with $\text{Spec } K \times_k \text{Spec } K$ over $\text{Spec } K_0 \times_{k_0} \text{Spec } K_0$ is $\text{Spec } K \times_{K_0} \text{Spec } K$. Therefore there exists a map $L \rightarrow \text{Spec } K \times_{K_0} \text{Spec } K$. We show that L is transitive over $\text{Spec } K \times_{K_0} \text{Spec } K$. According to [2, Prop. 3.3], this is equivalent to saying that the associated stack $\mathcal{G}_{K:L}$ is a gerbes. This last fact was shown in [5, 1.2]. \square

2.4. Base change. Using the notion of kernel, we define in this section the “lower” base change for groupoids. Let $G = G_k^K$ be a transitive groupoid and $k \subset k_1 \subset K$ an intermediate field. The projection

$$(2.8) \quad \text{Spec } K \times_k \text{Spec } K \rightarrow \text{Spec } k_1 \times_k \text{Spec } k_1$$

induces a homomorphism of groupoids $G_k^K \rightarrow \text{Spec } k_1 \times_k \text{Spec } k_1$. The kernel of this homomorphism is called the k_1 -diagonal subgroupoid of G_k^K and denoted

by $G_{k_1}^K$

$$(2.9) \quad \begin{array}{ccccc} G_{k_1}^K & \longrightarrow & G_k^K & \longrightarrow & \text{Spec } k_1 \times_k \text{Spec } k_1 \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec } K \times_{k_1} \text{Spec } K & \longrightarrow & \text{Spec } K \times_k \text{Spec } K & \longrightarrow & \text{Spec } k_1 \times_k \text{Spec } k_1 \end{array} \quad \square$$

It follows from definition of $G_{k_1}^K$ that the left square in this diagram is cartesian. In case $k_1 = K$, G_K^K is just the usual diagonal subgroup of G_k^K .

On the other hand, for any extension $K \subset K_1$, Deligne [2] defines a k -groupoid $G_k^{K_1}$ acting (transitively) upon $\text{Spec } K_1$:

$$(2.10) \quad \begin{array}{ccc} G_k^{K_1} & \longrightarrow & G_k^K \\ \downarrow & & \downarrow \\ \text{Spec } K_1 \times_k \text{Spec } K_1 & \longrightarrow & \text{Spec } K \times_k \text{Spec } K \end{array} \quad \square$$

which in our context might be called the ‘‘upper’’ base change. We notice that the category $\text{Rep}(G_k^{K_1})$ is equivalent to the category $\text{Rep}(G_k^K)$ (cf. [2, (3.5.1)]).

Thus, given field extensions $k_0 \subset k \subset K_0 \subset K$ and a homomorphism of transitive groupoids $f : G_k^K \rightarrow A_{k_0}^{K_0}$. Then f factors through

$$(2.11) \quad G_k^K \xrightarrow{f_k} A_k^K \rightarrow A_k^{K_0} \hookrightarrow A_{k_0}^{K_0}$$

Since the last map in (2.11) is an injection, in what follows we shall only consider the situation $k_0 = k$, i.e. field extensions $k \subset K_0 \subset K$.

Lemma 2.5. *Let $q : L_{K_0}^K \rightarrow G_k^K$ be the kernel of a homomorphism $f : G_k^K \rightarrow A_k^{K_0}$ of transitive groupoids. For any $V \in \text{Rep}(G_k^K)$, the $L_{K_0}^K$ -invariant subspace $V^{L_{K_0}^K}$ is a G_k^K -subrepresentation of V , which is the pull-back through f of a representation of $A_k^{K_0}$.*

Proof. By making the lower base change we see that L_K^K is the kernel of $f_K : G_K^K \rightarrow A_K^K$, hence is normal in G_K^K as K -groups schemes. Thus $V^{L_K^K} \subset V$ is invariant under the action of G_K^K , but this also implies that $V^{L_K^K}$ is invariant under the action of G_k^K . Since L_K^K can also be considered as the kernel of the morphism $f : G_k^K \rightarrow A_k^K$, we see that A_k^K acts on $V^{L_K^K}$. As we noticed after (2.11), $\text{Rep}(A_k^K)$ is equivalent to $\text{Rep}(A_k^{K_0})$, which means that $V^{L_K^K}$ is indeed a representation of G_k^K that comes from a representation of $A_k^{K_0}$ by pulling-back through f . We therefore conclude that $L_{K_0}^K$ acts trivially on this space. Since $V^{L_{K_0}^K} \subset V^{L_K^K}$, these spaces coincide. \square

2.6. The function algebra. We refer to [2, 1.14] for the properties of the function algebra $\mathcal{O} := \mathcal{O}(G_k^K)$ of a groupoid G_k^K . See also the appendix to [4]. \mathcal{O} is a k -Hopf algebroid acting on K . The structure maps are denoted as follows:

- $m : \mathcal{O} \otimes_k \mathcal{O} \rightarrow \mathcal{O}$, the multiplication;

- $s \otimes_k t : K \otimes_k K \rightarrow \mathcal{O}$ – the unit map, induced from the source-target morphism $(s, t) : G_k^K \rightarrow \text{Spec } K \times_k \text{Spec } K$. This map induces two actions of K on \mathcal{O} , denoted by s and t ;
- $\Delta : \mathcal{O} \rightarrow \mathcal{O}_s \otimes_t \mathcal{O}$ – the coproduct map, induced from the product on G_k^K (the tensor product is taken over K with respect to different actions of K induced by the map s and t);
- $\epsilon : \mathcal{O} \rightarrow K$ – the counit map, induced from the unit element in G_k^K ;
- $\iota : \mathcal{O} \rightarrow \mathcal{O}$ – the antipode map, induced from the inverse element map. This map interchanges the actions s and t .

In particular, $(\mathcal{O}, m, s \otimes t)$ is a $K \otimes_k K$ -algebra. The k -linear maps $s, t : K \rightarrow \mathcal{O}$ induce to structures of K -vector space on \mathcal{O} , making it a K -bimodule. We shall assume that the left action of K is given by t and the right one by s . Then $(\mathcal{O}, \Delta, \epsilon)$ is a K -bimodule coalgebra, i.e. \mathcal{O} is considered as K -bimodule, the tensor product is the one for K -bimodules. We adopt Sweedler notation for the coproduct on \mathcal{O} :

$$\Delta(h) = \sum_{(h)} h_{(1)s} \otimes_t h_{(2)}.$$

We notice that the K -linearity of Δ reads

$$(2.12) \quad \Delta(t(\lambda)hs(\mu)) = \sum_{(h)} t(\lambda)h_{(1)s} \otimes_t h_{(2)}s(\mu) \quad h \in \mathcal{O}, \lambda, \mu \in K$$

And for ϵ we have $\epsilon(s(\lambda)ht(\mu)) = s(\lambda)\epsilon(h)t(\mu)$.

The category $\text{Rep}(G_k^K)$ of G_k^K representations over K is the same as the category of \mathcal{O} -comodules, i.e. of pairs (V, ρ_V) of a K -vector space V and a morphism

$$\rho_V : V \rightarrow V \otimes_t \mathcal{O}$$

satisfying

$$(2.13) \quad \begin{array}{ccc} V & \xrightarrow{\rho_V} & V \otimes_t \mathcal{O} \\ \rho_V \downarrow & & \downarrow \rho_V \otimes \text{id} \\ V \otimes_t \mathcal{O} & \xrightarrow{\text{id} \otimes \Delta} & V \otimes V \otimes_t \mathcal{O} \end{array} \quad \begin{array}{ccc} V & \xrightarrow{\rho_V} & V \otimes_t \mathcal{O} \\ \text{id} \searrow & & \downarrow \text{id} \otimes \epsilon \\ & & V \end{array}$$

We note that the K -linearity of ρ_V means:

$$\rho_V(\lambda v) = t(\lambda)\rho_V(v).$$

2.7. The induced representation functor. Consider extensions of fields $k \subset K_0 \subset K$ and a homomorphism $f : G_k^K \rightarrow A_k^{K_0}$ of groupoids as in 2.2. Let $q : L_{K_0}^K \rightarrow G_k^K$ be the kernel of f and $\text{res}^q : \text{Rep}(G_k^K) \rightarrow \text{Rep}(L_{K_0}^K)$ denote the restriction functor. By definition $\mathcal{O}(L_{K_0}^K) = \mathcal{O}(G_k^K) \otimes_{\mathcal{O}(A_k^{K_0})} K_0$, where $\mathcal{O}(A_k^{K_0})$ acts on $\mathcal{O}(G_k^K)$ through f^* , and $q^* : \mathcal{O}(G_k^K) \rightarrow \mathcal{O}(L_{K_0}^K)$ is the projection. Define a morphism

$$(2.14) \quad \phi : \mathcal{O}(G_k^K) \otimes_{\mathcal{O}(A_k^{K_0})} \mathcal{O}(G_k^K) \rightarrow \mathcal{O}(L_{K_0}^K)_s \otimes_t \mathcal{O}(G)$$

$$g \otimes h \mapsto \sum_{(g)} q^*(g_{(1)}) \otimes g_{(2)}h.$$

The following lemma generalizes [4, Lem. 6.5].

Lemma 2.8. *The morphism in (2.14) is an isomorphism and is $\mathcal{O}(G_k^K)$ -colinear (i.e. G_k^K equivariant) with respect to the right coaction of $\mathcal{O}(G_k^K)$ on the second tensor component as well as $\mathcal{O}(L_{K_0}^K)$ -colinear with respect to the left coaction of $\mathcal{O}(L_{K_0}^K)$ on the first tensor components.*

Proof. We give the inverse map. We first define a map $\bar{\psi} : \mathcal{O}(G_k^K)_s \otimes_t \mathcal{O}(G_k^K) \rightarrow \mathcal{O}(G_k^K) \otimes_{\mathcal{O}(A_k^{K_0})} \mathcal{O}(G_k^K)$, $\bar{\psi}(g \otimes h) = \sum_{(g)} g_{(1)} \otimes \iota(g_{(2)})h$, where ι is the antipode of $\mathcal{O}(G_k^K)$. Then we note that this map indeed factors through a map $\psi : \mathcal{O}(L_{K_0}^K)_s \otimes_t \mathcal{O}(G_k^K) \rightarrow \mathcal{O}(G_k^K) \otimes_{\mathcal{O}(A_k^{K_0})} \mathcal{O}(G_k^K)$ which is the inverse to ϕ . The second claim is obvious from the definition of ϕ . \square

The functor res^q possesses a right adjoint which is the induced representation functor, denoted by $\text{ind}_q : \text{Rep}(L_{K_0}^K) \rightarrow \text{Rep}(G_k^K)$. For an $\mathcal{O}(L_{K_0}^K)$ comodule U denote $U \square_{\mathcal{O}(L_{K_0}^K)} \mathcal{O}(G_k^K)$ the equalizer of the maps

$$(2.15) \quad \begin{array}{ccc} U \otimes_t \mathcal{O}(G_k^K) & & \\ \text{id} \otimes \Delta \downarrow & \searrow \rho_U \otimes \text{id} & \\ U \otimes_t \mathcal{O}(G_k^K)_s \otimes_t \mathcal{O}(G_k^K) & \xrightarrow{\text{id} \otimes q^* \otimes \text{id}} & V \otimes \mathcal{O}(L_{K_0}^K)_s \otimes_t \mathcal{O}(G_k^K). \end{array}$$

Proposition 2.9. *Let $(L = L_{K_0}^K, q)$ be the kernel of $f : G = G_k^K \rightarrow A = A_k^{K_0}$ as above. Then for $U \in \text{Rep}(L)$, we have*

- (i) $\text{ind}_q(U)$ is canonically isomorphic to $U \square_{\mathcal{O}(L)} \mathcal{O}(G)$;
- (ii) there is an isomorphism

$$(2.16) \quad (U \square_{\mathcal{O}(L)} \mathcal{O}(G)) \otimes_{\mathcal{O}(A)} \mathcal{O}(G) \cong U \otimes_t \mathcal{O}(G)$$

- (iii) the functor $\text{ind}_q : \text{Rep}(L) \rightarrow \text{Rep}(G)$ is exact (and hence faithfully exact) iff $\mathcal{O}(G)$ is flat (hence faithfully flat over $f^* \mathcal{O}(A)$).

Proof. We show that the functor $U \mapsto U \square_{\mathcal{O}(L)} \mathcal{O}(G)$ is right adjoint to the restriction functor res^q , which amounts to

$$\text{Hom}_G(V, U \square_{\mathcal{O}(L)} \mathcal{O}(G)) \cong \text{Hom}_L(\text{res}(V), U), \quad V \in \text{Rep}(G), U \in \text{Rep}(L)$$

The map is given by composing a morphism $U \rightarrow U \square_{\mathcal{O}(L)} \mathcal{O}(G)$ with the canonical map $\varepsilon_U : U \square_{\mathcal{O}(L)} \mathcal{O}(G) \rightarrow U$ given by $v \otimes g \mapsto v\varepsilon(g)$, where ε denotes the counit of $\mathcal{O}(G)$. The inverse map is given by $f \mapsto (f \otimes \text{id})\rho_U$. Thus (i) is proved.

To show the isomorphism in (2.16) we first tensor both sides of (2.14) with U from the left and then taking the equalizer as in (2.15). The last claim follows from (2.16) since the right hand side of (2.16) is a faithfully flat functor on U . \square

Lemma 2.10. [4, Lem. 6.2] *Let $q : L_K^K \rightarrow G_k^K$ be the kernel for a homomorphism $f : G_k^K \rightarrow A_k^K$ of transitive groupoids. Then the adjoint functor $\text{ind}_q : \text{Rep}(L) \rightarrow \text{Rep}(G)$ is exact. \square*

Proposition 2.11. *Let $q : L_{K_0}^K \rightarrow G_k^K$ be the kernel of the morphism $f : G_k^K \rightarrow A_k^{K_0}$ of transitive groupoids. Then:*

- (i) *the induced representation functor ind_q is faithfully exact;*
- (ii) *each finite dimensional representation of L can be embedded into a representation of G considered as representation of L .*

Proof. We have the following commutative diagram with exact lines:

$$(2.17) \quad \begin{array}{ccccc} & & L_K^K & & \\ & \swarrow & \hookrightarrow & \searrow \bar{q} & \\ L_{K_0}^K & \hookrightarrow & G_k^K & \xrightarrow{f} & A_k^{K_0} \\ & \xrightarrow{q} & & \searrow \bar{f} & \\ & & & & A_k^K \end{array}$$

where the right triangle was given in (2.11).

According to 2.10, the adjoint functor $\text{ind}_{\bar{q}}$ is faithfully exact, hence, according to 2.9, (iii), $\mathcal{O}(G_k^K)$ is faithfully flat over $\bar{f}^*\mathcal{O}(A_k^K)$. Since $\mathcal{O}(A_k^K)$ is faithfully flat over $\mathcal{O}(A_k^{K_0})$, we conclude that $\mathcal{O}(G_k^K)$ is faithfully flat over $f^*\mathcal{O}(A_k^{K_0})$, hence ind_q is faithfully exact. Thus (i) is proved.

Now (ii) follows from (i) by standard argument, see e.g. [4, Lem. 5.5-5.6].

□

2.12. In the situation of Proposition 2.11, we call a representation V of G_k^K a K_0/k -representation if V is equipped with a k -linear homomorphism $K_0 \rightarrow \text{End}_{G_k^K}(V)$. In other words, there exists a structure of K_0 -vector space on V , which is compatible with the k -structure and commutes with the actions of K and G_k^K . In the language of comodules, denote the new action of K_0 on V by $(\lambda, v) \mapsto t(\lambda)v$, then the above assumption amounts to saying that the comodule map $\rho_V : V \rightarrow V \otimes_t \mathcal{O}(G_k^K)$ satisfies $\rho_V(t(\lambda)v) = t(\lambda)\rho_V(v)$. Denote the category of K_0/k -representations by $\text{Rep}(G_k^K)_{K_0/k}$. We notice that the category $\text{Rep}(G_k^K)_{K_0/k}$ is a tensor category with respect to the tensor product over K_0 .

Consider the situation of (2.9): $G_{K_0}^K \xrightarrow{\Delta_{K_0}} G_k^K \rightarrow \text{Spec}(K_0) \times_k \text{Spec}(K_0)$. Then for any representation W over $G_{K_0}^K$, $\text{ind}_{\Delta_{K_0}}(W) = W \square_{\mathcal{O}(G_{K_0}^K)} \mathcal{O}(G_k^K)$ is a K_0/k -representation of G_k^K with the K_0 -action induced from the K_0 -action on W .

Lemma 2.13. *The functor $\text{ind}_{\Delta_{K_0}}$ induces an equivalence of tensor categories $\text{Rep}(G_{K_0}^K) \rightarrow \text{Rep}(G_k^K)_{K_0/k}$. In particular, the tensor product over K_0 in $\text{Rep}(G_k^K)_{K_0/k}$ is flat.*

Proof. To see that $\text{ind}_{\Delta_{K_0}}$ is a tensor functor one has to check that there is an isomorphism

$$(2.18) \quad (V \square_{\mathcal{O}(G_{K_0}^K)} \mathcal{O}(G_k^K)) \otimes_{K_0} (W \square_{\mathcal{O}(G_{K_0}^K)} \mathcal{O}(G_k^K)) \cong (V \otimes_{K_0} W) \square_{\mathcal{O}(G_{K_0}^K)} \mathcal{O}(G_k^K)$$

We define the map to be

$$\phi : (v \otimes h) \otimes (w \otimes g) \mapsto \sum_{(v)(w)} (v_{(0)} \otimes w_{(0)}) \otimes v_{(1)} w_{(1)} gh$$

To see that this defines an isomorphism, using the fact that $\mathcal{O}(G_k^K)$ is faithfully flat over $\mathcal{O}(A_k^{K_0})$, it suffices to show that (the cotensor product is over $\mathcal{O}(G_{K_0}^K)$)

$$\begin{aligned} \phi \otimes \text{id} : (V \square_{\mathcal{O}(G_{K_0}^K)} \mathcal{O}(G_k^K)) \otimes_{K_0} (W \square_{\mathcal{O}(G_{K_0}^K)} \mathcal{O}(G_k^K)) \otimes_{\mathcal{O}(A_k^{K_0})} \mathcal{O}(G_k^K) \rightarrow \\ (V \otimes_{K_0} W) \square_{\mathcal{O}(G_{K_0}^K)} \mathcal{O}(G_k^K) \otimes_{\mathcal{O}(A_k^{K_0})} \mathcal{O}(G_k^K) \end{aligned}$$

is an isomorphism. This last fact follows from the isomorphism in (2.16). \square

2.14. Consider again the situation of 2.11. For a representation W of $L_{K_0}^K$, the K_0 structure on W yields a K_0 -structure on $\text{ind}_q(W) = W \square_{\mathcal{O}(L_{K_0}^K)} \mathcal{O}(G_k^K)$ making it a K_0/k -representation of G_k^K . In particular $f^* \mathcal{O}(A_k^{K_0}) \cong \text{ind}_q(K)$ is an object of $\text{Rep}(G_k^K)_{K_0/k}$, moreover, it is an algebra in this tensor category. To simplify the situation, we shall assume that f^* is injective, i.e., f is a surjective homomorphism of groupoids, and identify $\mathcal{O}(A_k^{K_0})$ with its image in $\mathcal{O}(G_k^K)$. Denote $\text{Rep}(G_k^K)_{A_k^{K_0}}$ the category of $\mathcal{O}(A_k^{K_0})$ -modules in $\text{Rep}(G_k^K)_{K_0/k}$. Then this is a tensor category with respect to the tensor product over $\mathcal{O}(A_k^{K_0})$.

Proposition 2.15. *With the assumption of 2.11 and that f is surjective we have an equivalence of tensor categories $\text{Rep}(L_{K_0}^K) \rightarrow \text{Rep}(G_k^K)_{A_k^{K_0}}$ given by the functor ind_q .*

Proof. The proof is similar to that of Lemma 2.13. \square

3. QUOTIENT CATEGORIES

3.1. Tensor categories and Tannaka duality. We refer to [1, §1] for the definition of tensor categories. A tensor category \mathcal{C} over a field k is a k -linear abelian category equipped with a symmetric tensor product (i.e. a symmetric monoidal structure), such that the endomorphism ring of the unit object (always denoted by I) is isomorphic to k . \mathcal{C} is called rigid if each object is rigid, i.e. possesses a dual object and each object has finite length (of decomposition series).

A tensor functor between tensor categories is an additive functor that preserves the tensor product as well as the symmetry. A K -valued fiber functor of a rigid tensor category \mathcal{C} over k ($K \supset k$) is k -linear exact tensor functor from \mathcal{C} to Vect_K , the category of K -vector spaces, its image lies automatically in the subcategory vect_K of finite dimensional vector spaces. If such a fiber

functor exists, \mathcal{C} is called a Tannaka category. If, more over, $K = k$ then \mathcal{C} is called neutral Tannaka.

For example, $\mathbf{Rep}_f(G_k^K)$, where G_k^K is a transitive groupoid, is a Tannaka category with the fiber functor being the forgetful functor to \mathbf{vect}_K . The general Tannaka duality [2, Thm. 1.12] establishes a 1-1 correspondence between rigid tensor categories over k together with a fiber functor to \mathbf{vect}_K and groupoids acting transitively over $\mathrm{Spec} K$.

Assume that \mathcal{C} is rigid over k but not necessarily Tannaka. Let $\mathrm{Ind}\text{-}\mathcal{C}$ be the Ind-category of \mathcal{C} , whose object are directed systems of objects of \mathcal{C} , and whose hom-sets are defined as follows:

$$\mathrm{Hom}(X_{i,i \in I}, Y_{j,j \in J}) := \varprojlim_i \varinjlim_j \mathrm{Hom}_{\mathcal{T}}(X_i, Y_j)$$

One can also define $\mathrm{Ind}\text{-}\mathcal{C}$ as the category of left-exact functors from $\mathcal{T}^{\mathrm{op}}$ to \mathbf{vect}_k , an object X of \mathcal{C} can then be identified with the functor $\mathrm{Hom}_{\mathcal{C}}(-, X)$. The natural inclusion $\mathcal{T} \hookrightarrow \mathrm{Ind}\text{-}\mathcal{T}$ is exact and full. Further, \mathcal{T} is closed under taking sub- and quotient objects, and each object of $\mathrm{Ind}\text{-}\mathcal{T}$ is the limit of its subobjects which are isomorphic to objects from \mathcal{T} .

Definition 3.2. Let \mathcal{T} be a rigid tensor category over k . Let $K \supset k$ be a field extension. A (normal) K -quotient of \mathcal{T} is a pair $(\mathcal{Q}, \mathfrak{q} : \mathcal{T} \rightarrow \mathcal{Q})$ consisting of a K -linear rigid tensor category \mathcal{Q} and k -linear exact tensor functor \mathfrak{q} (the k -linear structure over \mathcal{Q} is induced from the inclusion $k \subset K$), such that:

- (i) for an object $X \in \mathcal{T}$ the largest trivial subobject of $\mathfrak{q}(X)$ is isomorphic to the image under \mathfrak{q} of a subobject of X ;
- (ii) each object of \mathcal{Q} is isomorphic to a subobject of the image of an object from \mathcal{T} as well as a quotient of the image of an object from \mathcal{T} .

Our notion of normal quotient category in case $K = k$ and \mathcal{T} is a Tannaka category is equivalent to Milne's notion of normal quotient [5]. For convenience we shall omit the term "normal" in the rest of the work.

Given a K -quotient $(\mathcal{Q}, \mathfrak{q})$ of \mathcal{T} , let \mathcal{S} denote the full subcategory of \mathcal{T} consisting of those objects of \mathcal{T} whose images in \mathcal{Q} are trivial (i.e. isomorphic to a direct sum of the unit object). It is easy to see that \mathcal{S} is a tensor subcategory and is closed under taking sub- and quotient objects. We shall call \mathcal{S} the *invariant subcategory* with respect to the quotient $(\mathcal{Q}, \mathfrak{q})$.

Lemma 3.3. [5, §2] *Let $(\mathcal{Q}, \mathfrak{q})$ be a K -quotient of \mathcal{T} and \mathcal{S} be the invariant subcategory of \mathcal{T} . Then \mathcal{S} is a Tannaka category with a fiber functor to \mathbf{vect}_K .*

Proof. The full subcategory of \mathcal{Q} of trivial subobjects is equivalent to \mathbf{vect}_K . The fiber functor is given by $\mathcal{S} \ni X \mapsto \mathfrak{q}(X) \mapsto \mathrm{Hom}_{\mathcal{Q}}(I, \mathfrak{q}(X))$ where I denotes the unit object in \mathcal{Q} . Since $\mathfrak{q}(X)$ is trivial in \mathcal{Q} , this functor is a fiber functor. Hence \mathcal{S} is a Tannaka category. \square

4. QUOTIENT CATEGORY BY A NEUTRAL TANNAKA SUBCATEGORY

Let \mathcal{T} be a rigid tensor category over a field k . Let $\mathfrak{q} : \mathcal{T} \rightarrow \mathcal{Q}$ be a k -quotient of \mathcal{T} and \mathcal{S} be the invariant category as in Definition 3.2 (with $K = k$). According to Lemma 3.3, \mathcal{S} is a neutral Tannaka category with fiber functor given by $\omega(S) \cong \text{Hom}_{\mathcal{Q}}(I, \mathfrak{q}S)$. Let us consider the category vect_k as a full subcategory of \mathcal{Q} by identifying a vector space V with $V \otimes I$ in \mathcal{Q} [1]. Then we can consider the above fiber functor as the restriction of \mathfrak{q} to \mathcal{S} . In other words, we have the following functorial isomorphism

$$(4.1) \quad \omega(S) \otimes_k I = \text{Hom}_{\mathcal{Q}}(I, \mathfrak{q}(S)) \otimes_k I \cong \mathfrak{q}(S), \quad S \in \mathcal{S}$$

by means of which we shall identify $\omega(S)$ with $\mathfrak{q}(S)$ for $S \in \mathcal{S}$.

4.1. The existence. Assume that \mathcal{T} is a Tannaka category. Thus, there exists a fiber functor $\bar{\omega} : \mathcal{T} \rightarrow \text{vect}_K$ extending the fiber functor ω and $\mathcal{T} \cong \text{Rep}_f(G_k^K)$, $\mathcal{S} \cong \text{Rep}_f(A_k^k)$ for some groupoid scheme G_k^K and group scheme A_k^k . According to Lemma 2.5, Proposition 2.11, $\mathcal{Q} := \text{Rep}_f(L_k^K)$ is a k -quotient of \mathcal{T} by \mathcal{S} .

4.2. The algebra \mathcal{O} . By means of the fiber functor ω , \mathcal{S} is equivalent to the category $\text{Rep}_f(G(\mathcal{S}))$ of finite dimensional k -representation of $G(\mathcal{S})$, where $G(\mathcal{S})$ is an affine k -group scheme, and $\text{Ind-}\mathcal{S}$ is equivalent to $\text{Rep}(G(\mathcal{S}))$. Let \mathcal{O} denote the function algebra of the group $G(\mathcal{S})$. It is a k -Hopf algebra. By means of the right regular action of $G(\mathcal{S})$ on \mathcal{O} , that is, consider \mathcal{O} as a right comodule on itself by means of the coproduct map, \mathcal{O} can be considered as an object in $\text{Ind-}\mathcal{S}$. Since the unit map $u : k \rightarrow \mathcal{O}$ and the multiplication map $m : \mathcal{O} \otimes_k \mathcal{O} \rightarrow \mathcal{O}$ of \mathcal{O} are compatible with the coproduct and the counit maps, they are also morphisms in $\text{Ind-}\mathcal{S}$, hence (\mathcal{O}, m, u) is an algebra in $\text{Ind-}\mathcal{S}$ (it is not a Hopf algebra since the coproduct and the counit are not morphisms in $\text{Ind-}\mathcal{S}$). For convenience we shall use the same notation for denoting \mathcal{O} as a k -vector space or as an object in \mathcal{S} as well as an object of \mathcal{Q} when we consider ω (the fiber functor of \mathcal{O}) as the restriction of \mathfrak{q} to \mathcal{S} .

4.3. The largest \mathcal{S} -subobject. For an object $X \in \mathcal{T}$, let $X_{\mathcal{S}}$ denote the largest subobject of X which is isomorphic to an object in \mathcal{S} . Since \mathcal{S} is closed under taking sub- and quotient objects, we have the equality

$$(4.2) \quad \text{Hom}_{\mathcal{T}}(S, X) = \text{Hom}_{\mathcal{S}}(S, X_{\mathcal{S}}), \quad S \in \mathcal{S}, X \in \mathcal{T}$$

Thus we have a functor $(-)_{\mathcal{S}} : \mathcal{T} \rightarrow \mathcal{S}$ whose definition on hom-sets is just the restriction of morphisms $\text{Hom}_{\mathcal{T}}(X, Y) \mapsto \text{Hom}_{\mathcal{T}}(X_{\mathcal{S}}, Y) = \text{Hom}_{\mathcal{T}}(X_{\mathcal{S}}, Y_{\mathcal{S}})$. Equation (4.2) also shows that this functor is right adjoint to the inclusion functor $\mathcal{S} \hookrightarrow \mathcal{T}$. The functor $(-)_{\mathcal{S}}$ is canonically extended to a functor $\text{Ind-}\mathcal{T} \rightarrow \text{Ind-}\mathcal{S}$ denoted by the same symbol which is also the right adjoint to the inclusion functor $\text{Ind-}\mathcal{S} \rightarrow \text{Ind-}\mathcal{T}$.

Let X^{\vee} denote the dual object to X . The for any $S \in \mathcal{S}$, we have

$$\text{Hom}_{\mathcal{S}}(X, S) \cong \text{Hom}_{\mathcal{S}}(S^{\vee}, X^{\vee}) \cong \text{Hom}_{\mathcal{S}}(S^{\vee}, (X^{\vee})_{\mathcal{S}}) \cong \text{Hom}_{\mathcal{S}}((X^{\vee})_{\mathcal{S}}^{\vee}, S)$$

Since $X_{\mathcal{S}} \rightarrow X$ is mono, $X^{\vee} \rightarrow (X^{\vee})_{\mathcal{S}^{\vee}}$ is epi. Thus the largest \mathcal{S} -quotient of X is isomorphic to $(X^{\vee})_{\mathcal{S}^{\vee}}$.

Lemma 4.4. *There is a functorial isomorphism*

$$(4.3) \quad \mathrm{Hom}_{\mathrm{Ind}\text{-}\mathcal{T}}(I, X \otimes \mathcal{O}) \xrightarrow{\cong} \omega(X_{\mathcal{S}}), \quad X \in \mathcal{T}.$$

where ω is the fiber functor of \mathcal{S} to vect_k .

Proof. If $X = S \in \mathcal{S}$ we have the following well-known isomorphism

$$(4.4) \quad S \otimes \mathcal{O} \cong \omega(S) \otimes_k \mathcal{O}$$

Indeed, by applying ω on both side it suffices to exhibit a $G(\mathcal{S})$ -linear isomorphism $\omega(S) \otimes_k \mathcal{O} \rightarrow \mathcal{O}^{\dim_k \omega(S)}$, where $G(\mathcal{S})$ as on the source by the diagonal action. Let $\delta : \omega(S) \rightarrow \omega(S) \otimes_k \mathcal{O}$ denote the coaction of \mathcal{O} on $\omega(S)$ induced from the action of $G(\mathcal{S})$, then the following map

$$\omega(S) \otimes_k \mathcal{O} \xrightarrow{\delta \otimes \mathrm{id}} \omega(S) \otimes_k \mathcal{O} \otimes_k \mathcal{O} \xrightarrow{\mathrm{id} \otimes m} \omega(S) \otimes_k \mathcal{O} \cong \mathcal{O}^{\dim_k \omega(S)}$$

is $G(\mathcal{S})$ -linear and bijective with the inverse given by

$$\begin{aligned} \mathcal{O}^{\dim_k \omega(S)} &\cong \omega(S) \otimes_k \mathcal{O} \xrightarrow{\delta \otimes \mathrm{id}} \omega(S) \otimes_k \mathcal{O} \otimes_k \mathcal{O} \\ &\xrightarrow{\mathrm{id} \otimes \iota \otimes \mathrm{id}} \omega(S) \otimes_k \mathcal{O} \otimes_k \mathcal{O} \xrightarrow{\mathrm{id} \otimes m} \omega(S) \otimes_k \mathcal{O} \end{aligned}$$

Since $\mathrm{Hom}_{G(\mathcal{S})}(k, \mathcal{O}) \cong k$, we obtain

$$\mathrm{Hom}_{\mathrm{Ind}\text{-}\mathcal{T}}(I, S \otimes \mathcal{O}) \cong \mathrm{Hom}_{G(\mathcal{S})}(k, \mathcal{O}^{\dim_k \omega(S)}) \cong \omega(S)$$

In the general case, let X^{\vee} be the dual object to X , then morphisms $I \rightarrow X \otimes \mathcal{O}$ are in 1-1 correspondence with morphisms $X^{\vee} \rightarrow \mathcal{O}$, which, are in 1-1 correspondence with morphisms from the largest \mathcal{S} -quotient of X^{\vee} to \mathcal{O} , since \mathcal{O} is an object of $\mathrm{Ind}\text{-}\mathcal{S}$. Notice that the largest \mathcal{S} -quotient of X^{\vee} is isomorphic to $(X_{\mathcal{S}})^{\vee}$, as for $X \in \mathcal{T}$ one has $(X^{\vee})^{\vee} \cong X$. Thus we have

$$\mathrm{Hom}_{\mathrm{Ind}\text{-}\mathcal{T}}(I, X \otimes \mathcal{O}) \cong \mathrm{Hom}_{\mathrm{Ind}\text{-}\mathcal{T}}(X^{\vee}, \mathcal{O}) \cong \mathrm{Hom}_{\mathrm{Ind}\text{-}\mathcal{T}}((X_{\mathcal{S}})^{\vee}, \mathcal{O}) \cong \omega(X_{\mathcal{S}})$$

Therefore (4.3) is proved. The functoriality of (4.3) is obvious since any morphism $f : X \rightarrow Y$ restricts to a morphism $f : X_{\mathcal{S}} \rightarrow Y_{\mathcal{S}}$. \square

Corollary 4.5. *There exists a functorial isomorphism*

$$(4.5) \quad \mathrm{Hom}_{\mathcal{Q}}(I, \mathfrak{q}(X^{\vee} \otimes Y)) \cong \mathrm{Hom}_{\mathrm{Ind}\text{-}\mathcal{T}}(X \otimes Y^{\vee}, \mathcal{O})$$

Proof. By definition of quotient category, the largest trivial subobject of an object $\mathfrak{q}(X) \in \mathcal{Q}$ has the form $\mathfrak{q}(X')$, where X' is a subobject of X (in \mathcal{T}). By definition of \mathcal{S} , X' is in \mathcal{S} and should be the largest \mathcal{S} -subobject of X , that is $X' = X_{\mathcal{S}}$. We have

$$\mathrm{Hom}_{\mathcal{Q}}(\mathfrak{q}(X), \mathfrak{q}(Y)) \cong \mathrm{Hom}_{\mathcal{Q}}(I, \mathfrak{q}(X \otimes Y)) \cong \mathrm{Hom}_{\mathcal{Q}}(I, \mathfrak{q}((X^{\vee} \otimes Y)_{\mathcal{S}}))$$

These isomorphisms together with (4.1) and Lemma 4.4 imply (4.5). \square

The isomorphism in (4.5) implies the following

$$(4.6) \quad \mathrm{Hom}_{\mathcal{Q}}(\mathfrak{q}(X), \mathfrak{q}(Y)) \cong \mathrm{Hom}_{\mathrm{Ind}\text{-}\mathcal{T}}(X, Y \otimes \mathcal{O})$$

Let us denote this map by

$$f \mapsto \bar{f}$$

By the functoriality of (4.3) we see that, for $X = Y$,

$$(4.7) \quad \bar{\text{id}}_X = \text{id}_X \otimes u : X \rightarrow X \otimes \mathcal{O}$$

where $u : I \rightarrow \mathcal{O}$ is the unit map of \mathcal{O} .

4.6. The adjoint functor to \mathfrak{q} . The quotient functor \mathfrak{q} extends to a functor from $\text{Ind-}\mathcal{T} \rightarrow \text{Ind-}\mathcal{Q}$, denoted by the same symbol

$$\mathfrak{q}(\varinjlim_i X_i) := \varinjlim_i \mathfrak{q}(X_i)$$

\mathfrak{q} possesses a right adjoint, denoted by \mathfrak{p} . Indeed, for an object U of \mathcal{Q} , $\mathfrak{p}(U)$ is determined by the condition

$$\text{Hom}_{\text{Ind-}\mathcal{T}}(X, \mathfrak{p}(U)) \cong \text{Hom}_{\mathcal{Q}}(\mathfrak{q}(X), U), \quad \forall X \in \mathcal{T}$$

and for an object $\varinjlim_i U_i \in \text{Ind-}\mathcal{Q}$,

$$\mathfrak{p}(\varinjlim_i U_i) := \varinjlim_i \mathfrak{p}(U_i)$$

Thus \mathfrak{p} satisfies the required functorial isomorphism:

$$(4.8) \quad \text{Hom}_{\text{Ind-}\mathcal{Q}}(\mathfrak{q}(X), U) \cong \text{Hom}_{\text{Ind-}\mathcal{T}}(X, \mathfrak{p}(U)), \quad \forall X \in \text{Ind-}\mathcal{T}, U \in \text{Ind-}\mathcal{Q}$$

For $X = \mathfrak{p}(U)$ in (4.8), the identity of $\mathfrak{p}(U)$ corresponds to a map $\varepsilon_U : \mathfrak{q}\mathfrak{p}(U) \rightarrow U$, and (4.8) given by composing a morphism on the right hand side with ε_U .

$$\begin{array}{ccc} & & \mathfrak{q} \mathfrak{p}(U) \\ & \nearrow \mathfrak{q}(\bar{f}) & \downarrow \varepsilon_U \\ \mathfrak{q}(X) & \xrightarrow{f} & U \end{array}$$

Lemma 4.7. For an object $X \in \text{Ind-}\mathcal{T}$ the object $\mathfrak{p}\mathfrak{q}(X)$ in $\text{Ind-}\mathcal{T}$ is canonically isomorphic to $X \otimes \mathcal{O}$ and the map

$$\mathfrak{q}(X) \otimes \mathcal{O} \cong \mathfrak{q}\mathfrak{p}\mathfrak{q}(X) \xrightarrow{\varepsilon_X} \mathfrak{q}(X)$$

is given by $\text{id}_X \otimes \varepsilon$, where ε is the counit of \mathcal{O} (considering ω as the restriction of \mathfrak{p} to \mathcal{S}). With respect to the isomorphism $\mathfrak{p}\mathfrak{q}(X) \cong X \otimes \mathcal{O}$, (4.8) for $U = \mathfrak{q}(Y)$ reduces to (4.6).

Proof. First assume $X \in \mathcal{T}$. According to Lemma 4.4 and (4.8) we have, for $X, Y \in \mathcal{T}$,

$$(4.9) \quad \text{Hom}_{\text{Ind-}\mathcal{T}}(X, \mathfrak{p}(Y)) \cong \text{Hom}_{\mathcal{Q}}(\mathfrak{q}X, \mathfrak{q}Y) \cong \text{Hom}_{\text{Ind-}\mathcal{T}}(X, Y \otimes \mathcal{O})$$

Since this isomorphism holds for any X, Y we conclude that $\mathfrak{p}(Y)$ is canonically isomorphic to $Y \otimes \mathcal{O}$. The map ε_Y induces a morphism $\mathfrak{q}(Y) \otimes \mathcal{O} \rightarrow \mathfrak{q}(Y)$ which will be denoted by the same symbol. Thus we have the following diagram

$$(4.10) \quad \begin{array}{ccc} & & \mathfrak{q}(Y) \otimes \mathcal{O} \\ & \nearrow \mathfrak{q}(\bar{f}) & \downarrow \varepsilon_Y \\ \mathfrak{q}(Y) & \xrightarrow{f} & \mathfrak{q}(Y) \end{array}$$

Set $X = Y$ in (4.9), then, according to (4.7), the identity on Y is mapped under the second isomorphism of (4.9) to the morphism $\text{id}_Y \otimes u : Y \rightarrow Y \otimes \mathcal{O}$. Since the inverse map to the second isomorphism in (4.9) is given by $f \mapsto (\text{id} \otimes \epsilon)f$, we conclude that $\varepsilon_Y(\text{id}_{\mathfrak{q}(Y)} \otimes u) = \text{id}_{\mathfrak{q}(Y)}$. Therefore, $\varepsilon_Y = \text{id}_{\mathfrak{q}(Y)} \otimes \epsilon$ (recall that we identify ϵ with $\mathfrak{q}(\epsilon)$).

For the general case we note that the tensor product in $\text{Ind-}\mathcal{T}$ commutes with direct limits, hence

$$\mathfrak{p}\mathfrak{q}(\varinjlim_i X_i) \cong \varinjlim_i (X_i \otimes \mathcal{O}) \cong (\varinjlim_i X_i) \otimes \mathcal{O}.$$

□

Corollary 4.8. *In the isomorphism (4.6) the composition*

$$\mathfrak{q}(X) \xrightarrow{f} \mathfrak{q}(Y) \xrightarrow{g} \mathfrak{q}(Z)$$

corresponds to the morphism

$$X \xrightarrow{f} Y \otimes \mathcal{O} \xrightarrow{g \otimes \text{id}} Z \otimes \mathcal{O} \otimes \mathcal{O} \xrightarrow{\text{id} \otimes m} Z \otimes \mathcal{O}$$

Proof. Since \mathfrak{q} is a faithful functor, it suffices to check that the outer diagram below commutes.

$$\begin{array}{ccccc} \mathfrak{q}(X) & \xrightarrow{\mathfrak{q}(\bar{f})} & \mathfrak{q}(Y) \otimes \mathcal{O} & \xrightarrow{\mathfrak{q}(\bar{g}) \otimes \text{id}} & \mathfrak{q}(Z) \otimes \mathcal{O} \otimes \mathcal{O} & \xrightarrow{m} & \mathfrak{q}(Z) \otimes \mathcal{O} \\ & \searrow f & \downarrow \text{id} \otimes \epsilon & \text{id} \otimes \epsilon \otimes \epsilon \downarrow & & \swarrow \text{id} \otimes \epsilon & \\ & & \mathfrak{q}(Y) & \xrightarrow{g} & \mathfrak{q}(Z) & & \end{array}$$

The commutativity of the first triangle and the middle square follow from (4.10) and of the right triangle is by the multiplicativity of the counit map ϵ .

□

Using the same method we can prove the following fact.

Corollary 4.9. *Let $f_i : \mathfrak{q}(X_i) \rightarrow \mathfrak{q}(Y_i)$, $i = 0, 1$ be morphisms in \mathcal{Q} . Then the morphism $\overline{f_0 \otimes f_1}$ is given by*

$$\begin{array}{ccc} X_0 \otimes X_1 & \xrightarrow{\overline{f_0 \otimes f_1}} & Y_0 \otimes Y_1 \otimes \mathcal{O} \\ \overline{f_0 \otimes f_1} \downarrow & & \uparrow m \\ Y_0 \otimes \mathcal{O} \otimes Y_1 \otimes \mathcal{O} & \xrightarrow{\tau_{(23)}} & Y_0 \otimes Y_1 \otimes \mathcal{O} \otimes \mathcal{O} \end{array}$$

where the map $\tau_{(23)}$ interchanges the second and the third tensor terms.

Proposition 4.10. *Let $f : \mathfrak{q}(X) \rightarrow \mathfrak{q}(Y)$ be a morphism in \mathcal{Q} . Then*

$$(4.11) \quad \mathfrak{p}(f) = X \otimes \mathcal{O} \xrightarrow{\bar{f} \otimes \text{id}} Y \otimes \mathcal{O} \otimes \mathcal{O} \xrightarrow{\text{id} \otimes m} Y \otimes \mathcal{O}$$

Proof. The morphism $\mathfrak{p}(f)$ fits in to the following commutative square

$$\begin{array}{ccc} \text{Hom}_{\mathcal{Q}}(\mathfrak{q}(Z), \mathfrak{q}(X)) & \xrightarrow{\cong} & \text{Hom}_{\text{Ind-}\mathcal{T}}(Z, \mathfrak{p}\mathfrak{q}(X)) \\ f \circ - \downarrow & & \downarrow \mathfrak{p}(f) \circ - \\ \text{Hom}_{\mathcal{Q}}(\mathfrak{q}(Z), \mathfrak{q}(Y)) & \xrightarrow{\cong} & \text{Hom}_{\text{Ind-}\mathcal{T}}(Z, \mathfrak{p}\mathfrak{q}(Y)) \end{array}$$

and is indeed determined by this square (for all $Z \in \mathcal{T}$). Thus, in terms of (4.8) $\mathfrak{p}(f)$ is uniquely determined by the following commuting triangle

$$\begin{array}{ccc} Z & \xrightarrow{\bar{g}} & \mathfrak{p}\mathfrak{q}(X) \\ & \searrow \bar{f}g & \downarrow \mathfrak{p}(f) \\ & & \mathfrak{p}\mathfrak{q}(Y) \end{array} \quad \text{for all } Z \in \mathcal{T}, g : \mathfrak{q}(Z) \rightarrow \mathfrak{q}(X)$$

According to Corollary 4.8, the morphism on the right hand side of (4.11) satisfies this property, hence is equal to $\mathfrak{p}(f)$. \square

Recall that \mathcal{O} is a commutative algebra in $\text{Ind-}\mathcal{T}$. We can thus consider the category $\text{Mod}_{\mathcal{O}}$ of \mathcal{O} -modules in $\text{Ind-}\mathcal{T}$, which is an abelian category equipped with a tensor product over \mathcal{O} .

Definition 4.11. Let $(\mathcal{S}, \omega : \mathcal{S} \rightarrow \text{vect}_k)$ be a neutral Tannaka subcategory of a rigid tensor category \mathcal{T} which is closed under taking sub- and quotient objects. Denote by \mathcal{O} the function algebra over its Tannaka group, viewed as an object in $\text{Ind-}\mathcal{S} \subset \text{Ind-}\mathcal{T}$. The category \mathcal{T} is said to be *flat* over \mathcal{S} if for any \mathcal{O} -linear morphism $f : X \otimes \mathcal{O} \rightarrow Y \otimes \mathcal{O}$ in $\text{Ind-}\mathcal{T}$, $X, Y \in \mathcal{T}$, the kernel of f is flat with respect to tensor product in $\text{Mod}_{\mathcal{O}}$.

Theorem 4.12. *Let $(\mathcal{Q}, \mathfrak{q})$ be a k -quotient category of a tensor category \mathcal{T} over k and denote by \mathcal{S} the corresponding invariant category. Let \mathcal{O} denote the function algebra over the Tannaka group of \mathcal{S} . Let \mathfrak{p} be the right adjoint to the quotient functor \mathfrak{q} . Then:*

- (1) *For any object $U \in \mathcal{Q}$, there exists a morphism $\mu_U : \mathfrak{p}(U) \otimes \mathcal{O} \rightarrow \mathfrak{p}(U)$ making $\mathfrak{p}(U)$ an \mathcal{O} -module.*
- (2) *Assume that \mathcal{T} is flat over \mathcal{S} . Then \mathfrak{p} is a tensor functor from \mathcal{Q} to the category of \mathcal{O} -modules with the tensor product being the tensor product over \mathcal{O} . Consequently, \mathfrak{p} is exact.*

Proof. Using (4.8), we define μ_U as the unique morphisms in $\text{Ind-}\mathcal{T}$ making the following diagram commutative

$$\begin{array}{ccc} & & \mathfrak{qp}(U) \\ & \nearrow^{q(\mu_U)} & \downarrow \varepsilon_U \\ \mathfrak{qp}(U) \otimes \mathcal{O} & \xrightarrow{q(\varepsilon_U \otimes \epsilon)} & U \end{array}$$

This definition is functorial hence the action of μ commutes with morphisms in \mathcal{Q} . The associativity of this action can also be checked by this method. Thus \mathfrak{p} factors through a functor to $\text{Mod-}\mathcal{O}$, denoted by the same notation.

For objects in \mathcal{Q} of the form $\mathfrak{q}(X)$, $\mathfrak{pq}(X) \cong X \otimes \mathcal{O}$, we see that the multiplication map on \mathcal{O} makes the following diagram commutative

$$\begin{array}{ccc} & & \mathfrak{q}(X) \otimes \mathcal{O} \\ & \nearrow^{\text{id} \otimes \mu_X} & \downarrow \varepsilon_{\mathfrak{q}(X)} \\ \mathfrak{q}(X) \otimes \mathcal{O} \otimes \mathcal{O} & \xrightarrow{\varepsilon_X \otimes \epsilon} & \mathfrak{q}(X) \end{array}$$

Thus, the action of \mathcal{O} on $\mathfrak{p}(X) \cong X \otimes \mathcal{O}$ is induced from the action of \mathcal{O} on itself.

Assume now that \mathcal{T} is flat over \mathcal{S} . We want to show that \mathfrak{p} is a monoidal functor from \mathcal{Q} to \mathcal{O} -modules. This is so for objects of the form $\mathfrak{q}(X)$, according to Corollary 4.9. For an arbitrary object U of \mathcal{Q} , there exists objects X, Y in \mathcal{T} and a morphism $f : \mathfrak{q}(X) \rightarrow \mathfrak{q}(Y)$ such that $U = \ker f$. Since the functor \mathfrak{p} is left exact (being a right adjoint functor), $\mathfrak{p}(U)$ is isomorphic to the kernel of $\mathfrak{p}(f)$. Now, for $f_i : \mathfrak{q}(X_i) \rightarrow \mathfrak{q}(Y_i)$ we have $\mathfrak{p}(f_1 \otimes f_2) = \mathfrak{p}(f_1) \otimes_{\mathcal{O}} \mathfrak{p}(f_2)$. Since for $U_i := \ker f_i$ are flat over \mathcal{O} by assumption, we have an \mathcal{O} -linear isomorphism

$$\mathfrak{p}(U_1) \otimes_{\mathcal{O}} \mathfrak{p}(U_2) \cong \mathfrak{p}(U_1 \otimes U_2)$$

Thus \mathfrak{p} is a tensor functor to \mathcal{O} -modules. Since \mathcal{Q} is rigid with the endomorphism ring of the unit object isomorphic to k , we conclude that \mathfrak{p} is an exact functor, cf. [2, 2.10]. \square

4.13. A description of the quotient. We define a category \mathcal{P} , whose objects are triples

$$(X, Y, f : X \rightarrow Y \otimes \mathcal{O})$$

where X, Y are objects of \mathcal{T} and f is a morphism in $\text{Ind-}\mathcal{T}$. The morphisms $f : X \rightarrow Y \otimes \mathcal{O}$ defines an \mathcal{O} -linear morphism \hat{f}

$$(4.12) \quad \hat{f} : X \otimes \mathcal{O} \xrightarrow{f \otimes \text{id}} Y \otimes \mathcal{O} \otimes \mathcal{O} \xrightarrow{\text{id} \otimes m} Y \otimes \mathcal{O}$$

The image of \hat{f} is thus an \mathcal{O} -module.

We define morphisms in \mathcal{P} between (X_i, Y_i, f_i) , $i = 0, 1, 2$ as \mathcal{O} -linear morphisms $\phi : \text{im} \hat{f}_0 \rightarrow \text{im} \hat{f}_1$. Thus we obtain a category \mathcal{P} which is k -linear and

additive. Further, the direct sum of objects in \mathcal{P} exists:

$$(4.13) \quad (X_0, Y_0, f_0) \oplus (X_1, Y_1, f_1) := (X_0 \oplus X_1, Y_0 \oplus Y_1, f_0 \oplus f_1).$$

The tensor structure on \mathcal{P} is defined as follows.

$$(4.14) \quad (X_0, Y_0, f_0) \otimes (X_1, Y_1, f_1) := (X_0 \otimes X_1, Y_0 \otimes Y_1, (\text{id} \otimes m)(f_0 \otimes f_1))$$

The unit object is $I = (I, I, u : I \rightarrow \mathcal{O})$. Finally, we define a functor $\mathfrak{q}' : \mathcal{T} \rightarrow \mathcal{P}$ sending X to $\mathfrak{q}'(X) = (X, X, \text{id} \otimes u : X \rightarrow X \otimes \mathcal{O})$. It is easy to see that \mathfrak{q}' is a k -linear, additive tensor functor.

Proposition 4.14. *Let $\mathcal{T} \xrightarrow{\mathfrak{q}'} \mathcal{Q}$ be k -quotient and \mathcal{S} be the corresponding invariant subcategory. Assume that \mathcal{T} is flat over \mathcal{S} . Then the category \mathcal{P} constructed above is equivalent to \mathcal{Q} .*

Proof. We construct a functor $F : \mathcal{P} \rightarrow \mathcal{Q}$ such that $F\mathfrak{q}' = \mathfrak{q}$. For any $X, Y \in \mathcal{T}$, according to 4.9, morphisms $f : X \rightarrow Y \otimes \mathcal{O}$ in \mathcal{T} are in 1-1 correspondence with morphisms $f_{\mathfrak{q}} : \mathfrak{q}(X) \rightarrow \mathfrak{q}(Y)$ in \mathcal{Q} . This allows us to define the image of an object $U = (X, Y, f : X \rightarrow Y \otimes \mathcal{O}) \in \mathcal{P}$ as the image of $f_{\mathfrak{q}}$ in \mathcal{Q} .

According to Theorem 4.12, \mathfrak{p} is exact. Hence for any morphism $f : \mathfrak{q}(X) \rightarrow \mathfrak{q}(Y)$ in \mathcal{Q} with $U = \text{im} f$ one has

$$\mathfrak{p}(U) \cong \text{imp}(f)$$

Consequently, there is a 1-1 correspondence between morphisms $U \rightarrow V$ in \mathcal{Q} and morphisms between $\mathfrak{p}(U) \rightarrow \mathfrak{p}(V)$ in \mathcal{T} which are \mathcal{O} -linear. This allows us to define F on morphisms and to check that F is a fully faithful functor. Further it is easy to see that the image of F is essential in \mathcal{Q} . Thus F is an equivalence. \square

As a consequence of the above theorem and Proposition 2.15 we have the following.

Corollary 4.15. *Let $f : G_k^K \rightarrow A_k^k$ be a homomorphism of transitive groupoids and L_k^K be the kernel of f . Then $\text{Rep}_f(L_k^K)$ is equivalent to the category whose objects are triples $(U, V, f : U \rightarrow V \otimes \mathcal{O}(A))$, where $U, V \in \text{Rep}_f(G)$, f is G -equivariant (G acts diagonally on $V \otimes \mathcal{O}(A)$).*

Remark 4.16. *There is an alternative description of objects of \mathcal{Q} , proposed by Deligne. Notice that morphisms $f : X \rightarrow Y \otimes \mathcal{O}$ are in 1-1 correspondence with morphisms $f^\sharp : I \rightarrow X^\vee \otimes Y \otimes \mathcal{O}$. As noticed in 4.4, such a morphism f^\sharp corresponds to an element of $\omega((X^\vee \otimes Y)_{\mathcal{S}})$. Thus objects of \mathcal{Q} can be characterized as triples $(X, Y, f \in \omega((X^\vee \otimes Y)_{\mathcal{S}}))$.*

5. QUOTIENT CATEGORY BY A NOT NECESSARY NEUTRAL TANNAKA SUBCATEGORY

5.1. Base change for tensor category. Let \mathcal{T} be a tensor category over k . Assume that for a field extension $k \subset K$, a K -quotient $\mathcal{T}_{(K)}$ of \mathcal{T} exists such that the invariant subcategory is the trivial subcategory of \mathcal{T} , i.e. equivalent

to \mathbf{vect}_k . $\mathcal{T}_{(K)}$ is called the tensor category over K obtained from \mathcal{T} by base change. Denote the quotient functor by $-_{(K)} : X \mapsto X_{(K)}$. Thus, the largest trivial subobject $X_{(K)}^{\text{triv}}$ of $X_{(K)}$ in $\mathcal{T}_{(K)}$ is isomorphic to the image of the largest trivial object X^{triv} of X in \mathcal{T} . Hence

$$\begin{aligned} \text{Hom}_{\mathcal{T}_{(K)}}(I, X_{(K)}) &= \text{Hom}_{\mathcal{T}_{(K)}}(I, X_{(K)}^{\text{triv}}) \\ &\cong \text{Hom}_{\mathcal{T}}(I, X^{\text{triv}}) \otimes_k K \\ &\cong \text{Hom}_{\text{Ind-}\mathcal{T}}(I, X^{\text{triv}}) \otimes_k K \\ &= \text{Hom}_{\text{Ind-}\mathcal{T}}(I, X) \otimes_k K \end{aligned}$$

On the other hand, we have an isomorphism

$$(5.1) \quad \text{Hom}_{\mathcal{T}}(X, Y) \otimes_k K \cong \text{Hom}_{\text{Ind-}\mathcal{T}}(X, Y \otimes_k K)$$

given explicitly as follows. Fix a basis $\{e_i, i \in I\}$ of K over k . An element $f \in \text{Hom}_{\mathcal{T}}(X, Y) \otimes_k K$, represented as $f = \sum_{i \in I_0} f_i \otimes e_i$, for a certain finite subset $I_0 \subset I$, is mapped to the morphism

$$X \xrightarrow{\Delta} \bigoplus_{i \in I_0} X_i \xrightarrow{\oplus_i f_i} \bigoplus_{i \in I_0} Y_i \hookrightarrow Y \otimes_k K$$

where X_i (resp. Y_i) are copies of X (resp. Y) and the last inclusion is given by the chosen basis of K .

Extend $-_{(K)}$ to a functor $\text{Ind-}\mathcal{T} \rightarrow \text{Ind-}\mathcal{T}_{(K)}$ and let $-^K : \text{Ind-}\mathcal{T}_{(K)} \rightarrow \text{Ind-}\mathcal{T}$ denote the adjoint functor to $-_{(K)}$.

Lemma 5.2. *For $X \in \mathcal{T}$ holds: $(X_{(K)})^K \cong X \otimes_k K$ and the map ε_X is given by $\varepsilon_X : X_{(K)} \otimes_k K \rightarrow X_{(K)} \otimes_K K \cong X_{(K)}$.*

Other claims similar to those of Corollaries 4.8, 4.9 and of Prop. 4.10 also hold.

By identifying K with $K \otimes_k I$, we can consider K as an algebra in $\text{Ind-}\mathcal{T}$ and consider the category $\text{Ind-}\mathcal{T}_K$ of K -modules. Then the functor $-^K$ factors through a functor to $\text{Ind-}\mathcal{T}_K$, denoted by the same symbol.

Definition 5.3. We say that \mathcal{T} is flat over K if for any $X, Y \in \mathcal{T}$ and K -linear morphism $f : X \otimes_k K \rightarrow Y \otimes_k K$ in $\text{Ind-}\mathcal{T}_K$, the kernel of f is flat with respect to the tensor product over K .

Consequently we have the following theorem, which is analogous to Theorem 4.12.

Theorem 5.4. *Assume that \mathcal{T} is flat over a field $K \supset k$ and that the base change $\mathcal{T}_{(K)}$ exists. Then, for an object $U \in \mathcal{T}_{(K)}$, there exists a map $\mathfrak{p}(U) \otimes_k K \rightarrow \mathfrak{p}(U)$ making $\mathfrak{p}(U)$ a K -module. Further \mathfrak{p} is a K -linear tensor functor from $\mathcal{T}_{(K)}$ to the category $\text{Ind-}\mathcal{T}_K$ of K -modules in \mathcal{T} . Consequently $-^K$ is exact.*

Corollary 5.5. *Assume that \mathcal{T} is flat over K and that $\mathcal{T}_{(K)}$ exists. Then $\mathcal{T}_{(K)}$ is equivalent to the category of triples $(X, Y, f : X \rightarrow Y \otimes_k K)$, $X, Y \in \mathcal{T}$,*

$f \in \text{Mor Ind-}\mathcal{T}$, and morphism defined as in section 4. Consequently, any k -linear tensor functor ω from \mathcal{T} to a K -linear tensor category \mathcal{C} factors through a K -linear tensor ω_K from $\mathcal{T}_{(K)}$ to \mathcal{C} .

Proof. The first claim is proved analogously as in the proof of Proposition 4.14. The second claim is a consequence of the first one. In deed, the functor ω induces a K -linear map

$$\omega \otimes K : \text{Hom}_{\mathcal{T}}(X, Y) \otimes_k K \rightarrow \text{Hom}_{\mathcal{C}}(\omega(X), \omega(Y))$$

Thus, considering f as an element of $\text{Hom}_{\mathcal{T}}(X, Y) \otimes_k K$ by means of (5.1), we can define ω_K on an object $(X, Y, f : X \rightarrow Y \otimes K)$ as the image of $(\omega \otimes K)f : \omega(X) \rightarrow \omega(Y)$. \square

Corollary 5.6. *Let \mathcal{T} be a Tannaka category over k with fiber functor to vect_K , $K \supset k$ and G_k^K the corresponding Tannaka groupoid. Let $k \subset K_0 \subset K$ be an intermediate field. Then the K_0 -base change of \mathcal{T} exists: $\mathcal{T}_{K_0} \cong \text{Rep}_f(G_{K_0}^K)$.*

Proof. This follows from Proposition 2.15 for $A = \text{Spec } K_0 \times_k \text{Spec } K_0$ and Theorem 5.4. \square

The category \mathcal{T}_K for K a finite extension of k was studied by Deligne-Milne [1, Sect. 3].

5.7. The description of the quotient category. Assume now that $(\mathcal{Q}, \mathfrak{q})$ is a K -quotient of \mathcal{T} with \mathcal{S} being the invariant category and assume that the base change $\mathcal{T}_{(K)}$ of \mathcal{T} exists and that \mathcal{T} is flat over K . By Corollary 5.5, \mathfrak{q} factors through a K -linear tensor functor $\mathfrak{q}_K : \mathcal{T}_{(K)} \rightarrow \mathcal{Q}$, which can easily shown to be a quotient functor of tensor categories over K and the invariant category of \mathfrak{q}_K is equivalent to \mathcal{S}_K .

Let \mathcal{O} denote the function algebra of the Tannaka groupoid A_k^K of \mathcal{S} . Consider \mathcal{O} as an object of $\text{Ind-}\mathcal{S}$ by means of the right regular coaction $\Delta : \mathcal{O} \rightarrow \mathcal{O}_s \otimes_t \mathcal{O}$. Then \mathcal{O} is a K -object in the sense of 5.1 where K acts through the map t . Further \mathcal{O} is a K -algebra, i.e. an algebra in $\text{Ind-}\mathcal{T}_K$, which in this case means that \mathcal{O} is an algebra over $K \otimes K$ through the map $s \otimes t$ in the usual sense. We define by $\text{Mod}_{\mathcal{O}, K}$ the category of \mathcal{O} -modules in $\text{Ind-}\mathcal{T}_K$, whose objects are thus K -object equipped with an action of \mathcal{O} . This is a tensor category with respect to the tensor product over \mathcal{O} .

Definition 5.8. With the assumption of 5.7, \mathcal{T} is said to be flat over \mathcal{S} if for any \mathcal{O} -linear morphism $f : X \otimes \mathcal{O} \rightarrow Y \otimes \mathcal{O}$, $X, Y \in \mathcal{T}$, the kernel of f is flat with respect to the product over \mathcal{O} .

Theorem 5.9. *Let \mathcal{T} be a rigid tensor category over k . Let $(\mathcal{S}, \omega : \mathcal{S} \rightarrow \text{vect}_K)$ be a Tannaka subcategory, which is closed under taking sub- and quotient objects. Assume that*

- (i) \mathcal{T} is flat over K and the base change $\mathcal{T}_{(K)}$ exists;
- (ii) \mathcal{T} is flat over \mathcal{S} and a K -quotient $(\mathcal{Q}, \mathfrak{q})$ of \mathcal{T} by \mathcal{S} exists.

Then the right adjoint functor \mathfrak{p} to \mathfrak{q} induces an exact tensor functor to the category $\mathbf{Mod}_{\mathcal{O},K}$.

Proof. Denote by \mathcal{O}_K the function algebra of A_K^K - the diagonal subgroup of A_k^K , where A_k^K is the Tannaka groupoid of \mathcal{S} . Let \mathfrak{p}_K denote the right adjoint functor to $\mathfrak{q}_K : \text{Ind-}\mathcal{T}_{(K)} \rightarrow \text{Ind-}\mathcal{Q}$. Then according to 4.12, \mathfrak{q}_K is an exact tensor functor to the category of \mathcal{O}_K -modules in $\text{Ind-}\mathcal{T}_{(K)}$.

On the other hand, since $\mathcal{S} \cong \text{Rep}_f(A_k^K)$, $\mathcal{S}_{(K)} \cong \text{Rep}(A_K^K)$, the functor $-^K$ in fact the functor ind_{Δ_K} in the situation of 2.12. Thus

$$(\mathcal{O}_K)^K \cong \mathcal{O}_K \square_{\mathcal{O}_K} \mathcal{O} \cong \mathcal{O}$$

This isomorphism gives us a morphism

$$(U \otimes_{\mathcal{O}_K} V)^K \rightarrow U^K \otimes_{\mathcal{O}} V^K$$

We don't know if this morphism is an isomorphism for an arbitrary pair $U, V \in \mathcal{T}_{(K)}$ but we know it is for U, V in the image of \mathfrak{q}_K , thanks to the assumption that \mathcal{T} is flat over \mathcal{S} . In deed, for $X \in \mathcal{T}$, we have $\mathfrak{p}\mathfrak{q}(X) \cong X \otimes \mathcal{O}$, using the method as in the proof of 4.12 we deduce the required isomorphism. \square .

Corollary 5.10. *Let $f : G_k^K \rightarrow A_k^{K_0}$ be a homomorphism of transitive groupoids and $L_{K_0}^K$ be the kernel of f . Then $\text{Rep}_f(L_{K_0}^K)$ is equivalent to the category whose objects are triples $(U, V, f : U \rightarrow V \otimes \mathcal{O}(A))$, where $U, V \in \text{Rep}_f(G)$, f is G -equivariant (G acts diagonally on $V \otimes \mathcal{O}(A)$).*

Since morphisms $f : X \rightarrow Y \otimes \mathcal{O}$ are in 1-1 correspondence with morphism $f^\# : I \rightarrow X^\vee \otimes Y \otimes \mathcal{O}$. As noticed in 4.4, such a morphism $f^\#$ corresponds to an element of $\omega((X^\vee \otimes Y)_{\mathcal{S}})$. Thus objects of \mathcal{Q} can be characterized as triples $(X, Y, f \in \omega((X^\vee \otimes Y)_{\mathcal{S}}))$.

Another consequence of the above theorem which may be useful in checking whether a sequence of groupoids $L_{K_0}^K \rightarrow G_k^K \rightarrow A_k^{K_0}$ is exact is the following

Corollary 5.11. *Assume we are given a sequence of homomorphisms of groupoids $L_{K_0}^K \xrightarrow{q} G_k^K \xrightarrow{f} A_k^{K_0}$ with $f\mathfrak{q}$ trivial. Then the sequence is exact in the sense that q is the kernel of f iff the representation categories of these groups satisfy the condition (i) and (ii) of Definition 3.2*

Remark 5.12. *The following questions are open to us:*

- (1) *Is \mathcal{T} always flat over \mathcal{S} , K ?*
- (2) *Assume that \mathcal{T} is flat over \mathcal{S} (and K), does a quotient exist, in particular, does the base change to K exist?*
- (3) *Assume that \mathcal{T} is flat over \mathcal{S} (and K), does the functor \mathfrak{p} induce an equivalence of tensor categories between $\text{Ind-}\mathcal{Q}$ and $\mathbf{Mod}_{\mathcal{O},K}$?*

Finally we mention a related question raised by Deligne, namely whether the the fundamental group of \mathcal{T} is flat over \mathcal{S} , see [2] for definition of the fundamental group of a rigid tensor category.

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