

## LOCALLY INVARIANT AND SEMI-INVARIANT RIGHT CONES

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*A right cone of a group  $G$  is a submonoid  $H$  of  $G$  so that for  $a, b \in H$  either  $aH \subseteq bH$  or  $bH \subseteq aH$  and  $G = \{ab^{-1} \mid a, b \in H\}$ . Valuation rings, right chain rings, the cones of right ordered groups provide examples. It is proved, see Theorem 17, that a semi-invariant right cone  $H$  with d.c.c. for prime ideals satisfies  $Ha \subseteq aH$  for all  $a \in H$ , that is  $H$  is right invariant. Essential is the following Theorem 9: Let  $H$  be a locally invariant right cone in  $G$  with d.c.c. for prime ideals, and let  $I \neq H$  be an ideal in  $H$ . Then  $P_l(I) \subseteq P_r(I)$  for the associated left and right prime ideals of  $I$ .*

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### 1. INTRODUCTION

Right cones generalize at the same time valuation rings and right chain domains as well as positive cones of ordered or right ordered groups. A chain domain  $R$  with skew field of quotients  $F$  corresponds to a valuation function from  $F$  into  $\Gamma \cup \{\infty\}$  for an ordered group  $\Gamma$  if and only if  $R = a^{-1}Ra$  for all  $a \in F^*$ , that is  $R$  is invariant, see Schilling [16]. The cone  $H$  of elements  $h \geq e$  in a group  $G$  for a right order  $\geq$  of  $G$  determines an order for  $G$  if and only if  $g^{-1}Hg = H$  for all  $g \in G$ , again, if  $H$  is invariant under all inner automorphisms of  $G$ . We consider right cones  $H$  of a group  $G$ , see Definition 1, and prove that a semi-invariant right cone  $H$  with minimum condition for prime ideals is right invariant in the sense that  $a^{-1}Ha \subseteq H$  for all  $a \in H$  (Theorem 17). For the proof we use on the one hand the classification of prime segments of a right cone  $H$ , see Theorem 2, and on the other hand the prime ideals  $P_r(I)$  and  $P_l(I)$  associated with an ideal  $I$  in a locally invariant right cone  $H$ , see Definition 5. If  $H$  satisfies the minimum condition on prime ideals then it is proved that  $P_l(I) \subseteq P_r(I)$ , see Theorem 9. Some examples are given to illustrate the results.

For sets  $A$  and  $B$  the notation  $A \subset B$  indicates the strict inclusion of  $A$  in  $B$ .

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## 2. RIGHT CONES AND PRIME SEGMENTS

**Definition 1.** Let  $G$  be a group. A submonoid  $H$  of  $G$  is a right cone of  $G$  if the following two conditions are satisfied:

- (i) For  $a, b \in H$  there is an element  $c \in H$  with  $a = bc$  or  $b = ac$ ;
- (ii) The group  $G$  is generated by  $H$ , i.e.,  $G = \{ab^{-1} \mid a, b \in H\}$ .

In (ii) we use the fact that  $H$  is a right Ore submonoid of  $G$  by (i). Let  $H$  be a right cone of  $G$ , then  $U(H) = H \cap H^{-1}$  is the subgroup of units of  $H$ .

A subring  $V$  of a field  $K$  is a valuation ring if and only if  $V^* = V \setminus \{0\}$  is a (right) cone in the group  $K^* = (K \setminus \{0\}, \cdot)$ .

A group  $G$  is ordered if and only if  $G$  contains a right and left cone  $\Pi$  with  $U(\Pi) = \{e\}$  which in addition is invariant, i.e.,  $\Pi a = a\Pi$  for all  $a \in \Pi$ . Similarly, a subring  $R$  of a skew field  $F$  is a right chain order of  $F$  if and only if  $R^*$  is a right cone of  $F^*$ . A group  $G$  contains a right cone  $H$  with  $U(H) = \{e\}$ , if and only if  $G$  contains a right and left cone  $H'$  with  $U(H') = \{e\}$ . (see Kopytov and Medvedev [12]). This is exactly the case when  $G$  is right ordered, but  $G$  may not be ordered in this case, (see also Conrad [7]).

Let  $H$  be a right cone in a group  $G$ . A nonempty subset  $I$  of  $H$  is a *right ideal* of  $H$  if  $IH \subseteq I$ , *left ideals* and *ideals* are defined similarly. An ideal  $I$  of  $H$  is called *prime* if  $aHb \subseteq I$ ,  $a, b \in H$ , implies  $a \in I$  or  $b \in I$ , and *completely prime* if this conclusion follows from  $ab \in I$ . The *maximal right ideal*  $J(H) = H \setminus U(H)$  is a completely prime ideal for any right cone  $H$  with  $U(H) \neq H$ .

It can easily be checked that prime ideals, respectively, completely prime ideals  $P$  are already characterized by the property that  $X^2 \subseteq P(x^2 \in P)$  implies  $X \subseteq P(x \in P)$  with  $X$  an ideal.

If  $H$  is a right cone in  $G$  and  $P' \supset P''$  are completely prime ideals of  $H$  so that there are no further completely prime ideals between  $P'$  and  $P''$ , then we write  $P' \supset P''$  and say that  $P' \supset P''$  is a *prime segment* of  $H$ . If  $P'$  is the minimal completely prime ideal of  $H$ , then  $P' \supset \emptyset$  is also considered as a prime segment. We say that an element  $a \in H$  is in the prime segment  $P' \supset P''$  if  $a \in P' \setminus P''$ , and the right ideal  $I$  of  $H$  is in  $P' \supset P''$  if  $P' \supseteq I \supset P''$ .

The next result (see Brungs and Törner [5]) shows that a prime segment of  $H$  falls into one of three categories; (see, among others, [1, 3, 8], for examples). An extension of this result can be found in [9].

**Theorem 2.** For a prime segment  $P' \supset P''$  of a right cone  $H$  in a group  $G$  one of the following alternatives occurs:

- (a) The prime segment is right invariant, i.e.,  $P'a \subseteq aP'$  for all  $a \in P' \setminus P''$ ;
- (b) The prime segment is simple, i.e., there are no further ideals in  $P' \supset P''$ ;
- (c) The prime segment is exceptional, i.e., there exists a prime ideal  $Q$  in  $P' \supset P''$  which is not completely prime. Then there are no further ideals between  $P'$  and  $Q$  and  $\bigcap_{n \in \mathbb{N}} Q^n = P''$ .

## 3. LOCALLY INVARIANT RIGHT CONES

The right cone  $H$  in  $G$  is called *locally invariant* if all its segments  $P' \supset P''$  are right invariant. In that case  $a \in P' \setminus P''$  implies  $\bigcap a^n H = P''$ .

This is an immediate consequence of the following result (see [5], Proof of Theorem 1.14).

**Lemma 3.** *Let  $a$  be an element in a right invariant prime segment  $P' \supset P''$  of the right cone  $H$  of the group  $G$ . Then there exists an ideal  $I \subseteq P'$  of  $H$  with  $a \in I$  and  $\bigcap_{n \in \mathbb{N}} I^n = P''$ .*

It follows from Theorem 2 that a locally invariant right cone  $H$  does not contain prime ideals  $Q$  that are not completely prime, since such an ideal determines an exceptional segment.

The following result will be used in the proof of one of the main theorems.

**Lemma 4.** *If  $H$  is a locally invariant right cone of a group  $G$ , then  $Ha^2 \subseteq aH$  for all  $a \in H$ .*

*Proof.* If  $Ha^2 \not\subseteq aH$ , there exist  $r \in H$ ,  $j \in J(H)$  with  $ra^2j = a$ . Substituting this expression for  $a$  back into this equation repeatedly one obtains

$$a = (ra)ra^2j^2 = (ra)^n a j^n \in (ra)^n H$$

for any  $n \geq 1$ . If  $ra$  is in the prime segment  $P' \supset P''$ , then it follows from Lemma 3 that the element  $a$  and hence  $ra$  is in  $P''$ . This is a contradiction which proves the statement of the lemma.  $\square$

If  $J(H) = P$  is the only prime ideal of a locally invariant right cone  $H$ , then  $H$  is *right invariant*, i.e.,  $Ha \subseteq aH$  for all  $a \in H$ . However, there exist locally invariant right cones with just two prime ideals which are not right invariant. Chain orders  $R$  in a division ring  $F$  finite dimensional over its center are locally invariant (see Gräter [10] or [11]), see also Example B.

#### 4. ASSOCIATED PRIME IDEALS

To each right ideal  $I$  of  $H$ , we associate certain completely prime ideals.

**Definition 5.** Let  $I \neq H$  be a right ideal in a right cone  $H$  in  $G$ . Then  $P_r(I) = \{p \in H \mid \exists t \in H \setminus I \text{ with } tp \in I\}$ . If  $I$  is an ideal, then  $P_l(I) = \{p \in H \mid \exists t \in H \setminus I \text{ with } pt \in I\}$ .

**Proposition 6.** *Let  $H$  be a right cone of the group  $G$  and  $I \neq H$  a right ideal of  $H$ . Then:*

- (i) *If  $I$  is an ideal of  $H$ , then  $P_l(I)$  is a completely prime ideal of  $H$  containing  $I$ ;*
- (ii) *If  $H$  is locally invariant, then  $P_r(I)$  is a completely prime ideal containing  $I$ .*

*Proof.* (i) It follows from the definition that  $P_l(I)$  is a left ideal of  $H$  containing  $I$  and that  $H \setminus P_l(I)$  is multiplicatively closed. To prove that  $P_l(I)$  is a right ideal, let  $x$  be an element in  $P_l(I)$  and  $r \in H$ . There exists  $t \in H \setminus I$  with  $xt \in I$ . If  $rt = tr'$ , then  $(xr)t = (xt)r' \in I$  with  $t \notin I$  and  $xr \in P_l(I)$ . Otherwise,  $rtr'' = t$  for some  $r'' \in H$  and  $xt = x(rtr'') = (xr)tr'' \in I$  with  $tr'' \notin I$ , since  $I$  is an ideal.

(ii) It follows from the definition that  $P_r(I)$  is a right ideal of  $H$  containing  $I$  and  $H \setminus P_r(I)$  is multiplicatively closed. To prove that  $P_r(I)$  is also a left ideal, let  $x \in P_r(I)$  with  $tx \in I$  for some  $t \in H \setminus I$ , and let  $r \in H$ . Then  $t^{-1}I = \{a \in H \mid ta \in I\}$  is a right ideal of  $H$  and  $t^{-1}I$  is either a completely prime ideal or it defines a prime segment  $P' \supset P''$  which contains  $t^{-1}I$ . Since  $tx \in I$ , it follows that  $x \in t^{-1}I$ . If  $t^{-1}I$  is an ideal, then  $rx \in t^{-1}I$  and  $t(rx) \in I$ . If  $t^{-1}I \in P' \supset P''$  and  $x \in P''$ , then  $rx \in P'' \subset t^{-1}I$ , hence  $trx \in I$  in both cases, and we obtain  $rx \in P_r(I)$ .

Otherwise,  $x \in t^{-1}I \setminus P''$ , and we assume that  $rx \notin P_r(I)$ . Then  $rxs_1 = x$  for some  $s_1 \in H$  and  $s_1 \in P_r(I)$  follows since  $x \in P_r(I)$ ,  $rx \notin P_r(I)$ , and  $H \setminus P_r(I)$  is multiplicatively closed. Repeating this argument, we obtain  $x = rxrxs_2 = \dots = (rx)^n s_n$  for  $s_n \in P_r(I)$  and  $n \geq 1$ . Since  $x \in P'$ , it follows  $rx \in P'$  and  $x \in \bigcap_{n \in \mathbb{N}} (rx)^n H = P''$  by Lemma 3. This is a contradiction that proves (ii).  $\square$

We observe that it follows directly from the definition that  $P_r(P) = P_l(P) = P$  for a completely prime ideal  $P$  in the right cone  $H$ . We do not know whether  $P_r(I)$  is a left ideal for  $I$  a right ideal in the right cone of a group  $G$ . However,  $P_r(I)$  is a prime ideal if  $H$  is a right and left cone or if  $H$  equals  $R^*$  for a right chain domain  $R$ .

We call  $P_l(I)$  the *left associated prime ideal* of the ideal  $I$ , and if  $P_r(I)$  is a left ideal, then we call  $P_r(I)$  the *right associated prime ideal* of  $I$ .

We need two more results about associated prime ideals.

**Lemma 7.** *Let  $H$  be a right cone of  $G$  and  $I$  an ideal of  $H$  in the right invariant prime segment  $P' \supset P''$ . Then  $P_r(I) = P'$  implies  $P_l(I) = P'$ .*

*Proof.* Assume  $s \in H \setminus P'$  and  $sx \in I$ . Then we have to show that  $x \in I$ . If  $sxt = x$  for some  $t \in H$ , then  $x \in I$  since  $sx \in I$ . If  $sx = xt$  for  $t \in H$  and  $t \notin P_r(I) = P'$ , then  $x \in I$ . We are left to consider  $sx = xt$  with  $t \in P'$ . Then  $s^n x = xt^n$  and  $s^n q_n = x$  for  $q_n \in H$  and  $n \geq 1$ . Hence,  $s^n x = s^n q_n t^n$ ,  $x = q_n t^n$  and we obtain  $x \in P''$  if we apply Lemma 3 since there exists an ideal  $A$  of  $H$  with  $t \in A$ ,  $\bigcap_{n \in \mathbb{N}} A^n = P''$ . It follows that  $x \in I$  and the lemma is proved.  $\square$

The next result says something about the right associated prime ideal of the product of certain ideals.

**Lemma 8.** *Let  $H$  be a locally invariant right cone of the group  $G$ , let  $I \neq H$  be a right ideal of  $H$  with  $P_r(I) = P$  and  $Q$  a prime ideal of  $H$  with  $Q \subseteq P$ . Then  $P_r(IQ) = Q$ .*

*Proof.* We first consider the case  $IQ = I$  and  $P_r(IQ) = P_r(I) = P$  follows. We want to prove that in this situation  $Q \subset P$  is not possible. Otherwise, there exists  $p \in P \setminus Q$ ,  $x \in H \setminus I$ , and  $xp = aq \in I = IQ$  for  $a \in I, q \in Q$ . Further,  $a = xa_1$  for  $a_1 \in H$  and  $xp = aq = xa_1 q$  and  $p = a_1 q \in Q$  follows. This contradiction proves the statement of the lemma in this case.

Now we assume  $IQ \subset I$  and an element  $a$  exists with  $a \in I \setminus IQ$ , hence  $aQ \subseteq IQ$ . Conversely, if  $xq \in IQ \setminus aQ$ ,  $x \in I, q \in Q$ , then  $a = xs$  for  $s \notin Q$ . Hence, there exists  $q_1 \in Q$  with  $q = sq_1$ . Therefore,  $xq = xsq_1 = aq_1 \in aQ$ , a contradiction that shows  $IQ = aQ$ .

To prove that  $P_r(aQ) = Q$  we observe that  $a \notin aQ$  and  $Q \subseteq P_r(aQ)$ . If  $Q \subset P_r(aQ)$ , there exist  $s \notin Q, x \notin aQ$  with  $xs \in aQ$ , hence  $xs = aq$  for some  $q \in Q$ .

If  $ar_1 = x$  for  $r_1 \in H$ , then  $ar_1s = xs = aq$  and  $r_1s = q \in Q$ , a contradiction since  $s, r_1 \notin Q$ . If  $a = xr_2$  for  $r_2 \in H$ , then  $aq = xs = xr_2q$  and  $s = r_2q \in Q$ , again a contradiction. It follows that  $Q = P_r(aQ)$  and  $P_r(IQ) = Q$  in all cases.  $\square$

## 5. LOCALLY INVARIANT RIGHT CONES WITH d.c.c. FOR PRIMES

Since right cones  $H$  are assumed to be locally invariant for the rest of this article, we will say “prime ideal” instead of “completely prime ideal.”

We make one further assumption: The right cone  $H$  does not have infinite strictly descending chains of prime ideals, we say  $H$  satisfies the descending chain condition (d.c.c.) for prime ideals or the minimum condition for prime ideals.

The following result is essential for the proof of the main theorem.

**Theorem 9.** *Let  $H$  be a locally invariant right cone in a group  $G$  with d.c.c. for prime ideals, and let  $I \neq H$  be an ideal of  $H$ . Then  $P_l(I) \subseteq P_r(I)$ .*

*Proof.* If  $I = P$  is prime, then we observed earlier that  $P_l(I) = P_r(I)$ , and we are done.

We can, therefore, assume that  $I$  is not a prime ideal, and hence there exists a prime segment  $P' \supset P''$  of  $H$  with  $P' \supset I \supset P''$ , where  $P' = \bigcap_{P \supseteq I} P$  with  $P$  prime and  $P'' = \bigcup_{I \supset P} P$  with  $P$  prime.

We assume that the statement of the theorem is not true and consider as a counterexample an ideal  $I$  of  $H$  with  $P_l(I) \supset P_r(I)$  and  $P_r(I)$  minimal; as above  $P' \supset I \supset P''$  for the prime segment  $P' \supset P''$  of  $H$  containing  $I$ .

We compare the prime ideals  $P_r(I)$  and  $P'$ : If  $P_r(I) = P'$ , then  $P_l(I) = P'$  by Lemma 7. Hence, we can assume  $P_r(I) = P'_1 \supset P'$ . Since  $H$  satisfies d.c.c. for prime ideals, there exists a prime ideal  $P'_1$  in  $H$  so that  $P_l(I) \supseteq P'_1 \supset P'_1$ . We, therefore, have

$$P_l(I) \supseteq P'_1 \supset P'_1 = P_r(I) \supset P' \supset P''$$

and  $P' \supset I \supset P''$ .

We choose an element  $s \in P'_1 \setminus P'_1$  and since  $s \in P_l(I)$ , there exists  $x \in H \setminus I$  with  $sx \in I$ ; it follows that  $x \in P' \setminus I$ . By discussing all possibilities, we will eventually reach the contradictory conclusion that  $x \in I$ , which proves the theorem.

We compare the elements  $sx$  and  $x \in H$  and obtain the contradiction  $x \in I$  in the first case where  $sxt = x$  for some  $t \in H$ . We are left to consider the case  $sx = xt$  for some  $t \in H$ . If  $t \in P'$ , there exists by the right invariance of the segment  $P' \supset P''$  (see Lemma 3) a power  $n$  with  $t^n H \subseteq x^3 H \subseteq P'$  and an element  $r \in H$  with  $t^n = x^3 r$ . Therefore  $s^n x = xt^n = x^4 r$  and  $s^n v = x$  for some  $v \in H$  since  $s \notin P'$ ,  $x \in P'$ . Therefore,  $s^n x = x^4 r = x(x^3 r) = s^n v x^2 x r = s^n x v' x r$ , where the result  $Ha^2 \subseteq aH$  (see Lemma 4) was used in the last equation to find an element  $v' \in H$  with  $vx^2 = xv'$ .

By cancelling  $s^n x$  we obtain  $e = v' x r \in P' \subset H$ , a contradiction. This shows that our assumption  $t \in P'$  was wrong, and we must consider  $sx = xt$  for  $t \notin P'$ . Again, using the assumption that  $H$  is locally right invariant and Lemma 3, we have  $\bigcap_{n \in \mathbb{N}} t^n H = P_2 \supseteq P'$ . It follows that  $t \in P_r(I) \setminus P'$ .

We want to show as our next result that  $(P'_1 \setminus P_2)x \subseteq xH$  and recall  $P'_1 = P_r(I)$ . If this claim is not true, then there exists  $p \in P'_1 \setminus P_2$  with  $pxq = x$  for some  $q \in J(H)$  and the segment  $P'_q \supset P''_q$  with  $q \in P'_q \setminus P''_q$  so that  $P'_q$  is minimal. Since  $s \in P'_1 \setminus P''_1$  and

$p \in P'_1$ , there exists for every integer  $n$  an element  $q_n \in H$  with  $s^n q_n = p$  and  $q_n \in P'_1 \setminus P_2$  follows.

We first assume that there exists  $n \geq 1$  with  $q_n x = x q'_n$  for some  $q'_n \in H$ . Then

$$x = p x q = s^n q_n x q = s^n x q'_n q = s^{n-1} (s x) q'_n q \in I,$$

since  $s x \in I$ , and the contradiction  $x \in I$  follows.

We can, therefore, assume  $q_n x H \supset x H$ , hence  $q_n x q'_n = x$  for  $q'_n \in J(H)$  and all  $n \geq 1$ . At the beginning of the proof of the claim  $p \in P'_1 \setminus P_2$  was chosen so that  $p x q = x$  and  $P'_q$  minimal. Hence,  $P'_{q'_n} \supseteq P'_q$  and there exists by Lemma 3 a natural number  $k$  with  $q'_n H \supset q^k H$  and therefore  $q'_n z = q^k$  for some  $z \in J(H)$ .

We obtain

$$\begin{aligned} x &= p x q = p^k x q^k = p^{k-1} p x q^k = p^{k-1} s^n q_n x q^k \\ &= p^{k-1} s^n q_n x q'_n z = p^{k-1} s^{n-1} (s x) z \in I, \end{aligned}$$

where we used the equations  $p = s^n q_n$ ,  $q^k = q'_n z$ , and  $q_n x q'_n = x$ . The contradiction shows that the above claim is true, and we can assume that

$$(P'_1 \setminus P_2) x \subseteq x H. \tag{1}$$

Again we pick  $p \in P'_1 \setminus P_2$  and obtain elements  $q_n$  with  $s^n q_n = p$  and  $q_n \in P'_1 \setminus P_2$  follows for all  $n \geq 1$ , since  $s \in P'_1 \setminus P'_1$ .

We obtain  $p x = s^n q_n x = s^n x q'_n$  for elements  $q'_n \in H$  by Eq. (1) and  $n \geq 1$ . Since  $s x = x t$ , we have  $s^n x = x t^n$  and  $p x = s^n x q'_n = x t^n q'_n = (x t) (t^{n-1} q'_n)$  for all  $n \geq 1$ . Since  $s x = x t \in I$  and  $\bigcap_{m \in \mathbb{N}} t^m H = P_2$ , it follows that  $p x \in IP_2$ .

Since  $P_2 \subset P_r(I)$ , Lemma 8 can be applied to obtain  $P_r(IP_2) = P_2$ , and  $P_l(IP_2) \subseteq P_r(IP_2)$  follows since  $I$  was a counter example to the statement in the theorem with  $P_r(I)$  minimal. From  $p x \in IP_2$ ,  $p \in P'_1 \setminus P_2$ , and  $P_l(IP_2) \subseteq P_2$ , it follows that  $x \in IP_2 \subseteq I$ . Since all possible cases lead to contradictions, there is no counter example, and  $P_l(I) \subseteq P_r(I)$  follows.  $\square$

Before we will prove that semi-invariant right cones  $H$  with d.c.c. for prime ideals are right invariant, we investigate the behaviour of prime ideals in locally invariant right cones under conjugation.

Let  $H$  be a locally invariant right cone in  $G$  and  $P$  a prime ideal in  $H$  with  $P a \subseteq a J$  for some element  $a \in H$ . Then  $P^a$  is defined as the intersection of all prime ideals  $Q$  of  $H$  with  $P a \subseteq a Q$ , that is  $P^a$  is the smallest prime ideal in  $H$  that contains  $a^{-1} P a$ . Similarly, we define  $I^a = I(P, a)$  as the smallest ideal of  $H$  with  $a^{-1} P a \subseteq I(P, a) = I^a$ .

**Proposition 10.** *Let  $H$  be a locally invariant right cone,  $P$  a prime ideal, and  $a$  an element in  $H$  with  $P a \subseteq a J$ . Then:*

- (i) *If  $P = P^2$ , then  $P^a = I^a$ ;*
- (ii) *Assume  $ra = as$  for  $r \in H \setminus P$ ,  $s \in H$ . Then  $s \notin P^a$ ;*
- (iii) *Assume  $ras = a$  with  $r \in H \setminus P$ ,  $s \in H$ . Then  $s \notin P^a$ .*

*Proof.* (i) Assume  $I^a \subset P^a$ . Since  $P^n a = Pa \subseteq a(I^a)^n$  for all  $n$ , it follows that  $Pa \subseteq aP_1$ , for the prime ideal (see Lemma 3)  $P_1 = \bigcap_{n \in \mathbb{N}} (I^a)^n \subset P^a$ , a contradiction to the minimality of  $P^a$ .

(ii) If  $ra = as$  for  $r \in H \setminus P$ ,  $s \in P^a$ , there exists elements  $p \in P$ ,  $q \in P^a$  with  $pa = aq$  and  $sH \subset qH$  or  $sH$  and  $qH$  are in the same prime segment of  $H$ . By the local invariance of  $H$  there exists in any case an integer  $n \geq 1$  with  $s^n = qv$  for some  $v \in J$ . We also have  $r^n a = as^n$  and  $r^n w = p$  for an element  $w \in P$ , since  $r \notin P$ . Hence,

$$r^n a = as^n = aqv = pav = r^n wav = r^n aw'v,$$

where  $wa = aw'$  for  $w \in P$ ,  $w' \in J$  is used in the last equation. The contradiction  $e = w'v \in J$  proves the statement (ii).

(iii) If  $ras = a$ ,  $r \in H \setminus P$ ,  $s \in P^a$ . Then there exists, as in the proof of (ii), an element  $p \in P$ ,  $q \in P^a$  with  $pa = aq$  and a natural number  $n$  with  $s^n = qv$  for  $v \in J$ . Hence,  $a = ras = r^n as^n = r^n aqv = r^n pav \in PaJ \subseteq aJ$ , a contradiction, and (iii) follows.  $\square$

We consider a special case.

**Lemma 11.** *Let  $H$  be a locally invariant right cone and  $a$  an element in the segment  $P \supset P'$  of  $G$ . Then  $P^a = P$ .*

*Proof.* It follows from Theorem 2 (a) that  $Pa \subseteq aP$ , and hence  $P^a \subseteq P$ . Since  $a^2 \in Pa \subseteq aP^a$ , we have  $a \in P^a$  and  $P^a = P$ .  $\square$

**Proposition 12.** *Let  $H$  be a locally invariant right cone with  $a$  an element in  $H$  and  $P$  a prime ideal in  $H$  with  $Pa \subseteq aJ$ . Then:*

- (i)  $aP^a$  is an ideal;
- (ii)  $P_1(aP^a) = P$ ;
- (iii)  $P_r(aP^a) = P^a$ .

*Proof.* (i) Let  $aq$  be an element in  $aP^a$  and  $r \in H$ . If  $ra = ar_1$  for  $r_1 \in H$ , then  $raq \in aP^a$ . Otherwise, there exists  $r \in H \setminus P$  with  $rar_2 = a$  for  $r_2 \in H$  and  $r_2 \notin P^a$  by Proposition 10 (iii). Since  $q \in P^a$ , there exists  $q_1 \in P^a$  with  $q = r_2 q_1$ . Then  $raq = rar_2 q_1 = aq_1 \in aP^a$ . This proves (i).

(ii) Since  $Pa \subseteq aP^a \subseteq aJ$ , it follows that  $a \notin aP^a$  and  $P \subseteq P_1(aP^a)$ . If  $s \in H \setminus P$  and  $sx = aq$  for  $q \in P^a$  we must show that  $x \in aP^a$ . We compare  $xH$  and  $aH$  and assume first that  $xr_1 = a$  for some  $r_1 \in H$ . Then  $sa = sxr_1 = aqr_1$  which contradicts Proposition 10 (ii).

Hence, we assume  $x = ar_2$ , and we are done if  $r_2 \in P^a$ . We are left with the case  $x = ar_2$ ,  $r_2 \in H \setminus P^a$ . Now we compare  $aH$  and  $saH$ . If  $sa = as'$ , then  $s' \notin P^a$  by Proposition 10, and  $aq = sx = sar_2 = as'r_2$  implies  $q = s'r_2 \notin P^a$ , but  $q \in P^a$ , a contradiction.

In the remaining case  $x = ar_2, r_2 \notin P^a$  and  $sas_2 = a$  and  $s_2 \notin P^a$  by Proposition 10 (iii). Then  $aq = sx = sar_2$ . Finally, we compare  $r_2H$  and  $s_2H$ . If  $r_2 = s_2t$ , then  $t \notin P^a$  and  $aq = sas_2t = at$  implies  $t = q \in P^a$ , a contradiction. If  $s_2 = r_2t'$ , then  $aq t' = sar_2t' = sas_2 = a$  implies  $qt' = e \in P^a$ , a contradiction. This proves (ii).

The statement in (iii) follows from the proof of Lemma 8, where it was shown that  $P_r(aP) = P$  for  $a \in H$  and  $P$  a prime ideal. □

The next result, similar to Lemma 11, makes a statement about the relationship between  $P$  and  $P^a$ .

**Theorem 13.** *Let  $H$  be a locally invariant cone with d.c.c. for prime ideals in the group  $G$ , and let  $a$  be an element,  $P$  a prime ideal in  $H$  with  $Pa \subseteq aJ$ . Then  $P \subseteq P^a$ .*

*Proof.* We have  $Pa \subseteq aP^a$  and  $I = aP^a$  is an ideal of  $H$  by Proposition 12 (i). If we apply Theorem 9 to  $I$ , using also Proposition 12, we obtain  $P = P_I(I) \subseteq P_r(I) = P^a$ , the statement of the theorem. □

### 6. SEMI-INVARIANT RIGHT CONES

We observed earlier and will show in Example B, Section 7, that locally invariant cones  $H$  with finitely many prime ideals are not necessarily right invariant. The situation is different for semi-invariant cones using the following definition.

**Definition 14.** A right cone  $H$  in a group  $G$  is semi-invariant if and only if  $Ja \subseteq aH$  for all  $a \in H$ .

This condition was introduced by Mathiak [13] for chain domains, see also Brungs and Gräter [4].

**Lemma 15.** *Let  $H$  be a right cone in a group  $G$ . Then:*

- (i)  *$H$  is semi-invariant if and only if  $Ha \subseteq aH$  or  $Ja \subseteq aJ$  for any  $a \in H$ ;*
- (ii) *If  $H$  is semi-invariant, then  $H$  is locally invariant;*
- (iii) *If  $H$  is a right and left cone of  $G$ , then  $H$  is semi-invariant if and only if  $Ha \subseteq aH$  or  $aH \subseteq Ha$  for all  $a \in H$ .*

*Proof.* (i) We assume  $Ja \subseteq aH$  and  $Ha \not\subseteq aH$ , and we want to prove  $Ja \subseteq aJ$ . If the last statement is not true, then there exists  $j \in J$  and  $u \in U = U(H)$  with  $ja = au$ . However, there exists  $r \in H$  with  $ra \notin aH$  and hence  $rja = rau \notin aH$ , a contradiction that proves (i).

(ii) If  $H$  is semi-invariant, but not locally invariant, then  $H$  has a prime segment  $P' \supset P''$  which is either simple or exceptional with prime ideal  $Q$  and  $P' \supset Q \supset P''$  with no further ideal between  $P'$  and  $Q$  (see Theorem 2). For  $a \in P' \setminus P''$  or  $a \in P' \setminus Q$  in the second case,  $I = JaJ$  satisfies  $I = JaJ \subseteq aJ \subset aH$  since  $H$  is semi-invariant. Hence,  $I \subset P'$ , and since both  $P''$  and  $Q$  are prime ideals and  $I = J(HaH)J$ , it is not possible that  $I \subseteq P''$  or  $I \subseteq Q$  in the second case. It follows that  $H$  has right invariant segments only.



(iii)  $H$  is semi-invariant if and only if  $Ha \subseteq aH$  or  $Ja \subseteq aJ$  for any  $a \in H$  by (i). We show first that  $Ja \subseteq aJ$  if and only if  $aH \subseteq Ha$  if  $H$  is a right and left cone. Assume  $Ja \subseteq aJ$  and  $aH \not\subseteq Ha$ . Then  $Har \supset Ha$  for some  $r \in H$  and  $a = jar = aj'r$  for  $j, j' \in J$ . This contradiction shows that  $aH \subseteq Ha$ . If we assume conversely that  $aH \subseteq Ha$  and  $Ja \not\subseteq aJ$ , then there exists  $j \in J$  with  $jaH \supset aJ$ , hence  $jaH \supseteq aH$  and  $a = jar = jr'a$  for some  $r, r' \in H$ . This is a contradiction that proves  $Ja \subseteq aJ$ .

For (ii) see also Corollaries 20 and 21 in Ferrero, Mazurek, Sant'Ana [9].  $\square$

We now characterize semi-invariant right cones as localizations of right invariant right cones.

**Theorem 16.** *Let  $H$  be a semi-invariant right cone in a group  $G$ . Then there exists a right invariant right cone  $A$  and a prime ideal  $P = A \setminus S$  in  $A$  such that  $H = AS^{-1}$ .*

*Proof.* Let  $A = \bigcap_{d \in H} dHd^{-1}$  and  $J = J(H)$ . Then  $A$  is a submonoid of  $G$  contained in  $H$ . Since  $Jd \subseteq dH$  for all  $d \in H$ , it follows that  $J \subset A$ . Let  $u \in U(H) \setminus (U(H) \cap A)$ . We claim that  $u^{-1} \in A$ . If both elements  $u, u^{-1} \notin A$ , then there exist elements  $a, b \in H$  and  $r, s \in J$  with  $a = uar$  and  $b = u^{-1}bs$ . We compare  $aH$  and  $bH$ .

If  $aq = b$  for  $q \in H$ , then  $aq = uarq = uaq'r'$  with  $r' \in H$  since  $Jq \subseteq qH$ . Hence,  $br' = aqr' = u^{-1}b$ , and the contradiction  $b = u^{-1}bs = br's$  follows. The remaining case  $b = aq'$  for  $q' \in H$  is treated similarly, and the claim follows. If  $r, r' \in A$ , then  $rc = r'$  or  $r'c = r$  for some  $c \in H$ . Then  $c \in J \subseteq A$  or  $c \in U(H)$  and  $c$  or  $c^{-1} \in A$ . Hence,  $A$  is a right cone in  $G$ . If  $r \in A$ , and  $h \in H$ , then  $rh = hr'$  and  $r' = h^{-1}rh \in A$  follows, hence  $A$  is right invariant. Finally,  $H = AS^{-1}$  for  $S = A \setminus J$  and the prime ideal  $J = P$  of  $A$ .  $\square$

The next result shows that certain semi-invariant right cones  $H$  are right invariant.

**Theorem 17.** *A semi-invariant right cone  $H$  in  $G$  with d.c.c. for prime ideals is right invariant, that is  $Ha \subseteq aH$  for all  $a \in H$ .*

*Proof.* We assume  $Ha \not\subseteq aH$  for an element  $a \in H$ , and  $Ja \subseteq aJ$  follows by Lemma 15 (i).

We consider the ideal  $HaH$ . If  $HaH = J$ , then  $P_l(HaH) = J = P_r(HaH)$ . Otherwise, there exists  $j \in J \setminus HaH$  and an element  $b$  with  $jb = a$ . If  $b = r_1ar_2 \in HaH$ , it follows that  $a = jb = jr_1ar_2 \in aJ$ , since  $Ja \subseteq aJ$ . Hence,  $b \notin HaH$  and  $j \in P_l(HaH)$  which implies  $J = P_l(HaH)$  and  $J = P_r(HaH)$  by Theorem 9. Since  $Ha \not\subseteq aH$  and  $Ja \subseteq aJ$ , there exists  $u \in U(H)$  and  $s \in J$  with  $a = uas = u^2as^2$ . After replacing  $s$  by  $s^2$  and  $u$  by  $u^2$  if necessary, we therefore, know that there exists  $t \in J$  with  $tv = s$  for  $v \in J$ . Since  $t \in J = P_r(HaH)$ , there exists  $b \in H \setminus HaH$  with  $bt = r_1ar_2 \in HaH$ . If  $r_1$  is a nonunit, then  $r_1a = ar'_1$  for some  $r'_1 \in H$  and  $bt = ar'_1r_2$ . If  $r_1$  is a unit in  $H$ , then we rename  $r_1^{-1}b$  as  $b$  again and obtain  $bt = ar_2$ , hence  $bt = ar$  for  $r \in H$  in every case.

There exists an element  $w \in J$  with  $bw = ua$  where  $a = uas$  from above. Hence  $a = uas = bus = bwtv = btw'v = arw'v$  where  $wt = tw'$  for some  $w' \in H$  since  $H$  is semi-invariant. The contradiction  $a \in aJ$  finally proves that our first assumption was wrong: the right cone  $H$  is right invariant.  $\square$

7. EXAMPLES

We first give an example of a semi-invariant cone  $H$  in a group  $G$  that is not invariant.

**Example A.** Let  $F = \mathbb{R}(t)$  be the field of rational functions in one indeterminate  $t$  over the real numbers with

$$(r_n t^n + \dots + r_1 t + r_0)(s_m t^m + \dots + s_1 t + s_0)^{-1} > 0 \text{ if and only } r_n s_m > 0$$

defining an order on  $F$ . Then  $G = \{(a, b) \mid a, b \in \mathbb{R}(t), a > 0\}$  with

$$(a_1, b_1)(a_2, b_2) = (a_1 a_2, a_1 b_2 + b_1)$$

as operation is an ordered group with  $\Pi = \{(a, b) \mid a = 1 \text{ and } b \geq 0 \text{ or } a > 1 \text{ and } b \in F\}$  as the invariant cone of elements  $g \geq e$  in  $G$ .

Then  $H = \{x \in G \mid \exists r \in \mathbb{R} \text{ with } x \geq (1, r)\}$  is an overcone of  $\Pi$  in  $G$ , hence is semi-invariant, but  $H$  is not left invariant:

$$(t, 0)(1, -1)(t, 0)^{-1} = (1, -t) \notin H \text{ and } H(t, 0) \subset H(t, 0)(1, -1).$$

The cone  $\Pi$  neither satisfies the minimum condition for prime ideals nor the maximum condition.

The cone  $\Pi$  contains the ideal  $I = \{x \in G \mid \exists r \in \mathbb{R}^+ \text{ with } (t^2, rt) < x\}$ , and we observe that  $P_t(I) \neq P_r(I)$ :

In  $\Pi$  we have  $(t^2, 0)(1, t^{-1} + 1) = (t^2, t + t^2) \in I$ . Since  $(t^2, 0) \notin I$ , it follows that  $(1, t^{-1} + 1) \in P_r(I)$ . However, if  $(1, t^{-1} + 1)(t^2, a) = (t^2, a + t^{-1} + 1) \in I$ , then  $a + t^{-1} + 1 \geq rt$  for some  $r > 0$  in  $\mathbb{R}$ . Hence,  $rt \leq a + t^{-1} + 1 < a + 1 + 1 < a + \frac{t}{2}t$  and  $\frac{t}{2}t < a$  follows, which implies  $(t^2, a) \in I$  and  $(a, t^{-1} + 1) \notin P_t(I)$ ; hence  $P_t(I) \subset P_r(I)$ , see also Radó [15].

Next, we give an example of a locally invariant chain domain with exactly two nonzero prime ideals which is not invariant.

**Example B.** Let  $\mathbb{Q}(i) \cong \mathbb{Q}[x]/(x^2 + 1)$  and  $\sigma$  the  $\mathbb{Q}$ -automorphism of  $\mathbb{Q}(i)$  that maps  $i$  to  $-i$ . Then  $F = \mathbb{Q}(i)((x, \sigma))$  the skew field of skew Laurent series  $\alpha = \sum_{k \geq -m} x^k a_k, a_k \in \mathbb{Q}(i)$  has dimension 4 over its center  $K = \mathbb{Q}((x^2))$  and contains the chain order  $R = \mathbb{Z}[i]_{(2-i)} + x\mathbb{Q}(i)[[x, \sigma]]$ . Here,  $\mathbb{Q}(i)[[x, \sigma]]$  is the power series ring of all elements  $\alpha \in F$  with  $m = 0$ , and  $\mathbb{Z}[i]_{(2-i)}$  is the localization of  $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$ , the ring of Gaussian integers, at the prime ideal  $(2 - i)\mathbb{Z}[i]$ . The complete prime ideals of  $R$  are  $J = J(R) = (2 - i)\mathbb{Z}[i]_{(2-i)} + x\mathbb{Q}(i)[[x, \sigma]]$  and  $P_1 = x\mathbb{Q}(i)[[x, \sigma]]$ . The two segments  $J \supset P_1$  and  $P_1 \supset (0)$  are both right invariant, however  $x^{-1}Rx = R'$  with  $R' = (2 + i)\mathbb{Z}_{(2+i)} + x\mathbb{Q}(i)[[x, \sigma]] \not\subseteq R$  since for example  $(2 - i)^{-1} \in R'$ . All chain domains  $R$  in finite dimensional division algebras  $F$  are locally invariant. More examples can be found in Brungs and Gräter [2, 3].

We give an example of a right cone  $H$  in a group  $G$  that is not a left cone, see Brungs and Gräter [2] and see Brungs and Törner [6] for additional examples.

**Example C.** Let  $A = \langle t \rangle$  and  $Z = \langle z \rangle$  be both infinite cyclic groups. Let  $B = \sum_{i \in \mathbb{Z}} A_i$  be the direct sum of cyclic groups  $A_i = A$ . The group  $B$  contains

the subgroup  $B_0 = \sum_{i \geq 0} A_i$  and  $B$  admits an automorphism  $\sigma$  with  $\sigma(b) = b'$  and  $(b')_i = (b)_{i-1}$  where  $(b)_i \in A$  is the  $i$ -component of  $b \in B$ . The semidirect product  $G = \{z^k b \mid k \in \mathbb{Z}, b \in B\}$  of  $Z$  and  $B$  with  $bz = z\sigma(b)$  defining the operation is an ordered group (using lexicographic ordering) isomorphic to the wreath product  $A \wr Z$ . The group  $G$  contains the submonoid  $H = \{z^k b \mid b \in B_0 \text{ and } k > 0 \text{ or } k = 0 \text{ and } b \geq e \text{ in } B_0\}$ .

Then  $H$  is a right cone of  $G$  since  $H$  generates  $G$  and  $h_1 \geq h_2$  for elements  $h_1, h_2 \in H$  implies  $h_1 = h_2 h$  for  $h \in H$ . However,  $H$  is not a left cone, since for the elements  $h_1 = z$  and  $h_2 = zb$  in  $H$  with  $(b)_0 = t$ ,  $(b)_i = t^0$  for  $i \neq 0$ , there is no element in  $h \in H$  with  $hh_1 = h_2$  or  $hh_2 = h_1$ .

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