

RIGHT CHAIN RINGS AND THE GENERALIZED SEMIGROUP OF DIVISIBILITY

H. H. BRUNGS AND G. TÖRNER

Let R be a ring with unit element and without zero-divisors and let $\tilde{H}(R) = \{\tilde{x} \mid 0 \neq x \in R\}$ where \tilde{x} is the mapping from the set of all nonzero principal right ideals of R into itself defined by $\tilde{x}(aR) = xaR$. $\tilde{H}(R)$ is a partially ordered semigroup that can be considered as a generalization of the group of divisibility of a commutative integral domain. We study those rings R for which $\tilde{H}(R)$ is totally ordered.

1. Introduction. Associated with any commutative integral domain A is the partially ordered group $G(A)$ of nonzero fractional principal ideals of A with $aA \leq bA$ if and only if aA contains bA . It is well known (see [4], [5], [8]) that $G(A)$, the group of divisibility, reflects certain properties of A , like A being a unique factorization domain, the fact that any two elements in A have a greatest common divisor or A being a valuation ring. This concept of a group of divisibility cannot be extended directly to a not necessarily commutative integral domain R .

In this paper we associate with any ring R with unit element and without zero-divisors a partially ordered semigroup $\tilde{H}(R)$ which is isomorphic to the semigroup $H(A) \subseteq G(A)$ of nonzero principal ideals aA in A if A is a commutative domain.

After observing some basic facts about $\tilde{H}(R)$ we characterize in §3 those rings R with $\tilde{H}(R)$ totally ordered as right chain rings R with $Ja \subseteq aR$ for all a in R and $J = J(R)$ the Jacobson radical of R . These rings are localizations of right invariant right chain rings. The main result of §4 is the theorem that a ring with $\tilde{H}(R)$ totally ordered and d.c.c. for prime ideals is right invariant. In a final §5 we show by examples that for every totally ordered group G there exists a ring R with $\tilde{H}(R)$ totally ordered and G (not only the positive cone of G) can be embedded into $\tilde{H}(R)$. The value group $G(A)$ is particularly useful in case A is a commutative valuation ring. The nonzero principal right ideals in a right chain ring R form a semigroup $H(R)$ under ideal multiplication only if R is right invariant. In the general case it is the semigroup $\tilde{H}(R)$ which takes the place of $H(R)$. Mathiak in [6] studies right and left chain domains with the help of a group that could be considered a generalization of $G(A)$. We found that in the case of one-sided conditions a generalization of $H(A)$, which will be a semigroup only, will be more natural.

2. Definition and preliminary results. We consider only rings

with unit element and without zero-divisors. We call a ring R *right invariant* if $Ra \subseteq aR$ (if and only if $RaR = aR$) holds for all elements a in R and R is a *right chain ring* (sometimes called a *right valuation ring*) if for a, b in R either $aR \subseteq bR$ or $bR \subseteq aR$ holds. Here $I \subset L$ always means that the set $I \neq L$ is contained in L ; $J = J(R)$ is the *Jacobson radical* and $U = U(R)$ the *group of units* of R .

Let $W = \{aR \mid 0 \neq a \text{ in } R\}$ be the set of nonzero principal right ideals of R . Every element $0 \neq x$ in R induces a mapping \tilde{x} on W with $\tilde{x}(aR) = xaR$; and $\tilde{x}\tilde{y} = \tilde{x}\tilde{y}$ follows. With $\tilde{x} \geq \tilde{y}$ defined as $xaR \subseteq yaR$ for all a in R we can consider $\tilde{H}(R) = \{\tilde{x} \mid 0 \neq x \text{ in } R\}$ as a partially ordered semigroup. Further, $\tilde{x} + \tilde{y} \geq \inf(\tilde{x}, \tilde{y})$; i.e., $\tilde{z} \leq \tilde{x}$, $\tilde{z} \leq \tilde{y}$ implies $\tilde{z} \leq \tilde{x} + \tilde{y}$. The mapping ' \sim ' from $R^* (= R \setminus 0)$ to $\tilde{H}(R)$ is called the *regular right valuation* of R with the value-semigroup $\tilde{H}(R)$. This semigroup satisfies the following conditions:

- (1) $\tilde{H}(R)$ is a partially ordered semigroup with unit element $\tilde{1}$.
- (2) $\tilde{x} \leq \tilde{y}$ if and only if there exists a \tilde{t} in $\tilde{H}(R)$ with $\tilde{x}\tilde{t} = \tilde{y}$ and $\tilde{1} \leq \tilde{t}$.
- (3) $\tilde{x}\tilde{y} = \tilde{x}\tilde{z}$ implies $\tilde{y} = \tilde{z}$ for $\tilde{x}, \tilde{y}, \tilde{z}$ in $\tilde{H}(R)$.

This means that the order in \tilde{H} is a right natural order and H is left cancellative.

We draw a few immediate conclusions from these properties:

- (i) $\tilde{x} \leq \tilde{1}$ implies that \tilde{x} is a unit in \tilde{H} , i.e., there exists \tilde{y} with $\tilde{x}\tilde{y} = \tilde{y}\tilde{x} = \tilde{1}$.

- (ii) $\tilde{1} \leq \tilde{x}$ implies $\tilde{x}\tilde{a} = \tilde{a}\tilde{x}$ for some \tilde{x} in \tilde{H} .

To prove (i) we have by (2) an element \tilde{t} with $\tilde{x}\tilde{t} = \tilde{1}$. This implies $\tilde{x}\tilde{t}\tilde{x} = \tilde{x}$ and $\tilde{t}\tilde{x} = \tilde{1}$ using (3). For $\tilde{1} \leq \tilde{x}$ and \tilde{a} in \tilde{H} we have $\tilde{a} \leq \tilde{x}\tilde{a}$ and $\tilde{x}\tilde{a} = \tilde{a}\tilde{x}$ for some \tilde{x} using (2) again. Let $\tilde{U} = \tilde{U}(R)$ be the subgroup of units of $\tilde{H}(R)$. The following condition is satisfied by $\tilde{H}(R)$:

- (4) Let \tilde{U}' be a subgroup of \tilde{U} with $\tilde{U}'\tilde{x} \subseteq \tilde{x}\tilde{U}'$ for all \tilde{x} in $\tilde{H}(R)$. Then $\tilde{U}' = \{\tilde{1}\}$. In particular $\tilde{U} = \{\tilde{1}\}$ for R commutative.

The following is an easy example of a semigroup S satisfying conditions (1)-(3), but not (4).

Let $S = \{(n, a) \mid n, a \in \mathbb{Z}; n \geq 0\}$ considered as a subsemigroup of $G = \mathbb{Z} \oplus \mathbb{Z}$; \mathbb{Z} the integers. We write $(n, a) > (m, b)$ if either $n > m$ or $n = m$ and $a > b$. Conditions (1), (2), (3) hold for S , but $U = \{(0, a) \mid a \in \mathbb{Z}\}$ is a subgroup $\neq \{e\}$ of S , violating (4).

Two obvious problems arise: What is the structure of semigroups with (1), (2), (3), (4)? Given a semigroup S satisfying (1), (2), (3), (4) is $S \cong \tilde{H}(R)$ for some R ? We are not able to answer these questions in general.

DEFINITION. Let R be a ring. Then

$$\hat{R} = \{r \in R \mid \tilde{r} \geq 1\} \cup \{0\} = \{r \in R \mid raR \subseteq aR \text{ for all } a \text{ in } R\}.$$

It is obvious that \hat{R} is a subring of R .

LEMMA 1. (1) $\hat{R}a \subseteq a\hat{R}$ for all a in R ; in particular \hat{R} is a right invariant subring of R .

(2) The mapping $a\hat{R}$ to \tilde{a} for $a \neq 0$ in R defines an isomorphism between the semigroup $C(R)$ of \hat{R} -modules $a\hat{R}$ with a in R onto $\tilde{H}(R)$. In $C(R)$ we have $a\hat{R}b\hat{R} = ab\hat{R}$ as operation and $a\hat{R} \leq b\hat{R}$ if and only if $a\hat{R} \supseteq b\hat{R}$.

(3) $\tilde{H}(R) \simeq R^*/U(\hat{R})$ where $U(\hat{R})$ is the group of units of \hat{R} and $r_1 \equiv r_2$ if and only if $r_1 = r_2u$ with u in $U(\hat{R})$ defines a congruence relation on R^* , the multiplicative semigroup of nonzero elements in R .

Proof. (1) $\hat{R}a \subseteq aR$ by definition. If r is in \hat{R} then $ra = ar_1$ and $rab = abr_2 = ar_1b$ for any a, b in R with r_1, r_2 in R . But $r_1b = br_2$ implies r_1 in \hat{R} and $\hat{R}a \subseteq a\hat{R}$ for $a \neq 0$ in R .

(2) Using (1) it follows that $a\hat{R}b\hat{R} = ab\hat{R}$ for a, b in R . If $\tilde{a} \geq \tilde{b}$ then $axR \subseteq bxR$ for all x in R and $a = bs$ and s in \hat{R} , hence $a\hat{R} \subseteq b\hat{R}$ follows. Reversing these arguments yields the converse and $\tilde{H}(R) \simeq \{a\hat{R} \mid 0 \neq a \text{ in } R\}$ as a partially ordered semigroup.

(3) is just a different version of (2). \square

REMARK. If R is embeddable into some skew field then $\hat{R} = \bigcap_{0 \neq a \in R} aRa^{-1}$.

If R is a ring such that the product of any two nonzero principal right ideals is again a nonzero principal right ideal we write $H(R)$ for the semigroup of the nonzero principal right ideals of R ; $H(R)$ is a partially ordered semigroup with $aR \geq bR$ if and only if $aR \subseteq bR$.

If $H(R)$ exists and is isomorphic to $\tilde{H}(R)$ under the mapping that assigns \tilde{x} to xR then R is right invariant. On the other hand $H(R)$ does exist for some rings that are not right invariant; simple rings or not right invariant principal ideal domains are obvious examples.

The following lemma shows that $H(R)$ exists for a local ring R if and only if R is right invariant.

LEMMA 2. Assume $H(R)$ exists and let $0 \neq a$ be in R . Then $RaR = bR$ for some b and if $a = bc$ then c is not contained in $J(R)$.

Proof. It only remains to show that c is not in $J(R)$. We have $b = \sum r_i a s_i$ for some r_i, s_i in R ; $b = \sum r_i b c s_i = \sum b r'_i c s_i = b \sum r'_i c s_i$ where

$r_ib = br'_i$ for some r'_i in R . But this is impossible for c in $J(R)$. \square

COROLLARY. *If R is local then $H(R)$ exists if and only if R is right invariant.*

3. $\tilde{H}(R)$ totally ordered. If A is a commutative integral domain its group of divisibility $G(A)$ is totally ordered only if A is a valuation ring. We will discuss the corresponding question for $\tilde{H}(R)$ and characterize the rings with $\tilde{H}(R)$ totally ordered. If x and y are nonzero elements in R then $\tilde{x} \leq \tilde{y}$ or $\tilde{y} < \tilde{x}$ and $xR \supseteq yR$ or $yR \supseteq xR$ follows. Therefore, R is a right chain ring if $\tilde{H}(R)$ is totally ordered. Examples (see §5) show that for R a right chain ring $\tilde{H}(R)$ is not necessarily totally ordered.

THEOREM 1. *For an integral domain R the following conditions are equivalent:*

- (1) $\tilde{H}(R)$ is totally ordered.
- (2) R is a right chain ring such that r in R , not in \hat{R} implies r^{-1} in \hat{R} .
- (3) $R = R'_P$, the localization of a right invariant right chain ring R' at a prime ideal P of R' .
- (4) R is a right chain ring such that $Ja \subseteq aR$ for all a in R .
- (5) R is a right chain ring and if $Ra \not\subseteq aR$ then $Ja \subseteq aJ$ for any a in R .
- (6) The submodules of the right \hat{R} -module R are totally ordered.

Proof. (1) \Rightarrow (2) We observed that R is a right chain ring if $\tilde{H}(R)$ is totally ordered. For an element r , not in \hat{R} , we have $\tilde{r} < \tilde{1}$, hence $raR \supseteq aR$ for all $a \in R$ and r in $U(R)$, r^{-1} in \hat{R} follows. (2) \Rightarrow (3) It follows from (2) that \hat{R} is a right chain ring and from Lemma 1 that \hat{R} is right invariant. The set $S = \hat{R} \cap U(R)$ is multiplicatively closed and $P = \hat{R} \setminus S$ is a prime ideal in \hat{R} . Finally, $R = R'_P = \hat{R}S^{-1}$ is the localization of \hat{R} at P .

To prove that (3) implies (1) we need a few lemmas.

Let R be a right invariant right chain ring. We write $\tilde{T} = \{\tilde{t} \in \tilde{H}(R) \mid t \in T\}$ for a subset $T \subseteq R^*$ and we say \tilde{T} ($\neq \emptyset$) is R -convex if for $tR \subseteq sR \subseteq R$, t in T , the element \tilde{s} is contained in \tilde{T} . One can check the following two statements.

LEMMA 3. *There is a one-to-one correspondence between the set of R -convex subsets of $\tilde{H}(R)$ and the right ideals $\neq R$ given by*

$$\begin{aligned} \tilde{S} &\longrightarrow \tilde{S}' = \{x \in R \mid \tilde{x} \notin \tilde{S}\} \cup \{0\} \\ I &\longrightarrow I' = \{\tilde{x} \in \tilde{H}(R) \mid xR \supset I\} \end{aligned}$$

where \tilde{S} is R -convex and I is a right ideal $\neq R$.

LEMMA 4. *The R -convex subset \tilde{S} is a subsemigroup of $\tilde{H}(R)$ if and only if $\tilde{S}' = P$ is a completely prime ideal of R .*

We consider the situation as described in the last lemma. Then $S = \{x \in R \mid \tilde{x} \in \tilde{S}\}$ is a multiplicatively closed saturated (i.e., ab in S implies a, b in S) right Ore system in R . The corresponding prime ideal is $P = R \setminus S$ and $R_P = RS^{-1}$ is the corresponding localization. Set $N = N(S) = \{r \in R \mid ra = as_a, s_a \text{ in } S \text{ for all } a \neq 0 \text{ in } R\}$. N is an R -convex subsemigroup of S maximal with the property that $a^{-1}Na \subseteq N$ for all nonzero a in R . To see this, one observes that with n in N , $nR \subseteq mR \subseteq R$, we have $n = mr$ for some r and $na = as_a = am'r'$ for m', r' in R with $ma = am'$, $ra = ar'$. Therefore $m'r' = s_a$ is in S and m' in S , and m in N . Further, n in N and $na = as_a$ implies s_a in N .

To N there corresponds a prime ideal $Q = R \setminus N$ with $P \subseteq Q \subseteq J$. We want to describe $\tilde{H}(R_P)$ and we will get the result by considering two special cases:

- (i) $N(S) = S$, i.e., $Q = P$ (Lemma 5) and
- (ii) $N(S) = U(R)$, i.e., $Q = J$ (Lemma 6).

LEMMA 5. *Let R be a right invariant right chain ring, P a prime ideal in R , $S = R \setminus P$. Assume $N(S) = N = S$. Then R_P is again right invariant and $\tilde{H}(R_P) \simeq \tilde{H}(R)/\tilde{N} = \tilde{H}$.*

Proof. That R_P is again right invariant follows from the fact that every principal right ideal in R_P has the form aR_P with a in R and that $sa = as_a$ for all a in R , s_a in S if s is in $S = N$. Hence $rs^{-1}aR_P = raR_P = ar'R_P$ with $ra = ar'$, r, a in R . If one defines $\tilde{r}_1 \equiv \tilde{r}_2$, r_1, r_2 nonzero elements in R , if and only if $r_1 = r_2n$ or $r_1n = r_2$ for some n in N , then “ \equiv ” is a congruence relation defined on \tilde{H} , and we write $H = \tilde{H}(R) \setminus \tilde{N}$ for the factor semigroup modulo this congruence. Further, $\tilde{r}_1 > \tilde{r}_2$ in \tilde{H} if and only if $r_1 > r_2$ in $\tilde{H}(R)$ and $\tilde{r}_1 \not\equiv \tilde{r}_2$. It follows that $\tilde{H} \simeq \tilde{H}(R_P)$ as totally ordered semigroups.

LEMMA 6. *Let R be a right invariant, right chain ring, P a prime ideal in R , $S = R \setminus P$. Assume $N(S) = U(R)$. Then R_P is not right invariant if $P \subset J$ and $\tilde{H}(R_P) \simeq \tilde{H}(R)\tilde{S}^{-1}$.*

Proof. $\tilde{H}(R)$ contains the subsemigroup \tilde{S} . We will prove that under the above assumption $\tilde{H}(R)$ can be embedded into the semigroup $\tilde{H}(R)\tilde{S}^{-1} = \{\tilde{r}\tilde{s}^{-1} \mid r \in R^*, s \in S\}$ of fractions for $\tilde{H}(R)$.

The semigroup $\tilde{H}(R)$ is totally ordered and $\alpha\beta = \alpha\gamma$ for α, β, γ in $\tilde{H}(R)$ implies $\beta = \gamma$. Since the other cancellation law does not hold in general, $\tilde{H}(R)$ itself may not be embeddable into a group. But for every \tilde{r} in $\tilde{H}(R)$ and \tilde{s} in \tilde{S} there exists an element \tilde{a} in $\tilde{H}(R)$ with $\tilde{r}\tilde{a} = \tilde{s}$ or $\tilde{r} = \tilde{s}\tilde{a}$ and $\tilde{H}(R)\tilde{S}^{-1}$ exists ([3], Prop. 5.1; page 21) if we can show that $\tilde{r}_1\tilde{s} = \tilde{r}_2\tilde{s}$ implies $\tilde{r}_1 = \tilde{r}_2$ for \tilde{r}_1, \tilde{r}_2 in $\tilde{H}(R)$, \tilde{s} in \tilde{S} .

We can assume $r_1 = r_2c$ for some c in R and we are done if we can show that c is in N . But, $\tilde{r}_1\tilde{s} = \tilde{r}_2\tilde{s}$ implies $r_1cs = r_2se$ for some e in $U(R)$. Therefore $cs = se$ and c is an element of S . Let a be in R . If a is in S then $ca = ac'$ with c' in S . If a is not in S then $a = sa_1$ for some a_1 in R and $ca = csa_1 = se a_1 = sa_1 e' = ae'$ with e' in $U(R)$. Hence, c is in $N = U(R)$ and $K = \tilde{H}(R)\tilde{S}^{-1} = \{\tilde{r}\tilde{s}^{-1} \mid r \in R^*, s \in S\}$ exists.

This semigroup is totally ordered if we define $\tilde{r}_1\tilde{s}_1^{-1} \geq \tilde{r}_2\tilde{s}_2^{-1}$ if and only if for all \tilde{s}, \tilde{s}' , with $\tilde{s}, \tilde{s} = \tilde{s}_2\tilde{s}'$ we get $\tilde{r}_1\tilde{s} \geq \tilde{r}_2\tilde{s}'$.

This last condition is equivalent to $\tilde{r}_1 \geq \tilde{r}_2\tilde{s}$ if $s_1 = s_2s$ and $\tilde{r}_1\tilde{s} \geq \tilde{r}_2$ if $s_1s = s_2$ where s is some element in S . For the necessary computations it is the easiest to write any finite number of elements in K in the form $\tilde{r}_i\tilde{s}^{-1}$, $i = 1, \dots, n$.

It is a bit tedious to check that K is a totally ordered semigroup with unit element such that

- (i) $\alpha \geq \beta$ in K implies that there exists γ in K with $\alpha = \beta\gamma$
- (ii) $\gamma\alpha = \gamma\beta$ implies $\alpha = \beta$ where α, β, γ are in K .

Further, it follows from these conditions that all elements $\gamma \leq \tilde{1}$ in K have an inverse in K .

It remains to show that $K \simeq \tilde{H}(R_P)$ as ordered semigroups where the isomorphism is given by $\tilde{r}\tilde{s}^{-1} \leftrightarrow \tilde{r}s^{-1}$. (Here \tilde{r}, \tilde{s} are elements in $\tilde{H}(R)$, $\tilde{r}s^{-1}$ is an element in $\tilde{H}(R_P)$.) We shall show here that the given correspondence is one-to-one and omit the rest.

Let $\tilde{r}_1\tilde{s}^{-1} = \tilde{r}_2\tilde{s}^{-1}$ i.e., $r_1s^{-1}aR_P = r_2s^{-1}aR_P$ for all a in R_P ; in particular $r_1s^{-1}sbR_P = r_2s^{-1}sbR_P$ for all b in R and $r_1bR_P = r_2bR_P$, $r_1b = r_2bs'$ or $r_1bs' = r_2b$ for some s' in S follows. Comparing r_1 and r_2 yields $r_1 = r_2c$ or $r_2 = r_1c$ for some c in N and $\tilde{r}_1 = \tilde{r}_2$ in $\tilde{H}(R)$. If conversely $\tilde{r}_1\tilde{s}^{-1} = \tilde{r}_2\tilde{s}^{-1}$ in K we get $\tilde{r}_1 = \tilde{r}_2$ in $\tilde{H}(R)$ and therefore $r_1s^{-1}aR_P = r_2s^{-1}aR_P$ for all a in R : If a is in S this is obvious, otherwise $a = sb$ and $r_1bR = r_2bR$ implies $r_1s^{-1}aR_P = r_2s^{-1}aR_P$ in that case. Finally let s be in $S \setminus U(R)$. Then there exists a in R with $sa = ag$ and g not in S since s is not in N . This shows that $s^{-1}aR_P \supset aR_P$ and R_P is not right invariant.

If we combine Lemma 5 and Lemma 6 we get the following result:

THEOREM 2. *Let R be a right invariant right chain ring, P a*

prime ideal in R , $S = R \setminus P$; $N = \{x \in R \mid xa = as_a, s_a \text{ in } S \text{ for all } a \in R\}$. Then:

(1) $\tilde{H}(R_P) \simeq \bar{H}\bar{S}^{-1}$ is a totally ordered semigroup with $\bar{H} = \tilde{H}(R_Q) \simeq \tilde{H}(R)/\bar{N}$ and $\bar{S} \simeq \bar{S}/\bar{N}$; $Q = R \setminus N$ is a prime ideal and R_Q is right invariant.

(2) R_P is right invariant if and only if $N = S$.

With Theorem 2 the equivalence of (1), (2), (3) in Theorem 1 is proven.

We prove the equivalence of (1) and (4). If $\tilde{H}(R)$ is totally ordered and j in $J(R)$, then $\tilde{j} \leq \tilde{1}$ is impossible, since this implies $jR = R$, j a unit. Hence $jaR \subseteq aR$ for all a in R . Conversely if R is a right chain ring with $Ja \subseteq aR$ for all a in R we must show that for any nonzero elements x, y in R either $\tilde{x} \leq \tilde{y}$ or $\tilde{y} \leq \tilde{x}$. If we assume on the contrary that there exist a, b in R with $xaR \subset yaR$ and $ybR \subset xbR$ we obtain $xa = yav_1$, $yb = xbv_2$ and say $a = bs$ for v_1, v_2, s in J (the case $b = as$ is similar). Then $ya = ybs = xbv_2s = xbsv'_2 = xav'_2 = yav_1v'_2$ and $ya = 0$ where $v_2s = sv'_2$ for some v'_2 in R , using (4).

The implication $(5) \Rightarrow (4)$ is obvious. To prove $(4) \Rightarrow (5)$ assume there is an a in R with $Ra \not\subseteq aR$ and $Ja \not\subseteq aJ$, but $Ja \subseteq aR$. Then there exist elements u in $U(R)$, n in J with $uaR \supset aR$ and $uan = a$; and elements n' in J , u' in $U(R)$ with $n'a$ in aR , but not in aJ , hence $n'au' = a$. This leads to $un'au'n = a$ and with $Ja \subseteq aR$ to $a = 0$, a contradiction. The equivalence of (1) and (6) follows from Lemma 1(2) and with this Theorem 1 is proved completely.

DEFINITION. A right chain ring R that satisfies the equivalent conditions of Theorem 1 is called *semi-invariant*.

Since $\tilde{H}(R)$ is not known even if R is right invariant unless R is also right noetherian or satisfies some other extra condition (see [1]), we cannot describe the structure of $\tilde{H}(R)$ for a semi-invariant ring R . It follows from Theorem 2 that this semigroup is a group of fractions of a semigroup $H = \tilde{H}(R')$ where R' is a right invariant right chain ring with respect to a subsemigroup T of H which satisfies

(1) If t is in T , h in H and e the unit element in H with $e \leq h \leq t$, then h is in T .

(2) For every $e \neq t$ in T there exist h and k in H with $th = hk$ and k not in T .

(3) $h_1t = h_2t$ for t in T , h_1, h_2 in H implies $h_1 = h_2$.

One sees that $\tilde{H}(R)$, R semi-invariant, not a division ring, is not a group, but we will show that for every totally ordered group G

there exists a semi-invariant ring R such that G can be embedded into $\tilde{H}(R)$.

4. Semi-invariant right chain rings with d.c.c. for prime ideals. Investigating the condition $\tilde{H}(R)$ totally ordered, we were led to semi-invariant right chain rings. The valuation semigroup can then be described using Theorem 2. In many cases we actually have $H(R) \cong \tilde{H}(R)$. The reason for this is the result we will prove in this section: Semi-invariant right chain rings with d.c.c. for prime ideals are right invariant. We recall that an ideal P in R is called completely prime if ab in P implies a or b in P and P is called prime if aRb in P implies a or b in P where a, b are elements in R . It follows from a result of Thierrin ([10]) that a prime ideal P is completely prime if a^2 in P implies a in P .

LEMMA 7. *Every prime ideal P in the semi-invariant ring R is completely prime.*

Proof. Assume a^2 in P and a not in P . Then there exists t_1 in R with at_1a not in P and t_2 in R with $at_2(at_1a)$ not in P . We can assume $R \neq P$ and a in J . Hence $a(t_2at_1)a = a^2r$ for some r in R using (4) of Theorem 1. This contradiction proves the lemma. \square

The next result shows how to produce certain prime ideals.

LEMMA 8. *Let z be an element in R , a semi-invariant ring. Then $D = \cap z^*R$ is a prime ideal.*

Proof. We can assume that z is in J . Then D is a right ideal and we will first show that a^* in D implies a in D for a in R . Assume a is not in D , then a is in J and $aj = z^*$ for some natural number n and j in J . But then $ajaj = a^2j'j = z^{2n}$ is not in D contradicting a^2 in D . It remains to prove that D is a left ideal. Let x be in D and $x = z^*q_*$, q_* in J follows. For r in R we get $rxrx = rxr^*z^*q_* = z^*vq_*$ for some v in R . This shows that $(rx)^2$ is in D and hence rx in D . \square

The next theorem will be proved in three steps, Lemmas 9-11.

THEOREM 3. *A semi-invariant right chain ring with d.c.c. for ideals is right invariant.*

Let a be an element in the semi-invariant right chain ring R . By (5) Theorem 1 we have either $Ra \subseteq aR$ or $Ja \subseteq aJ$. In the first

case we are done and in the second we define a mapping ϕ from the set of prime ideals $P \neq R$ into itself by defining P^ϕ as the smallest prime ideal with $Pa \subseteq aP^\phi$. We will show that either $J^\phi = J$ which implies $Ra \subseteq aR$ or $J^\phi \subset J$ and $\{J^\phi\}$ is a strictly decreasing chain of prime ideals of R .

LEMMA 9. *Let $J = J^\phi$ and $J = mR$, then $Ra \subseteq aR$.*

Proof. We have $ma = am^k v$ for some unit v in R , some integer k , some generator m of J , since as a right ideal $J^\phi = J$ using Lemma 8.

If $Ra \not\subseteq aR$ there exists a unit u in R and an element q in J with $ua = aq$. Since q is in J and $u^{k+1}a = aq^{k+1}$ we obtain $q^{k+1}R \subset m^k R$ and we can assume $qR \subset m^k R$ and $q = m^k vt$ with t in J . With $us = m$, $ma = am^k v$, $mat = am^k vt = aq = ua$ we obtain $sat = a$, s, t in J and $a = 0$ follows.

LEMMA 10. *Let R be semi-invariant, J not finitely generated as a right ideal and $0 \neq a$ an element in R with $Ja \subseteq aJ^\phi$, $J^\phi = J$. Then $Ra \subseteq aR$.*

Proof. Assume $j \neq 0$ in J . We want to find r, s in J with $ra = as$ and $sR \supseteq jR$. Let $P = \cap j^*R$. By Lemma 8, P is a prime ideal and $P \subset J$. Since $J^\phi = J$ there exist elements r_1, s_1 in J with s_1 not in P such that $r_1 a = a s_1$. Either $s_1 R \supseteq jR$ and we are done or there exists an n with $jR \supset \dots \supset j^{n-1}R \supset s_1 R \supseteq j^n R$. Hence $s_1 q = j^n$ for some q in R . We choose an element z in J with $r_1 = z^m v$ with v in J and some $m > n$. This is possible, since J is not finitely generated: Let $r_1 R \subset xR \neq R$. We obtain $r_1 = xy$ for x, y in J . Choose z in J with $zR \supset xR$ and $zR \supset yR$ and $r_1 = z^m u_1$ follows with u in J . Repeating this process yields an element z with $r_1 = z^m v$, z, v in J , $m > n$. Consider $za = az'$, z, z' in J . We claim $z'R \supseteq jR$. Otherwise $zw = z'$ for some w in J . But $r_1 a = z^m va = az'^m v' = as_1$ for some element v' in J with $va = av'$.

Hence $s_1 = z'^m v' = (jw)^m v' = j^m b v'$ for some element b in R . This implies $j^n = s_1 q = j^m b v' q$, a contradiction, since $m > n$. We conclude that we have found an element $r = z$, $s = z'$ with $sR \supseteq jR$ and $ra = as$ for the given element j in J .

If $Ra \not\subseteq aR$ there exist a unit u in R and an element t in J with $ua = at$. By the above argument we have s, r in J with $ra = as$ and $sR \supset tR$. Hence, $sv = t$ for some v in J and $rav = asv = at = ua$. We obtain $a = u^{-1}rav = ak$, k in J and $a = 0$, a contradiction.

REMARK. Under the hypothesis of Lemma 10 we have proved that $J^\phi = J$ is even the smallest two-sided ideal I satisfying $Ja \subseteq aJ^\phi$.

LEMMA 11. *Let R be semi-invariant, a in R with $Ja \subseteq aJ^\phi$ and $J^\phi \subset J$. Then $J^{\phi^{n+1}} \subset J^\phi$ for all n .*

Proof. We will write $J^{(n)}$ instead of J^{ϕ^n} . Then $J^{(n+1)} \subseteq J^{(n)}$ and we assume n minimal with $J^{(n)} = J^{(n+1)}$. Let r be in $J^{(n-1)} \setminus J^{(n)}$, $ra = as$ with s in $J^{(n)}$. Then there exists a, q in $J^{(n)}$ with $qa = aq'$ and $q'R \supset s^k R$ for some k , since otherwise $J^{(n+1)} = J^{(n)} \subseteq \cap s^k R \subset J^{(n)}$. After replacing r by r^k if $k > 1$ we can assume that there is an r in $J^{(n-1)} \setminus J^{(n)}$ with $ra = as$ and an element q in $J^{(n)}$ with $qa = aq'$ and $q'R \supset sR$. Hence $q't = s$ for some t in J and $rv = q$ for some v in $J^{(n)}$. This yields $ra = as = aq't = qat = rvat = rav't$ with v' in J and the contradiction $ra = 0$ proves the lemma. \square

5. Examples, problems and comments. We begin with an example of a semi-invariant right chain ring R such that $\tilde{H}(R)$ contains G where G is a given totally ordered group.

EXAMPLE 1. For every totally ordered group G there exists a semi-invariant right chain ring R such that $\tilde{H}(R)$ contains G .

Let $K = \bigoplus_{i \in \mathbb{Z}} G_i$ where $G_i \simeq G$ for all $i \in \mathbb{Z}$. K is an ordered group with the lexicographic ordering. Next, let $L = \{t^n k | n \in \mathbb{Z}, k \in K\}$ with $t^n k_1 \cdot t^m k_2 = t^{n+m} (k_1^{(m)} k_2)$ be the ordered group where $k = (g_i)$ and $k^{(m)} = (g'_i)$ with $g'_i = g_{i+m}$. Further $t^n k_1 > t^m k_2$ if and only if $n > m$ or $n = m$ and $k_1 > k_2$ in K .

Let $H = \{t^n k \in L | t^n k \geq e, k = (g_i) \text{ with } n \geq 0 \text{ and } g_i = 1_{g_i} \text{ for } i > 0\}$. Then H is a totally ordered semigroup with unit element and both cancellation laws. Further, H is naturally ordered in the sense that $h_1 \geq h_2$ for h_i in H holds if and only if there exists an element $h \geq e$ in H with $h_1 = h_2 h$. Therefore it is possible to construct the generalized power series ring.

$$R' = \{\alpha = \sum x_h a_h | h \in H, a_h \in R \text{ and } T(\alpha) = \{h | a_h \neq 0\} \text{ well ordered in } H\}.$$

R' is a right invariant right chain ring with $\tilde{H}(R') \simeq H$ ([7]).

To the subsemigroup $M = \{t^0(g_i) | g_i = 1_{g_i} \text{ for } i \neq 0\}$ there corresponds an R' -convex subsemigroup in $\tilde{H}(R')$ and a prime ideal P in R' . We put $R'_P = R$. Since for h in M we have $ht = th'$ with h' not in M unless $h = 1$, we conclude that $\tilde{H}(R) \simeq HM^{-1} = H \cup M^{-1}$. It follows that G can be embedded into $\tilde{H}(R)$ where R is a semi-invariant right chain ring. We observe that the right ideal $x_i R$ is

not a left ideal and Rx_t is not a right ideal. On the other hand we know ([2]) that for every a in a semi-invariant right and left chain ring either aR or Ra is a two-sided ideal.

EXAMPLE 2. In our next example we construct a right chain ring R such that $\tilde{H}(R)$ is not totally ordered, but that the subgroup $\tilde{U}(R) = \{\tilde{u} \mid u \text{ in } U(R)\}$ of $\tilde{H}(R)$ is totally ordered with respect to the order as defined in $\tilde{H}(R)$. This condition

$$(U) \quad \tilde{U}(R) \text{ is totally ordered}$$

is therefore weaker than the condition $\tilde{H}(R)$ totally ordered and implies among other things that for a right chain ring R with (U) , a in R , there exists a unit ϵ in $U(R)$ with $a\epsilon$ in \hat{R} (see Lemma 12 (ii) below). The basic idea of this construction has been used in [9], [2] and [6]: Let R_1 be a right and left chain ring, $D = Q(R_1)$ the division ring of quotients of R_1 , H a totally ordered semigroup with unit element that satisfies both cancellation laws. Further, let $h_1 \geq h_2$ hold for elements h_1, h_2 in H if and only if $h_1 = h_2h$ for some h in H . Finally, let τ be a mapping from H into the semigroup $M(D)$ of monomorphism from D to D with $\tau(h_1h_2) = \tau(h_1)\tau(h_2)$. One then can form the generalized power series ring $D\{H\} = \{\sum x_h d_h = \alpha \mid h \text{ in } H, d_h \text{ in } D, T(\alpha) = \{h \mid d_h \neq 0\} \text{ well ordered in } H\}$ where multiplication is defined by $x_{h_1}x_{h_2} = x_{h_1h_2}$ and $dx_h = x_h d^{\tau(h)}$. The subring R of $D\{H\}$ consisting of those elements α with d_α in R_1 is a right chain ring where e is the unit element in H . It does not seem to be easy to determine $\tilde{H}(R)$ in general.

To consider a special case let $F = Q(x, y)$, the field of rational functions in the two indeterminates x and y over the field Q of rational numbers. Then F contains $R_1 = Q[x, y]_{(x)}$, a chain ring one obtains by localizing the polynomial ring $Q[x, y]$ at the prime ideal (x) . We form the skew power series ring $F[[t, \tau]]$, where τ is the automorphism of F exchanging x and y . Finally, R consists of all those power series $\sum t^i f_i(x, y)$ with $f_0(x, y)$ in R_1 . The principal right ideals of R are of the form $t^n x^m R$ with $n = 0, 1, 2, \dots$ and m in \mathbb{Z} , but $m \geq 0$ if $n = 0$. The semigroup $\tilde{H}(R) = \{\tilde{t^n x^m y^k} \mid n = 0, 1, 2, \dots; m, k \text{ in } \mathbb{Z} \text{ and } m \geq 0 \text{ if } n = 0\}$. It is $\tilde{t^{n_1} x^{m_1} y^{k_1}} > \tilde{t^{n_2} x^{m_2} y^{k_2}}$ if $n_1 > n_2$ or $n_1 = n_2$ and $m_1 > m_2$ with $k_1 \geq k_2$ or $n_1 = n_2$, and $m_1 = m_2$ and $k_1 > k_2$. Finally, we have $\tilde{U}(R) = \{\tilde{y^k} \mid k \in \mathbb{Z}\} \cong \mathbb{Z}$ as ordered groups. Therefore, $\tilde{H}(R)$ satisfies condition (U) , but is not totally ordered: $\tilde{x}\tilde{y}^{-1}$ and $\tilde{1}$ for example cannot be compared.

We conclude this paper with some observation for right chain rings that satisfy condition (U) .

LEMMA 12. *Let R be a ring satisfying condition U .*

- (i) Let a, b in R with $aR = bR$. Then either $\tilde{a} \leq \tilde{b}$ or $\tilde{b} < \tilde{a}$.
- (ii) For any a in R , R local, exists x in \hat{R} with $aR = xR$.
- (iii) Let R be a local ring and $aR \supseteq bR$. Then there exists for every x with $xR = aR$ a y in R with $\tilde{x} < \tilde{y}$ and $yR = bR$. Similarly for every y in R with $yR = bR$ exists x with $xR = aR$ and $\tilde{x} < \tilde{y}$.

Proof. (i) is obvious, using condition (U). Statement (ii) is correct if a is a unit. We can therefore assume a in J , a not in \hat{R} . Hence $1 + a$ is in $U(R) \setminus \hat{R}$ and $(1 + a)(1 + x) = (1 + x)(1 + a) = 1$ for some x in R . But $1 + x$ and x are in \hat{R} and $a(1 + x) = (1 + x)a = -x$ is in \hat{R} . Since $aR = xR$, (ii) follows.

To prove (iii) assume $b = xp$. Using (ii) there exists a unit u in R with pu in \hat{R} and $bu = xpu$ implies $\tilde{x} < b\tilde{u}$. If $y = ap$ the second part of (iii) is correct for p in \hat{R} . Otherwise we obtain with (ii): $(1 + p)^{-1}p$ is in \hat{R} , $y = a(1 + p)(1 + p)^{-1}p$ and $x = a(1 + p)$. \square

PROBLEMS.

- (1) Describe all rings R for which $\tilde{H}(R)$ satisfies (U). (This class of rings contains all right invariant, in particular all commutative rings.)
- (2) Which conditions characterize the semigroups S with $S \cong \tilde{H}(R)$, R a ring or additionally: R a right chain ring.
- (3) Find the class of rings R with $\tilde{H}(R)$ lattice ordered.

REFERENCES

1. R. T. Botto-Mura, H. H. Brungs and J. L. Fisher, *Chain rings and valuation semigroups*, Comm. Alg., **5** (1977), 1529–1547.
2. H. H. Brungs and G. Toerner, *Chain rings and prime ideals*, Arch. Math. (Basel), **27** (1976), 253–260.
3. P. M. Cohn, *Free Rings and Their Relations*, Academic Press, London, 1971.
4. P. Jaffard, *Contribution à l'étude des groupes ordonnés*, J. Math. Pures Appl., **32** (1953), 203–280.
5. W. Krull, *Allgemeine Bewertungstheorie*, J. Reine Angew. Math., **167** (1932), 160–196.
6. K. Mathiak, *Bewertungen nicht kommutativer Körper*, J. Algebra, **48** (1977), 217–235.
7. B. H. Neumann, *On ordered division rings*, Trans. Amer. Math. Soc., **66** (1949), 202–252.
8. J. Ohm, *Semi-valuations and groups of divisibility*, Canad. J. Math., **21** (1969), 576–591.
9. W. Stephenson, *Modules whose lattice of submodules is distributive*, Proc. London Math. Soc., **28** (1974), 291–310.
10. G. Thierrin, *Sur les idéaux complètement premiers d'un anneau quelconque*, Acad. Roy. Belg. Bull. A. Sci., (5) **43** (1957), 124–132.

Received September 2, 1979. The first author thanks the Technische Hochschule in Darmstadt for its hospitality during the first half of 1978 and the NRC for partial support.

UNIVERSITY OF ALBERTA
EDMONTON, ALBERTA, CANADA
T6G 2G1
AND
FACHBEREICH MATHEMATIK
GESAMTHOCHSCHULE DUISBURG
41 DUISBURG
WEST GERMANY