

# Shadow and Shade of Designs $4 - (2^f + 1, 6, 10)$

Jürgen Bierbrauer  
Mathematisches Institut der Universität  
Im Neuenheimer Feld 288  
69 Heidelberg

Tran van Trung  
Institut für Experimentelle Mathematik  
Universität Essen  
Ellernstrasse 29  
4300 Essen 12, Germany

## 1 Introduction

Let  $q = 2^f$ ,  $f$  odd. Define blocks to be the 6-subsets of  $PG(1, q)$  having stabilizer  $S_3$  in  $PGL(2, q)$ . It has been shown in [3] that this yields a block design  $\mathcal{B}$  with parameters  $4 - (q + 1, 6, 10)$ . We shall prove the following:

**Theorem 1.1** *If  $(f, 6) = 1$ , then block intersection number 5 does not occur in the block design  $\mathcal{B}$ .*

As is usual in combinatorics of finite sets (see [2]), we consider the *shadow*  $\Delta\mathcal{B}$  and the *shade*  $\nabla\mathcal{B}$  of  $\mathcal{B}$ . Here  $\Delta\mathcal{B}$  is the family of those 5-subsets of  $PG(1, q)$  which are contained in some member of  $\mathcal{B}$ ,  $\nabla\mathcal{B}$  consists of the 7-subsets of  $PG(1, q)$  which contain some member of  $\mathcal{B}$ .

**Corollary 1.2** *If  $(f, 6) = 1$ , then  $\Delta\mathcal{B}$  is a block design with parameters  $4 - (q + 1, 5, 20)$ ,  $\nabla\mathcal{B}$  is a block design with parameters  $4 - (q + 1, 7, 70(q - 5)/3)$ . The full automorphism group of  $\Delta\mathcal{B}$  and of  $\nabla\mathcal{B}$  is  $P\Gamma L(2, q)$ .*

*Proof of the Corollary.* The parameters of  $\Delta\mathcal{B}$  follows directly from Theorem 1.1 and the parameters of  $\mathcal{B}$ . As for  $\nabla\mathcal{B}$ , each block of  $\nabla\mathcal{B}$  contains exactly one block of  $\mathcal{B}$ . We get  $\lambda_4(\nabla\mathcal{B}) = 10(q - 5) + 4(\lambda_3(\mathcal{B}) - 10)$ . As  $\lambda_3(\mathcal{B}) = 10(q - 2)/3$ , we can calculate  $\lambda_4(\nabla\mathcal{B})$ . By construction all of our designs admit  $P\Gamma L(2, q)$  as an automorphism group. A short glance at the list of 3-transitive permutation groups shows that the full automorphism group of our designs cannot be larger.  $\square$

**Theorem 1.3** *If  $(f, 6) = 1$ , then  $\Delta\mathcal{B}$  is disjoint from Alltop's design with parameters  $4 - (q + 1, 5, 5)$  as constructed in [1]. Thus the union of these designs has parameters  $4 - (q + 1, 5, 25)$  and full automorphism group  $P\Gamma L(2, q)$ .*

## 2 The proofs

*Proof of Theorem 1.1.* It has been proved in [3] that blocks of  $\mathcal{B}$  are exactly the unions of two orbits of elements of order 3 in  $\text{PGL}(2, q)$ .

Let  $S \subset \text{PG}(1, q)$ ,  $|S| = 4$ . Then  $S$  is in 10 blocks. Four of these arise from elements of order 3 having one orbit completely in  $S$ . These are the *block of type I*, and points  $\notin S$  on one of these blocks are *neighbours of type I* of  $S$ :

$$N_I(S) = \{P | P \notin S, \text{ there is an element of order 3 such that } S \cup \{P\} \text{ is in the union of two orbits and one of these orbits is contained in } S\}.$$

The remaining 6 blocks through  $S$  have *type II*. They yield *neighbours of type II* of  $S$ :

$$N_{II}(S) = \{P | P \notin S, \text{ there is an element of order 3 such that } S \cup \{P\} \text{ is in the union of two orbits and none of these orbits is contained in } S\}.$$

Assume now there are blocks  $B_1, B_2$  of  $\mathcal{B}$  satisfying  $|B_1 \cap B_2| = 5$ . Let  $B_1 = D_1 \cup D'_1$ ,  $B_2 = D_2 \cup D'_2$  be the subdivision of our blocks into orbits of a subgroup  $Z_3 < \text{PGL}(2, q)$ , say  $D_1 = \{1, 2, 3\}$ ,  $D'_1 = \{4, 5, 6\}$ . Without restriction  $B_1 \cap B_2 = \{1, 2, 3, 4, 5\} \supset D_1$ . If we choose  $S = D_1 \cup \{4\}$  or  $S = D_1 \cup \{5\}$ , then  $B_1$  will be of type I with respect to  $S$ . We have  $B_2 = \{1, 2, 3, 4, 5, x\}$ , and without restriction either  $D_2 = \{1, 2, x\}$ ,  $D'_2 = \{3, 4, 5\}$  or  $D_2 = \{1, 2, 4\}$ ,  $D'_2 = \{3, 5, x\}$ . In both cases the choice  $S = \{1, 2, 3, 5\}$  guarantees that  $B_2$  has type II with respect to  $S$ .

Choose now  $S = \{\infty, 0, 1, a\}$ . We have just seen that we can assume  $B_1$  to be of type I and  $B_2$  to be of type II with respect to  $S$ . Let us denote the element  $(\tau \mapsto (a\tau + b)/(c\tau + d))$  either  $(a\tau + b)/(c\tau + d)$  or by the corresponding matrix. The elements

$$\begin{aligned} 1/(\tau + 1) &: (\infty, 0, 1)(a, 1/(a + 1), (a + 1)/a), \\ a^2/(\tau + a) &: (\infty, 0, a)(1, a^2/(a + 1), a(a + 1)), \\ (\tau + a^2 + a + 1)/(\tau + a) &: (\infty, 1, a)(0, (a^2 + a + 1)/a, a^2 + a + 1), \\ a(\tau + a)/((a^2 + a + 1)\tau + a^2) &: (0, 1, a)(\infty, a/(a^2 + a + 1), a^2/(a^2 + a + 1)) \end{aligned}$$

shows

$$N_I(S) = \{1/(a + 1), (a + 1)/a, a(a + 1), a^2/(a + 1), (a^2 + a + 1)/a, a^2/(a^2 + a + 1), a^2 + a + 1, a/(a^2 + a + 1)\}.$$

This set is invariant under  $\text{Stab}(S) = \{a/\tau, (\tau + a)/(\tau + 1)\} \cong E_4$ .

The neighbours of type II are furnished by elements of order 3 in  $\text{PGL}(2, q)$  affording one of six possible operations:

$$\begin{aligned} (1) \infty &\mapsto 0, 1 \mapsto a, \\ (2) \infty &\mapsto 0, a \mapsto 1, \dots, \\ (6) \infty &\mapsto a, 1 \mapsto 0 \end{aligned}$$

The existence of such an element depends on a trace-condition in each of the six cases. If the condition is satisfied, there are exactly two elements of order 3 which afford this operation. This defines then a set  $N_{II,i}(S)$  of 4 elements,  $i = 1, 2, \dots, 6$ . The two elements of order 3 defining  $II, i$  can be written in the form  $\tau \mapsto (\alpha\tau + \beta)/(\gamma\tau + d)$ , where  $\alpha, \beta, \gamma$  are determined and  $d$  is one of the two solutions of a quadratic equation. In each of these cases we shall list the data just mentioned. We have to prove then  $N_{II,i}(S) \cap N_I(S) = \emptyset$

(provided the trace condition is satisfied). We still have the group  $\text{Stab}(S) \cong E_4$  at our disposition. It has the nice property of permuting transitively the elements of  $N_{II,i}(S)$  for every  $i$ . Thus it suffices to fix one elements  $e \in N_{II,i}(S)$  and to check that  $e \notin N_I(S)$ , for every  $i = 1, 2, \dots, 6$ . By our assumption  $(f, 6) = 1$ , no elements of  $\mathbb{F}_q - \{0, 1\}$  can satisfy a polynomial equation of degree smaller than 5. We shall use this fact all the time.

$$(II, 1) \quad \sigma_1 : \infty \mapsto 0, 1 \mapsto a, \quad \text{condition} \quad \text{tr}(1/a) = 0,$$

$$\sigma_1 = a(1+d)/(\tau+d), \quad \text{where } d^2 = a(d+1), \quad e = d.$$

$$d = 1/(a+1) \Rightarrow 1/(a^2+1) = a \cdot a/(a+1) \Rightarrow a^2(a+1) = 1.$$

$$d = (a+1)/a \Rightarrow (a^2+1)/a^2 = a \cdot 1/a = 1 \Rightarrow 1 = 0.$$

$$d = a(a+1) \Rightarrow a^2(a^2+1) = a(a^2+a+1) \Rightarrow a^3+a^2+1 = 0.$$

$$d = a^2/(a+1) \Rightarrow a^4/(a^2+1) = a(a^2+a+1)/(a+1) \Rightarrow a = 0.$$

$$d = (a^2+a+1)/a \Rightarrow (a^4+a^2+1)/a^2 = a(a^2+1)/a = a^2+1 \Rightarrow 1 = 0.$$

$$d = a^2/(a^2+a+1) \Rightarrow a^4/(a^2+a+1)^2 = a(a+1)/(a^2+a+1) \Rightarrow 1 = 0.$$

$$d = a^2+a+1 \Rightarrow a^4+a^2+1 = a(a^2+a) \Rightarrow a^4+a^3+1 = 0.$$

$$d = a/(a^2+a+1) \Rightarrow a^2/(a^2+a+1)^2 = a(a^2+1)/(a^2+a+1) \Rightarrow a^4+a^3+1 = 0.$$

$$(II, 2) \quad \sigma_2 : \infty \mapsto 0, 1 \mapsto a, \quad \text{condition} \quad \text{tr}(a) = 0,$$

$$\sigma_2 = (a+d)/(\tau+d), \quad \text{where } d^2 = d+a, \quad e = (a+d)/d.$$

$$e = 1/(a+1) \Rightarrow d = a+1 \Rightarrow a^2+1 = (a+1)+a = 1 \Rightarrow a = 0.$$

$$e = (a+1)/a \Rightarrow d = a^2 \Rightarrow a^4 = a^2+a.$$

$$e = a(a+1) \Rightarrow d = a/(a^2+a+1) \Rightarrow a^2/(a^2+a+1)^2 + a/(a^2+a+1) + a = 0 \Rightarrow a = 0.$$

$$e = a^2/(a+1) \Rightarrow d = a(a+1)/(a^2+a+1) \Rightarrow a^3+a+1 = 0.$$

$$e = (a^2+a+1)/a \Rightarrow d = a^2/(a^2+1) \Rightarrow a^4/(a^4+1) + a^2/(a^2+1) + a = 0 \Rightarrow a^4+a+1 = 0.$$

$$e = a^2/(a^2+a+1) \Rightarrow d = a(a^2+a+1)/(a+1) \Rightarrow a^4+a+1 = 0.$$

$$e = a^2+a+1 \Rightarrow d = 1/(a+1) \Rightarrow 1/(a^2+1) + 1/(a+1) + a = 0 \Rightarrow a = 0.$$

$$e = a/(a^2+a+1) \Rightarrow d = a(a^2+a+1)/(a^2+1) \Rightarrow a = 0.$$

$$(II, 3) \quad \sigma_3 : \infty \mapsto 1, 0 \mapsto a, \quad \text{condition} \quad \text{tr}(1/(a+1)) = 0,$$

$$\sigma_3 = (\tau+ad)/(\tau+d), \quad \text{where } d^2 + (a+1)d + 1 = 0, \quad e = (ad+1)/(d+1).$$

$$e = 1/(a+1) \Rightarrow d = a/(a^2+a+1) \Rightarrow a^2/(a^2+a+1)^2 + (a+1)a/(a^2+a+1) + 1 = 0 \Rightarrow a = 1.$$

$$e = (a+1)/a \Rightarrow d = 1/(a^2+a+1) \Rightarrow 0 = a^4+a^3+a^2+1 = (a+1)(a^3+a+1).$$

$$e = a(a+1) \Rightarrow d = (a^2+a+1)/a^2 \Rightarrow a^5 = 1 \Rightarrow f \equiv 0 \pmod{4}.$$

$$e = a^2/(a+1) \Rightarrow d = (a^2+a+1)/a \Rightarrow a = 1.$$

$$e = (a^2+a+1)/a \Rightarrow d = (a+1) \Rightarrow (a^2+1) + (a+1)(a+1) + 1 = 0 \Rightarrow 1 = 0.$$

$$e = a^2/(a^2 + a + 1) \Rightarrow d = 1/(a(a + 1)) \Rightarrow a^5 = 1 \Rightarrow f \equiv 0 \pmod{4}.$$

$$e = a^2 + a + 1 \Rightarrow d = a/(a + 1) \Rightarrow a^2/(a^2 + 1) + a + 1 = 0 \Rightarrow a^3 + a + 1 = 0.$$

$$e = a/(a^2 + a + 1) \Rightarrow d = (a + 1)/a^2 \Rightarrow (a^2 + 1)/a^4 + (a^2 + 1)/a^2 + 1 = 0 \Rightarrow 1 = 0.$$

$$(II, 4) \quad \sigma_4 : \infty \mapsto 1, a \mapsto 0, \text{ condition } \text{tr}(a) = 1,$$

$$\sigma_4 = (\tau + a)/(\tau + d), \text{ where } d^2 + d + a + 1 = 0, \quad e = a/d.$$

$$e = 1/(a + 1) \Rightarrow d = a(a + 1) \Rightarrow a^2(a^2 + 1) + a(a + 1) + a + 1 = 0 \Rightarrow a^4 = 1.$$

$$e = (a + 1)/a \Rightarrow d = a^2/(a + 1) \Rightarrow a^4 + a + 1 = 0.$$

$$e = a(a + 1) \Rightarrow d = 1/(a + 1) \Rightarrow a^3 + a^2 + 1 = 0.$$

$$e = a^2/(a + 1) \Rightarrow d = (a + 1)/a \Rightarrow (a^2 + 1)/a^2 + (a + 1)/a + a + 1 = 0 \Rightarrow a^4 = 1.$$

$$e = (a^2 + a + 1)/a \Rightarrow d = a^2/(a^2 + a + 1) \Rightarrow (a + 1) + (a^4 + 1) = 0.$$

$$e = a^2/(a^2 + a + 1) \Rightarrow d = (a^2 + a + 1)/a \Rightarrow (a + 1)(a^3 + a^2 + 1) = 0.$$

$$e = a^2 + a + 1 \Rightarrow d = a/(a^2 + a + 1) \Rightarrow (a + 1)(a^4 + a + 1) = 0.$$

$$e = a/(a^2 + a + 1) \Rightarrow d = a^2 + a + 1 \Rightarrow 0 = (a^4 + a^2 + 1) + (a^2 + a + 1) + a + 1 \Rightarrow a^4 = 1.$$

$$(II, 5) \quad \sigma_5 : \infty \mapsto a, 0 \mapsto 1, \text{ condition } \text{tr}(1/(a + 1)) = 1,$$

$$\sigma_5 = (a\tau + d)/(\tau + d), \text{ where } d^2 + (a + 1)d + a^2 = 0, \quad e = (a + d)/(1 + d).$$

$$e = 1/(a + 1) \Rightarrow d = (a^2 + a + 1)/a \Rightarrow (a + 1)(a^3 + a^2 + 1) = 0.$$

$$e = (a + 1)/a \Rightarrow d = a^2 + a + 1 \Rightarrow 0 = a^4 + a^2 + 1 + (a^3 + 1) + a^2 \Rightarrow a^3(a + 1) = 0.$$

$$e = a(a + 1) \Rightarrow d = a^2/(a^2 + a + 1) \Rightarrow (a + 1)(a^4 + a^3 + 1) = 0.$$

$$e = a^2/(a + 1) \Rightarrow d = a/(a^2 + a + 1) \Rightarrow a^5 = 1 \Rightarrow f \equiv 0 \pmod{4}.$$

$$e = (a^2 + a + 1)/a \Rightarrow d = 1/(a + 1) \Rightarrow 0 = 1/(a^2 + 1) + 1 + a^2 \Rightarrow a = 0.$$

$$e = a^2/(a^2 + a + 1) \Rightarrow d = a(a + 1) \Rightarrow 0 = a^2(a^2 + 1) + a(a^2 + 1) + a^2 = a^4 + a^3 + a.$$

$$e = a^2 + a + 1 \Rightarrow d = (a + 1)/a \Rightarrow 0 = (a^2 + 1)/a^2 + (a^2 + 1)/a + a^2 \Rightarrow a^5 = 1 \Rightarrow f \equiv 0 \pmod{4}.$$

$$e = a/(a^2 + a + 1) \Rightarrow d = a^2/(a + 1) \Rightarrow 0 = a^4/(a^2 + 1) + a^2 + a^2 \Rightarrow a = 0.$$

$$(II, 6) \quad \sigma_6 : \infty \mapsto a, 1 \mapsto 0, \text{ condition } \text{tr}(1/a) = 1,$$

$$\sigma_6 = a(\tau + 1)/(\tau + d), \text{ where } d^2 + ad + a(a + 1) = 0, \quad e = a/d.$$

$$e = 1/(a + 1) \Rightarrow d = a(a + 1) \Rightarrow a(a + 1)(a^2 + 1) = 0.$$

$$e = (a + 1)/a \Rightarrow d = a^2/(a + 1) \Rightarrow a^3 + a + 1 = 0.$$

$$e = a(a + 1) \Rightarrow d = 1/(a + 1) \Rightarrow a^4 + a^3 + 1 = 0.$$

$$e = a^2/(a + 1) \Rightarrow d = (a + 1)/a \Rightarrow a^2 = 1.$$

$$e = (a^2 + a + 1)/a \Rightarrow d = a^2/(a^2 + a + 1) \Rightarrow (a + 1)(a^4 + a^3 + 1) = 0.$$

$$e = a^2/(a^2 + a + 1) \Rightarrow d = (a^2 + a + 1)/a \Rightarrow a^2 = 1.$$

$$e = a^2 + a + 1 \Rightarrow d = a/(a^2 + a + 1) \Rightarrow a = 1.$$

$$e = a/(a^2 + a + 1) \Rightarrow d = a^2 + a + 1 \Rightarrow (a^4 + a^2 + 1) + (a^3 + a^2 + a) + a^2 + a = 0 \Rightarrow a = 1.$$

We get a contradiction in each case. Theorem 1.1 is proved.  $\square$

*Proof of Theorem 1.3.* Let  $X$  be a block of Alltop's design, i.e.  $|X| = 5$  and  $\text{Stab}(X) \cap \text{PGL}(2, q) \cong E_4$ . We can choose without restriction  $X = \{\infty, 0, 1, a, a + 1\}$  for some  $a \in |\text{GF}_q - \{0, 1\}|$ . Assume  $X \in \Delta\mathcal{B}$ . This means there is an element  $\rho$  of order 3 in  $\text{PGL}(2, q)$  having  $X$  in the union of two orbits. By using  $\text{Stab}(X)$  we can assume that one of the following holds:

- (i)  $\langle \rho \rangle = \langle (\infty, 0, 1) \rangle$ , (ii)  $\langle \rho \rangle = \langle (\infty, 0, a) \rangle$ ,  
 (iii)  $\langle \rho \rangle = \langle (\infty, 0, a + 1) \rangle$ , (iv)  $\langle \rho \rangle = \langle (0, 1, a) \rangle$ .

Assume (i) holds. Then  $\rho = (\tau \mapsto 1/(\tau + 1))$ . The orbit of  $a$  under  $\rho$  contains  $1/(a + 1)$  and  $(a + 1)/a$ . Thus  $a + 1$  is not in this orbit, contradiction. Similar considerations lead to contradictions in the remaining cases. The statement concerning automorphism group follows as in the proof of the Corollary.

## References

- [1] W. O. ALLTOP, An infinite class of 4-designs, *Journal of Comb. Theory* **6** (1969), 320–322..  
 [2] IAN ANDERSON, *Combinatorics of finite sets*, Clarendon Press, Oxford 1987.  
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