

RIGHT CHAIN RINGS AND THE GENERALIZED SEMIGROUP OF DIVISIBILITY

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Let R be a ring with unit element and without zero-divisors and let $\tilde{H}(R) = \{\tilde{x} \mid 0 \neq x \in R\}$ where \tilde{x} is the mapping from the set of all nonzero principal right ideals of R into itself defined by $\tilde{x}(aR) = xaR$. $\tilde{H}(R)$ is a partially ordered semigroup that can be considered as a generalization of the group of divisibility of a commutative integral domain. We study those rings R for which $\tilde{H}(R)$ is totally ordered.

1. Introduction. Associated with any commutative integral domain A is the partially ordered group $G(A)$ of nonzero fractional principal ideals of A with $aA \leq bA$ if and only if aA contains bA . It is well known (see [4], [5], [8]) that $G(A)$, the group of divisibility, reflects certain properties of A , like A being a unique factorization domain, the fact that any two elements in A have a greatest common divisor or A being a valuation ring. This concept of a group of divisibility cannot be extended directly to a not necessarily commutative integral domain R .

In this paper we associate with any ring R with unit element and without zero-divisors a partially ordered semigroup $\tilde{H}(R)$ which is isomorphic to the semigroup $H(A) \subseteq G(A)$ of nonzero principal ideals aA in A if A is a commutative domain.

After observing some basic facts about $\tilde{H}(R)$ we characterize in §3 those rings R with $\tilde{H}(R)$ totally ordered as right chain rings R with $Ja \subseteq aR$ for all a in R and $J = J(R)$ the Jacobson radical of R . These rings are localizations of right invariant right chain rings. The main result of §4 is the theorem that a ring with $\tilde{H}(R)$ totally ordered and d.c.c. for prime ideals is right invariant. In a final §5 we show by examples that for every totally ordered group G there exists a ring R with $\tilde{H}(R)$ totally ordered and G (not only the positive cone of G) can be embedded into $\tilde{H}(R)$. The value group $G(A)$ is particularly useful in case A is a commutative valuation ring. The nonzero principal right ideals in a right chain ring R form a semigroup $H(R)$ under ideal multiplication only if R is right invariant. In the general case it is the semigroup $\tilde{H}(R)$ which takes the place of $H(R)$. Mathiak in [6] studies right and left chain domains with the help of a group that could be considered a generalization of $G(A)$. We found that in the case of one-sided conditions a generalization of $H(A)$, which will be a semigroup only, will be more natural.

2. Definition and preliminary results. We consider only rings

with unit element and without zero-divisors. We call a ring R *right invariant* if $Ra \subseteq aR$ (if and only if $RaR = aR$) holds for all elements a in R and R is a *right chain ring* (sometimes called a *right valuation ring*) if for a, b in R either $aR \subseteq bR$ or $bR \subseteq aR$ holds. Here $I \subset L$ always means that the set $I \neq L$ is contained in L ; $J = J(R)$ is the *Jacobson radical* and $U = U(R)$ the *group of units* of R .

Let $W = \{aR \mid 0 \neq a \text{ in } R\}$ be the set of nonzero principal right ideals of R . Every element $0 \neq x$ in R induces a mapping \tilde{x} on W with $\tilde{x}(aR) = xaR$; and $\tilde{x}\tilde{y} = \tilde{xy}$ follows. With $\tilde{x} \geq \tilde{y}$ defined as $xaR \subseteq yaR$ for all a in R we can consider $\tilde{H}(R) = \{\tilde{x} \mid 0 \neq x \text{ in } R\}$ as a partially ordered semigroup. Further, $\tilde{x} + \tilde{y} \geq \inf(\tilde{x}, \tilde{y})$; i.e., $\tilde{z} \leq \tilde{x}, \tilde{z} \leq \tilde{y}$ implies $\tilde{z} \leq \tilde{x} + \tilde{y}$. The mapping ' \sim ' from $R^* (= R \setminus 0)$ to $\tilde{H}(R)$ is called the *regular right valuation* of R with the *value-semigroup* $\tilde{H}(R)$. This semigroup satisfies the following conditions:

- (1) $\tilde{H}(R)$ is a partially ordered semigroup with unit element $\tilde{1}$.
- (2) $\tilde{x} \leq \tilde{y}$ if and only if there exists a \tilde{t} in $\tilde{H}(R)$ with $\tilde{x}\tilde{t} = \tilde{y}$ and $\tilde{1} \leq \tilde{t}$.
- (3) $\tilde{x}\tilde{y} = \tilde{x}\tilde{z}$ implies $\tilde{y} = \tilde{z}$ for $\tilde{x}, \tilde{y}, \tilde{z}$ in $\tilde{H}(R)$.

This means that the order in \tilde{H} is a right natural order and H is left cancellative.

We draw a few immediate conclusions from these properties:

- (i) $\tilde{x} \leq \tilde{1}$ implies that \tilde{x} is a unit in \tilde{H} , i.e., there exists \tilde{y} with $\tilde{x}\tilde{y} = \tilde{y}\tilde{x} = \tilde{1}$.
- (ii) $\tilde{1} \leq \tilde{x}$ implies $\tilde{x}\tilde{a} = \tilde{a}\tilde{x}'$ for some \tilde{x}' in \tilde{H} .

To prove (i) we have by (2) an element \tilde{t} with $\tilde{x}\tilde{t} = \tilde{1}$. This implies $\tilde{x}\tilde{t}\tilde{x} = \tilde{x}$ and $\tilde{t}\tilde{x} = \tilde{1}$ using (3). For $\tilde{1} \leq \tilde{x}$ and \tilde{a} in \tilde{H} we have $\tilde{a} \leq \tilde{x}\tilde{a}$ and $\tilde{x}\tilde{a} = \tilde{a}\tilde{x}'$ for some \tilde{x}' using (2) again. Let $\tilde{U} = \tilde{U}(R)$ be the subgroup of units of $\tilde{H}(R)$. The following condition is satisfied by $\tilde{H}(R)$:

- (4) Let \tilde{U}' be a subgroup of \tilde{U} with $\tilde{U}'\tilde{x} \subseteq \tilde{x}\tilde{U}$ for all \tilde{x} in $\tilde{H}(R)$. Then $\tilde{U}' = \{\tilde{1}\}$. In particular $\tilde{U} = \{\tilde{1}\}$ for R commutative. The following is an easy example of a semigroup S satisfying conditions (1)-(3), but not (4).

Let $S = \{(n, a); n, a \in \mathbb{Z}; n \geq 0\}$ considered as a subsemigroup of $G = \mathbb{Z} \oplus \mathbb{Z}$; \mathbb{Z} the integers. We write $(n, a) > (m, b)$ if either $n > m$ or $n = m$ and $a > b$. Conditions (1), (2), (3) hold for S , but $U = \{(0, a); a \in \mathbb{Z}\}$ is a subgroup $\neq \{e\}$ of S , violating (4).

Two obvious problems arise: What is the structure of semigroups with (1), (2), (3), (4)? Given a semigroup S satisfying (1), (2), (3), (4) is $S \cong \tilde{H}(R)$ for some R ? We are not able to answer these questions in general.

DEFINITION. Let R be a ring. Then

$$\hat{R} = \{r \in R \mid \tilde{r} \geq 1\} \cup \{0\} = \{r \in R \mid raR \subseteq aR \text{ for all } a \text{ in } R\}.$$

It is obvious that \hat{R} is a subring of R .

LEMMA 1. (1) $\hat{R}a \subseteq a\hat{R}$ for all a in R ; in particular \hat{R} is a right invariant subring of R .

(2) The mapping $a\hat{R}$ to \tilde{a} for $a \neq 0$ in R defines an isomorphism between the semigroup $C(R)$ of \hat{R} -modules $a\hat{R}$ with a in R onto $\tilde{H}(R)$. In $C(R)$ we have $a\hat{R}b\hat{R} = ab\hat{R}$ as operation and $a\hat{R} \subseteq b\hat{R}$ if and only if $a\hat{R} \supseteq b\hat{R}$.

(3) $\tilde{H}(R) \simeq R^*/U(\hat{R})$ where $U(\hat{R})$ is the group of units of \hat{R} and $r_1 \equiv r_2$ if and only if $r_1 = r_2u$ with u in $u(\hat{R})$ defines a congruence relation on R^* , the multiplicative semigroup of nonzero elements in R .

Proof. (1) $\hat{R}a \subseteq aR$ by definition. If r is in \hat{R} then $ra = ar_1$ and $rab = abr_2 = ar_1b$ for any a, b in R with r_1, r_2 in R . But $r_1b = br_2$ implies r_1 in \hat{R} and $\hat{R}a \subseteq a\hat{R}$ for $a \neq 0$ in R .

(2) Using (1) it follows that $a\hat{R}b\hat{R} = ab\hat{R}$ for a, b in R . If $\tilde{a} \geq \tilde{b}$ then $axR \subseteq bxR$ for all x in R and $a = bs$ and s in \hat{R} , hence $a\hat{R} \subseteq b\hat{R}$ follows. Reversing these arguments yields the converse and $\tilde{H}(R) \simeq \{a\hat{R} \mid 0 \neq a \text{ in } R\}$ as a partially ordered semigroup.

(3) is just a different version of (2). \square

REMARK. If R is embeddable into some skew field then $\hat{R} = \bigcap_{0 \neq a \in R} aRa^{-1}$.

If R is a ring such that the product of any two nonzero principal right ideals is again a nonzero principal right ideal we write $H(R)$ for the semigroup of the nonzero principal right ideals of R ; $H(R)$ is a partially ordered semigroup with $aR \geq bR$ if and only if $aR \subseteq bR$.

If $H(R)$ exists and is isomorphic to $\tilde{H}(R)$ under the mapping that assigns \tilde{x} to xR then R is right invariant. On the other hand $H(R)$ does exist for some rings that are not right invariant; simple rings or not right invariant principal ideal domains are obvious examples.

The following lemma shows that $H(R)$ exists for a local ring R if and only if R is right invariant.

LEMMA 2. Assume $H(R)$ exists and let $0 \neq a$ be in R . Then $RaR = bR$ for some b and if $a = bc$ then c is not contained in $J(R)$.

Proof. It only remains to show that c is not in $J(R)$. We have $b = \sum r_i a s_i$ for some r_i, s_i in R ; $b = \sum r_i b c s_i = \sum b r'_i c s_i = b \sum r'_i c s_i$, where

$r_i b = br'_i$ for some r'_i in R . But this is impossible for c in $J(R)$. \square

COROLLARY. *If R is local then $H(R)$ exists if and only if R is right invariant.*

3. $\tilde{H}(R)$ totally ordered. If A is a commutative integral domain its group of divisibility $G(A)$ is totally ordered only if A is a valuation ring. We will discuss the corresponding question for $\tilde{H}(R)$ and characterize the rings with $\tilde{H}(R)$ totally ordered. If x and y are nonzero elements in R then $\tilde{x} \leq \tilde{y}$ or $\tilde{y} < \tilde{x}$ and $xR \supseteq yR$ or $yR \supseteq xR$ follows. Therefore, R is a right chain ring if $\tilde{H}(R)$ is totally ordered. Examples (see §5) show that for R a right chain ring $\tilde{H}(R)$ is not necessarily totally ordered.

THEOREM 1. *For an integral domain R the following conditions are equivalent:*

- (1) $\tilde{H}(R)$ is totally ordered.
- (2) R is a right chain ring such that r in R , not in \hat{R} implies r^{-1} in \hat{R} .
- (3) $R = R'_P$, the localization of a right invariant right chain ring R' at a prime ideal P of R' .
- (4) R is a right chain ring such that $Ja \subseteq aR$ for all a in R .
- (5) R is a right chain ring and if $Ra \not\subseteq aR$ then $Ja \subseteq aJ$ for any a in R .
- (6) The submodules of the right \hat{R} -module R are totally ordered.

Proof. (1) \Rightarrow (2) We observed that R is a right chain ring if $\tilde{H}(R)$ is totally ordered. For an element r , not in \hat{R} , we have $\tilde{r} < \tilde{1}$, hence $raR \supseteq aR$ for all $a \in R$ and r in $U(R)$, r^{-1} in \hat{R} follows. (2) \Rightarrow (3) It follows from (2) that \hat{R} is a right chain ring and from Lemma 1 that \hat{R} is right invariant. The set $S = \hat{R} \cap U(R)$ is multiplicatively closed and $P = \hat{R} \setminus S$ is a prime ideal in \hat{R} . Finally, $R = \hat{R}_P = \hat{R}S^{-1}$ is the localization of \hat{R} at P .

To prove that (3) implies (1) we need a few lemmas.

Let R be a right invariant right chain ring. We write $\tilde{T} = \{\tilde{t} \in \tilde{H}(R) | t \in T\}$ for a subset $T \subseteq R^*$ and we say $\tilde{T} (\neq \emptyset)$ is R -convex if for $tR \subseteq sR \subseteq R$, t in T , the element \tilde{s} is contained in \tilde{T} . One can check the following two statements.

LEMMA 3. *There is a one-to-one correspondence between the set of R -convex subsets of $\tilde{H}(R)$ and the right ideals $\neq R$ given by*

$$\begin{aligned}\tilde{S} &\longrightarrow \tilde{S}' = \{x \in R | \tilde{x} \notin \tilde{S}\} \cup \{0\} \\ I &\longrightarrow I' = \{\tilde{x} \in \tilde{H}(R) | xR \supset I\}\end{aligned}$$

where \tilde{S} is R -convex and I is a right ideal $\neq R$.

LEMMA 4. *The R -convex subset \tilde{S} is a subsemigroup of $\tilde{H}(R)$ if and only if $\tilde{S}' = P$ is a completely prime ideal of R .*

We consider the situation as described in the last lemma. Then $S = \{x \in R | \tilde{x} \in \tilde{S}\}$ is a multiplicatively closed saturated (i.e., ab in S implies a, b in S) right Ore system in R . The corresponding prime ideal is $P = R \setminus S$ and $R_P = RS^{-1}$ is the corresponding localization. Set $N = N(S) = \{r \in R | ra = as_a, s_a \text{ in } S \text{ for all } a \neq 0 \text{ in } R\}$. N is an R -convex subsemigroup of S maximal with the property that $a^{-1}Na \subseteq N$ for all nonzero a in R . To see this, one observes that with n in N , $nR \subseteq mR \subseteq R$, we have $n = mr$ for some r and $na = as_a = am'r'$ for m', r' in R with $ma = am'$, $ra = ar'$. Therefore $m'r' = s_a$ is in S and m' in S , and m in N . Further, n in N and $na = as_a$ implies s_a in N .

To N there corresponds a prime ideal $Q = R \setminus N$ with $P \subseteq Q \subseteq J$. We want to describe $\tilde{H}(R_P)$ and we will get the result by considering two special cases:

- (i) $N(S) = S$, i.e., $Q = P$ (Lemma 5) and
- (ii) $N(S) = U(R)$, i.e., $Q = J$ (Lemma 6).

LEMMA 5. *Let R be a right invariant right chain ring, P a prime ideal in R , $S = R \setminus P$. Assume $N(S) = N = S$. Then R_P is again right invariant and $\tilde{H}(R_P) \simeq \tilde{H}(R)/\tilde{N} = \bar{H}$.*

Proof. That R_P is again right invariant follows from the fact that every principal right ideal in R_P has the form aR_P with a in R and that $sa = as_a$ for all a in R , s_a in S if s is in $S = N$. Hence $rs^{-1}aR_P = raR_P = ar'R_P$ with $ra = ar'$, r, a in R . If one defines $\tilde{r}_1 \equiv \tilde{r}_2$, r_1, r_2 nonzero elements in R , if and only if $r_1 = r_2n$ or $r_1n = r_2$ for some n in N , then " \equiv " is a congruence relation defined on \tilde{H} , and we write $H = \tilde{H}(R)/\tilde{N}$ for the factor semigroup modulo this congruence. Further, $\tilde{r}_1 > \tilde{r}_2$ in \tilde{H} if and only if $r_1 > r_2$ in $\tilde{H}(R)$ and $\tilde{r}_1 \neq \tilde{r}_2$. It follows that $\bar{H} \simeq \tilde{H}(R_P)$ as totally ordered semigroups.

LEMMA 6. *Let R be a right invariant, right chain ring, P a prime ideal in R , $S = R \setminus P$. Assume $N(S) = U(R)$. Then R_P is not right invariant if $P \subset J$ and $\tilde{H}(R_P) \simeq \tilde{H}(R)\tilde{S}^{-1}$.*

Proof. $\tilde{H}(R)$ contains the subsemigroup \tilde{S} . We will prove that under the above assumption $\tilde{H}(R)$ can be embedded into the semigroup $\tilde{H}(R)\tilde{S}^{-1} = \{\tilde{r}\tilde{s}^{-1} | r \in R^*, s \in S\}$ of fractions for $\tilde{H}(R)$.

The semigroup $\tilde{H}(R)$ is totally ordered and $\alpha\beta = \alpha\gamma$ for α, β, γ in $\tilde{H}(R)$ implies $\beta = \gamma$. Since the other cancellation law does not hold in general, $\tilde{H}(R)$ itself may not be embeddable into a group. But for every \tilde{r} in $\tilde{H}(R)$ and \tilde{s} in \tilde{S} there exists an element \tilde{a} in $\tilde{H}(R)$ with $\tilde{r}\tilde{a} = \tilde{s}$ or $\tilde{r} = \tilde{s}\tilde{a}$ and $\tilde{H}(R)\tilde{S}^{-1}$ exists ([3], Prop. 5.1; page 21) if we can show that $\tilde{r}_1\tilde{s} = \tilde{r}_2\tilde{s}$ implies $\tilde{r}_1 = \tilde{r}_2$ for \tilde{r}_1, \tilde{r}_2 in $\tilde{H}(R)$, \tilde{s} in \tilde{S} .

We can assume $r_1 = r_2c$ for some c in R and we are done if we can show that c is in N . But, $\tilde{r}_1\tilde{s} = \tilde{r}_2\tilde{s}$ implies $r_1cs = r_2s\varepsilon$ for some ε in $U(R)$. Therefore $cs = s\varepsilon$ and c is an element of S . Let a be in R . If a is in S then $ca = ac'$ with c' in S . If a is not in S then $a = sa_1$ for some a_1 in R and $ca = csa_1 = sea_1 = sa_1\varepsilon' = a\varepsilon'$ with ε' in $U(R)$. Hence, c is in $N = U(R)$ and $K = \tilde{H}(R)\tilde{S}^{-1} = \{\tilde{r}\tilde{s}^{-1} | r \in R^*, s \in S\}$ exists.

This semigroup is totally ordered if we define $\tilde{r}_1\tilde{s}_1^{-1} \geq \tilde{r}_2\tilde{s}_2^{-1}$ if and only if for all \tilde{s}, \tilde{s}' , with $\tilde{s}_1\tilde{s} = \tilde{s}_2\tilde{s}'$ we get $\tilde{r}_1\tilde{s} \geq \tilde{r}_2\tilde{s}'$.

This last condition is equivalent to $\tilde{r}_1 \geq \tilde{r}_2\tilde{s}$ if $s_1 = s_2s$ and $\tilde{r}_1\tilde{s} \geq \tilde{r}_2$ if $s_1s = s_2$ where s is some element in S . For the necessary computations it is the easiest to write any finite number of elements in K in the form $\tilde{r}_i\tilde{s}^{-1}$, $i = 1, \dots, n$.

It is a bit tedious to check that K is a totally ordered semigroup with unit element such that

- (i) $\alpha \geq \beta$ in K implies that there exists γ in K with $\alpha = \beta\gamma$
- (ii) $\gamma\alpha = \gamma\beta$ implies $\alpha = \beta$ where α, β, γ are in K .

Further, it follows from these conditions that all elements $\gamma \leq \tilde{1}$ in K have an inverse in K .

It remains to show that $K \simeq \tilde{H}(R_P)$ as ordered semigroups where the isomorphism is given by $\tilde{r}\tilde{s}^{-1} \mapsto \tilde{r}s^{-1}$. (Here \tilde{r}, \tilde{s} are elements in $\tilde{H}(R)$, $\tilde{r}s^{-1}$ is an element in $\tilde{H}(R_P)$.) We shall show here that the given correspondence is one-to-one and omit the rest.

Let $\tilde{r}_1s^{-1} = \tilde{r}_2s^{-1}$ i.e., $r_1s^{-1}aR_P = r_2s^{-1}aR_P$ for all a in R_P ; in particular $r_1s^{-1}sbR_P = r_2s^{-1}sbR_P$ for all b in R and $r_1bR_P = r_2bR_P$, $r_1b = r_2bs'$ or $r_1bs' = r_2b$ for some s' in S follows. Comparing r_1 and r_2 yields $r_1 = r_2c$ or $r_2 = r_1c$ for some c in N and $\tilde{r}_1 = \tilde{r}_2$ in $\tilde{H}(R)$. If conversely $\tilde{r}_1\tilde{s}^{-1} = \tilde{r}_2\tilde{s}^{-1}$ in K we get $\tilde{r}_1 = \tilde{r}_2$ in $\tilde{H}(R)$ and therefore $r_1s^{-1}aR_P = r_2s^{-1}aR_P$ for all a in R : If a is in S this is obvious, otherwise $a = sb$ and $r_1bR = r_2bR$ implies $r_1s^{-1}aR_P = r_2s^{-1}aR_P$ in that case. Finally let s be in $S \setminus U(R)$. Then there exists a in R with $sa = ag$ and g not in S since s is not in N . This shows that $s^{-1}aR_P \supset aR_P$ and R_P is not right invariant.

If we combine Lemma 5 and Lemma 6 we get the following result:

THEOREM 2. *Let R be a right invariant right chain ring, P a*

prime ideal in R , $S = R \setminus P$; $N = \{x \in R \mid xa = as_a, s_a \text{ in } S \text{ for all } a \in R\}$. Then:

(1) $\tilde{H}(R_P) \simeq \tilde{H}\tilde{S}^{-1}$ is a totally ordered semigroup with $\tilde{H} = \tilde{H}(R_Q) \simeq \tilde{H}(R)/\tilde{N}$ and $\tilde{S} \simeq \tilde{S}/\tilde{N}$; $Q = R \setminus N$ is a prime ideal and R_Q is right invariant.

(2) R_P is right invariant if and only if $N = S$.

With Theorem 2 the equivalence of (1), (2), (3) in Theorem 1 is proven.

We prove the equivalence of (1) and (4). If $\tilde{H}(R)$ is totally ordered and j in $J(R)$, then $\tilde{j} \leq \tilde{1}$ is impossible, since this implies $jR = R$, j a unit. Hence $jaR \subseteq aR$ for all a in R . Conversely if R is a right chain ring with $Ja \subseteq aR$ for all a in R we must show that for any nonzero elements x, y in R either $\tilde{x} \leq \tilde{y}$ or $\tilde{y} \leq \tilde{x}$. If we assume on the contrary that there exist a, b in R with $xaR \subset yaR$ and $ybR \subset xbR$ we obtain $xa = yav_1$, $yb = xbv_2$ and say $a = bs$ for v_1, v_2, s in J (the case $b = as$ is similar). Then $ya = ybs = xbv_2s = xbsv'_2 = xav'_2 = yav_1v'_2$ and $ya = 0$ where $v_2s = sv'_2$ for some v'_2 in R , using (4).

The implication (5) \Rightarrow (4) is obvious. To prove (4) \Rightarrow (5) assume there is an a in R with $Ra \not\subseteq aR$ and $Ja \not\subseteq aJ$, but $Ja \subseteq aR$. Then there exist elements u in $U(R)$, n in J with $uaR \supset aR$ and $uan = a$; and elements n' in J , u' in $U(R)$ with $n'a$ in aR , but not in aJ , hence $n'au' = a$. This leads to $un'au'n = a$ and with $Ja \subseteq aR$ to $a = 0$, a contradiction. The equivalence of (1) and (6) follows from Lemma 1(2) and with this Theorem 1 is proved completely.

DEFINITION. A right chain ring R that satisfies the equivalent conditions of Theorem 1 is called *semi-invariant*.

Since $\tilde{H}R$ is not known even if R is right invariant unless R is also right noetherian or satisfies some other extra condition (see [1]) we cannot describe the structure of $\tilde{H}(R)$ for a semi-invariant ring R . It follows from Theorem 2 that this semigroup is a group of fractions of a semigroup $H = \tilde{H}(R')$ where R' is a right invariant right chain ring with respect to a subsemigroup T of H which satisfies

(1) If t is in T , h in H and e the unit element in H with $e \leq h \leq t$, then h is in T .

(2) For every $e \neq t$ in T there exist h and k in H with $th = hk$ and k not in T .

(3) $h_1t = h_2t$ for t in T , h_1, h_2 in H implies $h_1 = h_2$.

One sees that $\tilde{H}(R)$, R semi-invariant, not a division ring, is not a group, but we will show that for every totally ordered group G

there exists a semi-invariant ring R such that G can be embedded into $\tilde{H}(R)$.

4. Semi-invariant right chain rings with d.c.c. for prime ideals. Investigating the condition $\tilde{H}(R)$ totally ordered, we were led to semi-invariant right chain rings. The valuation semigroup can then be described using Theorem 2. In many cases we actually have $H(R) \cong \tilde{H}(R)$. The reason for this is the result we will prove in this section: Semi-invariant right chain rings with d.c.c. for prime ideals are right invariant. We recall that an ideal P in R is called completely prime if ab in P implies a or b in P and P is called prime if aRb in P implies a or b in P where a, b are elements in R . It follows from a result of Thierrin ([10]) that a prime ideal P is completely prime if a^2 in P implies a in P .

LEMMA 7. *Every prime ideal P in the semi-invariant ring R is completely prime.*

Proof. Assume a^2 in P and a not in P . Then there exists t_1 in R with at_1a not in P and t_2 in R with $at_2(at_1a)$ not in P . We can assume $R \neq P$ and a in J . Hence $a(t_2at_1)a = a^2r$ for some r in R using (4) of Theorem 1. This contradiction proves the lemma. \square

The next result shows how to produce certain prime ideals.

LEMMA 8. *Let z be an element in R , a semi-invariant ring. Then $D = \cap z^n R$ is a prime ideal.*

Proof. We can assume that z is in J . Then D is a right ideal and we will first show that a^2 in D implies a in D for a in R . Assume a is not in D , then a is in J and $aj = z^n$ for some natural number n and j in J . But then $ajaj = a^2j'j = z^{2n}$ is not in D contradicting a^2 in D . It remains to prove that D is a left ideal. Let x be in D and $x = z^n q_n$, q_n in J follows. For r in R we get $rxrx = rxrz^n q_n = z^n v q_n$ for some v in R . This shows that $(rx)^2$ is in D and hence rx in D . \square

The next theorem will be proved in three steps, Lemmas 9-11.

THEOREM 3. *A semi-invariant right chain ring with d.c.c. for ideals is right invariant.*

Let a be an element in the semi-invariant right chain ring R . By (5) Theorem 1 we have either $Ra \subseteq aR$ or $Ja \subseteq aJ$. In the first

case we are done and in the second we define a mapping ϕ from the set of prime ideals $P \neq R$ into itself by defining P^ϕ as the smallest prime ideal with $Pa \subseteq aP^\phi$. We will show that either $J^\phi = J$ which implies $Ra \subseteq aR$ or $J^\phi \subset J$ and $\{J^{\phi^n}\}$ is a strictly decreasing chain of prime ideals of R .

LEMMA 9. *Let $J = J^\phi$ and $J = mR$, then $Ra \subseteq aR$.*

Proof. We have $ma = am^k v$ for some unit v in R , some integer k , some generator m of J , since as a right ideal $J^\phi = J$ using Lemma 8.

If $Ra \not\subseteq aR$ there exists a unit u in R and an element q in J with $ua = aq$. Since q is in J and $u^{k+1}a = aq^{k+1}$ we obtain $q^{k+1}R \subset m^k R$ and we can assume $qR \subset m^k R$ and $q = m^k vt$ with t in J . With $us = m$, $ma = am^k v$, $mat = am^k vt = aq = ua$ we obtain $sat = a$, s, t in J and $a = 0$ follows.

LEMMA 10. *Let R be semi-invariant, J not finitely generated as a right ideal and $0 \neq a$ an element in R with $Ja \subseteq aJ^\phi$, $J^\phi = J$. Then $Ra \subseteq aR$.*

Proof. Assume $j \neq 0$ in J . We want to find r, s in J with $ra = as$ and $sR \supseteq jR$. Let $P = \cap j^n R$. By Lemma 8, P is a prime ideal and $P \subset J$. Since $J^\phi = J$ there exist elements r_1, s_1 in J with s_1 not in P such that $r_1 a = a s_1$. Either $s_1 R \supseteq jR$ and we are done or there exists an n with $jR \supset \cdots \supset j^{n-1}R \supset s_1 R \supseteq j^n R$. Hence $s_1 q = j^n$ for some q in R . We choose an element z in J with $r_1 = z^m v$ with v in J and some $m > n$. This is possible, since J is not finitely generated: Let $r_1 R \subset xR \neq R$. We obtain $r_1 = xy$ for x, y in J . Choose z_1 in J with $z_1 R \supset xR$ and $z_1 R \supset yR$ and $r_1 = z_1^2 u_1$ follows with u_1 in J . Repeating this process yields an element z with $r_1 = z^m v$, z, v in J , $m > n$. Consider $za = az'$, z, z' in J . We claim $z'R \supseteq jR$. Otherwise $jw = z'$ for some w in J . But $r_1 a = z^m v a = az'^m v' = a s_1$ for some element v' in J with $va = av'$.

Hence $s_1 = z'^m v' = (jw)^m v' = j^m b v'$ for some element b in R . This implies $j^n = s_1 q = j^m b v' q$, a contradiction, since $m > n$. We conclude that we have found an element $r = z$, $s = z'$ with $sR \supseteq jR$ and $ra = as$ for the given element j in J .

If $Ra \not\subseteq aR$ there exist a unit u in R and an element t in J with $ua = at$. By the above argument we have s, r in J with $ra = as$ and $sR \supset tR$. Hence, $sv = t$ for some v in J and $rav = asv = at = ua$. We obtain $a = u^{-1}rav = ak$, k in J and $a = 0$, a contradiction.

REMARK. Under the hypothesis of Lemma 10 we have proved that $J^\phi = J$ is even the smallest two-sided ideal I satisfying $Ja \subseteq aI$.

LEMMA 11. Let R be semi-invariant, a in R with $Ja \subseteq aJ^\phi$ and $J^\phi \subset J$. Then $J^{\phi^{n+1}} \subset J^\phi$ for all n .

Proof. We will write $J^{(n)}$ instead of J^{ϕ^n} . Then $J^{(n+1)} \subseteq J^{(n)}$ and we assume n minimal with $J^{(n)} = J^{(n+1)}$. Let r be in $J^{(n-1)} \setminus J^{(n)}$, $ra = as$ with s in $J^{(n)}$. Then there exists a q in $J^{(n)}$ with $qa = aq'$ and $q'R \supset s^k R$ for some k , since otherwise $J^{(n+1)} = J^{(n)} \subseteq \bigcap s^i R \subset J^{(n)}$. After replacing r by r^k if $k > 1$ we can assume that there is an r in $J^{(n-1)} \setminus J^{(n)}$ with $ra = as$ and an element q in $J^{(n)}$ with $qa = aq'$ and $q'R \supset sR$. Hence $q't = s$ for some t in J and $rv = q$ for some v in $J^{(n)}$. This yields $ra = as = aq't = qat = rvat = rav't$ with v' in J and the contradiction $ra = 0$ proves the lemma. \square

5. Examples, problems and comments. We begin with an example of a semi-invariant right chain ring R such that $\tilde{H}(R)$ contains G where G is a given totally ordered group.

EXAMPLE 1. For very totally ordered group G there exists a semi-invariant right chain ring R such that $\tilde{H}(R)$ contains G .

Let $K = \bigoplus_{i \in \mathbb{Z}} G_i$ where $G_i \simeq G$ for all $i \in \mathbb{Z}$. K is an ordered group with the lexicographic ordering. Next, let $L = \{t^n k | n \in \mathbb{Z}, k \in K\}$ with $t^n k_1 \cdot t^m k_2 = t^{n+m} (k_1^{(m)} k_2)$ be the ordered group where $k = (g_i)$ and $k^{(m)} = (g'_i)$ with $g'_i = g_{i+m}$. Further $t^n k_1 > t^m k_2$ if and only if $n > m$ or $n = m$ and $k_1 > k_2$ in K .

Let $H = \{t^n k \in L | t^n k \geq e, k = (g_i) \text{ with } n \geq 0 \text{ and } g_i = 1_{G_i} \text{ for } i > 0\}$. Then H is a totally ordered semigroup with unit element and both cancellation laws. Further, H is naturally ordered in the sense that $h_1 \geq h_2$ for h_i in H holds if and only if there exists an element $h \geq e$ in H with $h_1 = h_2 h$. Therefore it is possible to construct the generalized power series ring.

$$R' = \{\alpha = \sum x_k a_k | h \in H, a_k \in R \text{ and } T(\alpha) = \{h | a_h \neq 0\} \text{ well ordered in } H\}.$$

R' is a right invariant right chain ring with $\tilde{H}(R') \simeq H$ ([7]).

To the subsemigroup $M = \{t^i(g_i) | g_i = 1_{G_i} \text{ for } i \neq 0\}$ there corresponds an R' -convex subsemigroup in $\tilde{H}(R')$ and a prime ideal P in R' . We put $R_P = R$. Since for h in M we have $ht = th'$ with h' not in M unless $h = 1$, we conclude that $\tilde{H}(R) \simeq HM^{-1} = H \cup M^{-1}$. It follows that G can be embedded into $\tilde{H}(R)$ where R is a semi-invariant right chain ring. We observe that the right ideal $x_i R$ is

not a left ideal and Rx_i is not a right ideal. On the other hand we know ([2]) that for every a in a semi-invariant right and left chain ring either aR or Ra is a two-sided ideal.

EXAMPLE 2. In our next example we construct a right chain ring R such that $\tilde{H}(R)$ is not totally ordered, but that the subgroup $\tilde{U}(R) = \{\tilde{u} | u \text{ in } U(R)\}$ of $\tilde{H}(R)$ is totally ordered with respect to the order as defined in $\tilde{H}(R)$. This condition

$$(U) \quad \tilde{U}(R) \text{ is totally ordered}$$

is therefore weaker than the condition $\tilde{H}(R)$ totally ordered and implies among other things that for a right chain ring R with (U) , a in R , there exists a unit ε in $U(R)$ with $a\varepsilon$ in \hat{R} (see Lemma 12 (ii) below). The basic idea of this construction has been used in [9], [2] and [6]: Let R_1 be a right and left chain ring, $D = Q(R_1)$ the division ring of quotients of R_1 , H a totally ordered semigroup with unit element that satisfies both cancellation laws. Further, let $h_1 \geq h_2$ hold for elements h_1, h_2 in H if and only if $h_1 = h_2 h$ for some h in H . Finally, let τ be a mapping from H into the semigroup $M(D)$ of monomorphism from D to D with $\tau(h_1 h_2) = \tau(h_1) \tau(h_2)$. One then can form the generalized power series ring $D\{\{H\}\} = \{\sum x_h d_h = \alpha | h \text{ in } H, d_h \text{ in } D, T(\alpha) = \{h | d_h \neq 0\} \text{ well ordered in } H\}$ where multiplication is defined by $x_{h_1} x_{h_2} = x_{h_1 h_2}$ and $dx_h = x_h d^{\tau(h)}$. The subring R of $D\{\{H\}\}$ consisting of those elements α with d_e in R_1 is a right chain ring where e is the unit element in H . It does not seem to be easy to determine $\tilde{H}(R)$ in general.

To consider a special case let $F = Q(x, y)$, the field of rational functions in the two indeterminates x and y over the field Q of rational numbers. Then F contains $R_1 = Q[x, y]_{(x)}$, a chain ring one obtains by localizing the polynomial ring $Q[x, y]$ at the prime ideal (x) . We form the skew power series ring $F[[t, \tau]]$, where τ is the automorphism of F exchanging x and y . Finally, R consists of all those power series $\sum t^i f_i(x, y)$ with $f_0(x, y)$ in R_1 . The principal right ideals of R are of the form $t^n x^m R$ with $n = 0, 1, 2, \dots$ and m in \mathbb{Z} , but $m \geq 0$ if $n = 0$. The semigroup $\tilde{H}(R) = \{t^n \widetilde{x^m y^k} | n = 0, 1, 2, \dots; m, k \text{ in } \mathbb{Z} \text{ and } m \geq 0 \text{ if } n = 0\}$. It is $t^{n_1} \widetilde{x^{m_1} y^{k_1}} > t^{n_2} \widetilde{x^{m_2} y^{k_2}}$ if $n_1 > n_2$ or $n_1 = n_2$ and $m_1 > m_2$ with $k_1 \geq k_2$ or $n_1 = n_2$, and $m_1 = m_2$ and $k_1 > k_2$. Finally, we have $\tilde{U}(R) = \{\tilde{y}^k, k \in \mathbb{Z}\} \cong \mathbb{Z}$ as ordered groups. Therefore, $\tilde{H}(R)$ satisfies condition (U) , but is not totally ordered: $\tilde{x}\tilde{y}^{-1}$ and $\tilde{1}$ for example cannot be compared.

We conclude this paper with some observation for right chain rings that satisfy condition (U) .

LEMMA 12. Let R be a ring satisfying condition U .

- (i) Let a, b in R with $aR = bR$. Then either $\tilde{a} \leq \tilde{b}$ or $\tilde{b} < \tilde{a}$.
(ii) For any a in R , R local, exists x in \hat{R} with $aR = xR$.
(iii) Let R be a local ring and $aR \supset bR$. Then there exists for every x with $xR = aR$ a y in R with $\tilde{x} < \tilde{y}$ and $yR = bR$. Similarly for every y in R with $yR = bR$ exists x with $xR = aR$ and $\tilde{x} < \tilde{y}$.

Proof. (i) is obvious, using condition (U). Statement (ii) is correct if a is a unit. We can therefore assume a in J , a not in \hat{R} . Hence $1 + a$ is in $U(R) \setminus \hat{R}$ and $(1 + a)(1 + x) = (1 + x)(1 + a) = 1$ for some x in R . But $1 + x$ and x are in \hat{R} and $a(1 + x) = (1 + x)a = -x$ is in \hat{R} . Since $aR = xR$, (ii) follows.

To prove (iii) assume $b = xp$. Using (ii) there exists a unit u in R with pu in \hat{R} and $bu = xpu$ implies $\tilde{x} < \tilde{b}\tilde{u}$. If $y = ap$ the second part of (iii) is correct for p in \hat{R} . Otherwise we obtain with (ii): $(1 + p)^{-1}p$ is in \hat{R} , $y = a(1 + p)(1 + p)^{-1}p$ and $x = a(1 + p)$. \square

PROBLEMS.

- (1) Describe all rings R for which $\tilde{H}(R)$ satisfies (U). (This class of rings contains all right invariant, in particular all commutative rings.)
(2) Which conditions characterize the semigroups S with $S \cong \tilde{H}(R)$, R a ring or additionally: R a right chain ring.
(3) Find the class of rings R with $\tilde{H}(R)$ lattice ordered.

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